

FY2023
FOREST PROGRAM

Call for Research Proposals
[Application Guidelines]

Application Call Period

Wednesday, August 23rd ~ 12:00 (noon, Japan time) on Wednesday, October 25th, 2023

Note: This translation is provided as a reference material. If there is any discrepancy between this translated version and the original Japanese version, the original Japanese version prevails.



Department of Disruptive Research
Japan Science and Technology Agency

August 2023

Introduction: Overview of the Call for Research Application

(1) Call for Research Applications and Selection Schedule

The schedule for the call for applications and selection of research applications for FY2023 is as follows. FOREST invites applications for challenging and original research that requires long term endeavor without fear of failures, targeting research fields related to science and technology with the potential to create seeds that will lead to disruptive innovation.

The call for research applications will be conducted via the Cross-ministerial R&D Management System (e-Rad). Those applications which have not completed the application process by the specified deadline will not be accepted for any reason.

Please note that, for the FY2023 call, only one application will be accepted per applicant regardless of the affiliated institution at the time of the application (if multiple applications are received, all research proposals will be rejected).

For details, please read and understand the section "2.6.1 Requirements for Applicants (4) Limitations on the Number of Applications " before applying.

Call for research application start	<u>Wednesday, August 23, 2023</u>
Application deadline (Deadline date and time for the submission of applications via the Cross-ministerial R&D Management System [e-Rad])	<u>Wednesday, October 25, 2023</u> <u>12:00 pm (noon)</u> <u><Strictly enforced></u>
Document screening period	Late October to late February 2024
Notification for interviewees	Early February to late March
Interview screening period	Early March to early May
Notification/Announcement of selected projects	Sequential notification and announcement in mid- May, 2024 and later
Research start	Sequential start after October, 2024

* The double underlined dates have been confirmed, but all other dates are tentative. They are subject to change in the future.

* The selection schedule and contact details will be announced on the Call for Research Applications website as soon as they are determined.

<https://www.jst.go.jp/souhatsu/call/index.html>

Those selected for interview screening will be notified by email during the "Notification to Interviewees" period and asked to prepare interview materials (No written correspondence will be sent. The notification will be sent to the email address registered on the e-Rad registration page at the time of proposal. Make sure to set it as ready to receive). If there is any change in the e-mail address registered on the e-Rad registration screen due to a change of institutional affiliation, etc., please contact souhatsu-application@jst.go.jp as soon as possible. Please note that those

applicants who are likely to be selected as a result of the interview screening may be contacted by JST (by phone or e-mail) to confirm whether or not the Collaborative Research Agreement can be completed in May, 2024 or later.

(2) Submission of Research Proposal

Please download the documents needed for research application including application form from the “Call for Research Proposals” website. Some of the application forms are customized according to the Research Area so please make sure that you have downloaded the application form from the website of Research Area which you are going to apply.

Research proposal applications are processed by the e-Rad system (<https://www.e-rad.go.jp/>) (Chapter 5). **Some items on the e-Rad registration system are unique to this program; therefore, make sure to read the instructions carefully. If you fail to follow the instructions correctly, your registration will not be processed during screening.**

As the application deadline approaches, heavy demands on the e-Rad system could slow down the application processing speed and even cause the application deadline to be missed. Please give yourself enough time to complete submission of proposal. **Withdrawal of the application is strictly prohibited after the deadline. JST will not accept proposals, which the application process have not been completed in the e-Rad system by deadline for any reason.** Please note that JST may give direction **on the “Call for Research Proposals” website** in case such as cause of e-Rad system trouble which untenable to submit the application during the application call period.

The name and affiliation of applicant in the e-Rad system and those given in research proposal should be matched (In case it differs, the research proposal will be considered as official). The application of a research proposal is not received by the e-rad system if it contains serious defects, which make the review of proposal difficult, including the lack of application form 1 (cover sheet of research proposal), serious character corruptions, and omissions of important items of the application forms.

JST is not responsible for any defects in allocation forms of a research proposal, which may be caused by any reasons before submission deadline, regardless of its status of receipt. JST asks, therefore, all research proposal applicants to understand that we will not require them to make any revisions of their research proposals, obtaining their consents on correction in advance, before research proposal submission deadline.

If the schedule for the call for applications may change, its details of the change will be announced on the Call for Research Applications website. Please refer to this Call for Proposals, the Call for Proposals webpage, and the attached "How to Apply via the Cross-ministerial R&D Management System (e-Rad)" of this application guideline regarding submission of research proposal and items to be considered in application.

- Call for Research Applications Website: <https://www.jst.go.jp/souhatsu/en/call/index.html>

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Chapter 1. Call for Research Applications

1.1 Overview

This project corresponds to the program name listed in the Competitive Research Fund overview posted on the Cabinet Office website (<https://www8.cao.go.jp/cstp/compefund/index.html>).

1.1.1 Outline of FOREST Program

In order to promote FOREST (Fusion Oriented REsearch for disruptive Science and Technology) program aiming to create seeds that lead to disruptive innovation through diversity and fusion without setting specific projects or short-term goals, this program provides long-term support for unrestricted, challenging and fusion-oriented diverse research not bound by the existing frameworks, for the period of seven years in principle (with an interim stage-gate review, up to 10 years in maximum) while securing an environment in which researchers can devote themselves to their researches.

Specifically, the program calls for challenging and diverse research plans from mainly young independent, or prospective independent, researchers at universities and other research institutions. Although the eligible institutions for FOREST are limited to domestic research institutions, Japanese researchers who are not affiliated with domestic institutions at the time of the selection will be granted an eligibility to withhold the commencement of research for a certain period until they are transferred to the domestic institutions where the research will be conducted. Therefore, active applications from researchers who belong to foreign institutions are also welcome.

Upon selection, it is aimed to ensure maximizing the researcher's (hereinafter referred to as "FOREST researcher") discretion and secure an appropriate research environment suitable for the execution of FOREST with the support of the university or other research institution with which the FOREST researcher is affiliated. In addition, in order to promote FOREST, a "Place of Fusion" to enable mashup of the abilities and ideas of FOREST researchers will be created under the guidance of a program officer (hereinafter referred to as "FOREST PO") who will mentor FOREST researchers to promote initiatives that lead to creative and integrated outcomes. Furthermore, new systems have been introduced that bring out the motivations and abilities of the researchers, such as a system that allows flexible research interruptions and subsequent extensions, and additional support for improving the research environment, aiming at creating the seeds for disruptive innovation by maximizing the motivation and the research time of the talented human resources.

1.1.2 FOREST Operations

The FOREST Program Director (PD) Committee (hereinafter referred to as the "FOREST PD Committee") is responsible for reviewing, planning, and selecting operational policies for the program as a whole. Based on the deliberation by the FOREST PD Committee, JST will determine a number of appropriate FOREST POs for the research fields targeted by this program. FOREST PO manages challenging researches from a long-term

perspective, based on the unrestricted thinking of FOREST researchers not bound by existing frameworks with the aim of creating the seeds for disruptive innovation. During the process, FOREST Advisors (hereinafter referred to as "FOREST AD") will be assigned to assist the FOREST PO, and with their cooperation, guidance and progress management will be carried out for each FOREST researcher contributing to the maximization of outcomes. The FOREST POs and FOREST ADs compose "panel" for their activities based on the unit of their specialized areas.

The FOREST PO plays a central role in the selection and evaluation (stage-gate evaluation, ex-post evaluation, etc.), close examination and approval of the selected research plan (including the research expenses plan), and securing an appropriate research environment suitable for the execution of the FOREST under the support of the university or other institution with which each researcher is affiliated, with the cooperation of the FOREST AD, Review Team for Humanities and Social Sciences, external experts, and others. The results of the selection and evaluation compiled by the FOREST PO will be deliberated by the FOREST PD Committee and finalized by JST.

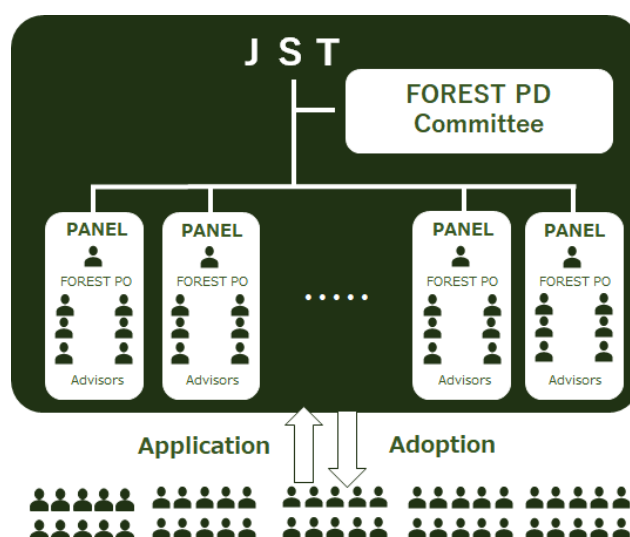


Figure: Governance structure of FOREST program

FOREST PD Committee

Nishio, Shojiro 【Chair】	President, Osaka University
Hanayama, Rikinari	Professor, WPI Nano Life Science Institute, Kanazawa University
Haseyama, Miki	Vice President/Dean, Graduate School / Faculty of Information Science and Technology, Hokkaido University,
Ishikawa, Shumpei	Professor, Graduate School of Medicine and Faculty of Medicine, The University of Tokyo
Kajita, Takaaki	Professor, Institute for Cosmic Ray Research, The University of Tokyo
Nozaki, Kyoko	Professor, Graduate School of Engineering, The University of Tokyo
Shinohara, Hiromichi	Executive Advisor, Nippon Telegraph and Telephone Corporation
Shinozaki, Kazuko	Professor, Tokyo NODAI Research Institute, Tokyo University of Agriculture

Uchida, Ken-ichi	Distinguished Group Leader, Research Center for Magnetic and Spintronic Materials, National Institute for Materials Science
Hamaguchi, Michinari	Senior Advisor, Japan Science and Technology Agency

FOREST PO

Arita, Makoto	Professor, Faculty of Pharmacy, Keio University
Baba, Hideo	Professor, Faculty of Life Sciences, Kumamoto University
Chung, Ung-il	Professor, Graduate School of Engineering, The University of Tokyo
Furuhara, Tadashi	Professor, Institute for Materials Research, Tohoku University
Gong, Jian Ping	Professor, Faculty of Advanced Life Science, WPI-ICReDD, Hokkaido University
Goto, Masataka	Prime Senior Researcher, Human Informatics and Interaction Research Institute, National Institute of Advanced Industrial Science and Technology (AIST)
Kato, Tadafumi	Professor, Department of Psychiatry and Behavioral Science, Juntendo University Graduate School of Medicine, Juntendo University
Mori, Hatsumi	Vice president/Professor, The Institute for Solid State Physics, The University of Tokyo
Nagae, Tomofumi	Professor, Faculty of Science, Kyoto University
Okada, Mariko	Professor, Institute for Protein Research, Osaka University
Okai, Taikan	Professor, Graduate School of Engineering, The University of Tokyo
Saitou, Mitinori	Professor, Institute for Advanced Study, Kyoto University
Sakakibara, Hitoshi	Professor, Graduate School of Bioagricultural Sciences, Nagoya University
Shiomi, Junichiro	Professor, School of Engineering, The University of Tokyo

Review Team for Humanities and Social Sciences, FOREST Chief Advisor

Kobayashi, Tadashi	Specially Appointed Professor, Center for the Study of CO*Design, Osaka University
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1.2 For Researchers Considering Applying or Participating in the Programs

1.2.1 Contribution to the accomplishment of sustainable development goals (SDGs)

JST to contribute to the accomplishment of SDGs!

At the "United Nations Sustainable Development Summit" held in September 2015, "Transforming our world: the 2030 Agenda for Sustainable Development" was unanimously adopted; the document was an achievement with "SDGs" at the core as a further comprehensive and new action target common to the world for the human beings, the Earth, and the welfare. The seventeen goals in the SDGs do not only indicate various problems in relation to the sustainability that is facing the humankind but also demand that those problems be solved comprehensively and in an integrated way. It is expected that scientific and technological innovation solves such social problems and that scientific evidence is provided to contribute to the formulation of excellent policies. We can say that these roles conform to "the science in the society and the science for the society", a new task of the science that was declared in "World Declaration on Science and the Use of Scientific Knowledge" (Budapest Declaration*), adopted at International Council for Science in 1999. As a core organization to promote the science and technology policies in Japan, JST promotes advanced fundamental research and works on the research and development of a problem-solving type to meet the requests from the society. SDGs are one of the worldwide objectives that can itemize all JST missions. We, in the course of the JST programs, want to collaborate with industries, academia, government agencies, and private enterprises and cooperate with researchers to realize a sustainable society.

President, Japan Science and Technology Agency (JST)

*The Budapest Declaration states that "science for knowledge", "science for peace", "science for development" and "science in society and science for society" are the responsibilities, challenges, and obligations of the science in the 21st century.



1.2.2 Promotion of Diversity

JST Promotes Diversity!

The diversity is essential requisite for promotion of scientific and technological innovations. It is possible to open a new perspective of science and technology by the collaboration and discussion with various stakeholders having different specialties and values, irrespective of gender and nationality. JST is, by promoting advances in diversity in its all activities in science and technology, undertaking possible problems of our future society, contributing to the strengthening of industrial competing power of Japan as well as to the enrichment of spiritual happiness of people. Our activities in this field accord with the “Sustainable Development Goals (SDGs)” agenda of the United Nations, in which goals relevant to diversity advancement are shown, including gender equality, contributing to efforts on our domestic problems but also to those on problems common to various countries.

Currently, the activity of woman is being positioned at the core of the Growth Strategy of the Japanese Government, being started as “the largest potential of Japan” in the strategy paper. Expending the participation of woman researchers in research projects is substantially important for advancing research and development, as they are a party of various researchers supporting science and technology innovations. JST is expecting that woman researchers would take this opportunity, positively and will apply to our research programs, actively. JST is undertaking the improvement of our “Childbirth, Child-raising, Nursing Care Support System”, to constantly, based on the voice of the system users, creating environments enabling a researcher on leave to return his/her research, for example.

The call for and review of research proposals will be conducted also from a viewpoint of advancing diversity. Our dear researchers, we cordially invite you to the call for research proposals of the FOREST Program.

President, Japan Science and Technology Agency (JST)

We Are Waiting for Your Application!

JST promotes diversity based on the idea that diversity is about understanding people who think differently from you and integrating your ideas with theirs to create new value. This leads not only to solving domestic issues but also to solving issues common to the world, and we will address global social issues such as the SDGs through the promotion of diversity in cooperation with overseas institutions.

Diversity at JST is not only for women, but also for young researchers and non-Japanese researchers. To ensure that each researcher can fully demonstrate her or his abilities, we continue to provide support for childbirth, childcare, and nursing care, and we strive to maintain a balanced staffing structure for committees and other activities. Aiming to create an environment where a wide range of people can work hard together, we especially welcome applications from female researchers, who have been scarce in the past, and work to create new value.

We are sincerely waiting for your active applications, especially those from women researchers.

Diversity Promotion Supervisor

General Manager, Diversity Promotion Office

Japan Science and Technology Agency (JST)

1.2.3 Toward the Promotion of Fair Research

Toward the Promotion of Fair Research

Recent incidents involving misconduct and dishonesty in research activities have resulted in an alarming situation that threatens the relationship of trust between science and society, and hinders the healthy development of scientific technologies. To prevent misconduct in research activities, there must be a function of autonomous self-purification in the scientific community. Each researcher must strictly adhere to strict discipline and work to create new knowledge and inventions that are useful for society, based on high moral standards that meet the expectations of society.

As a funding agency for research, the Japan Science and Technology Agency (JST) considers research misconduct to be a grave issue and makes every effort to prevent it in cooperation with relevant organizations, with the goal of regaining public trust.

1. JST believes that honesty in research activities is extremely important for Japan, which seeks to develop itself through science and technology.
2. JST supports honest and responsible research activities.
3. JST strictly condemns any misconduct in research activities.
4. JST will promote education in research ethics and reform its research funding programs in cooperation with relevant organizations, in order to prevent misconduct.

We must develop a healthy scientific culture based on social trust, so as to build a society filled with hopes and dreams for a bright future. We therefore request the continued understanding and cooperation of the research community and related institutions.

President, Japan Science and Technology Agency (JST)

Chapter 2 Call for and Selection of Applications

2.1 Eligible Research Applications

The program calls for a variety of challenging and original research projects¹, which require a long-term commitment without fear of failure, with the potential to create the seeds² for disruptive innovation in any of the following categories (a) through (e).

- (a) Research with the potential for "discovery and/or creation of new knowledge" and/or "elucidation of the principles"
- (b) Research in a field that should be continuously addressed from the perspective of diversity of research
- (c) Research with the potential for generating new technological knowledge and/or developing of innovative technology
- (d) Subject matter for which breakthrough and new research areas are expected to be created through the "fusion" of scientific and technical knowledge
- (e) Subject matter that is expected to transform society and systems for the actual or wide-spread use of research outcomes

2.2 Submission Period and Selection Schedule

Please refer to "Introduction: (1) Call for Research Applications and Selection Schedule" for the submission period and selection schedule.

2.3 Research Period

This program has a research period of 7 years divided into two phases, namely Phase 1 (3 years³) and Phase 2 (4³). All those selected will begin their research in Phase 1 and continue with Phase 2 research on the projects that have passed the stage-gate review. If you are unable to pass the stage-gate review, your FOREST will be terminated as of the end of Phase 1. Additionally, the research may be extended for up to 3 years from the end of Phase 2, subject to annual review in principle.

*As for the stage-gate review, please refer to "3.4.1 Operation Scheme."

¹ The scope of this program includes science and technology that will contribute to the development of new technology. If you apply for a research project in science and technology related to cultural science or social science or both, you will be given specific instructions on how to register the desired area for the screening of your research in the e-Rad system; make sure to see the separate paper describing how to use the Cross-Ministerial Research and Development Management System (e-Rad) for call-for applications before registering.

² The scope of this program also includes theoretical and/or fundamental research whose economic value is currently unclear.

³ In the event of an interruption of the research during the research period, the estimated time of completion of each phase will be extended by the duration of such interruption. For details, please refer to "3.4.5 Programs for Interruption and Extension of Research, and Postponement of the Start of Research."

2.4 Research Costs (Maximum Amount)

The amount of research costs (direct costs) per project is set, in principle, at a total of 50 million yen (maximum) for 7 years, of which 20 million yen (maximum) for Phase 1 (3 years).

Research applications must be limited to the aforementioned maximum amount. However, once an application is selected, some changes may be made, including some allocations exceeding the maximum amount at the discretion of the FOREST Program Director (PD) Committee and FOREST POs depending on the situation, etc., of a specific research project to secure the research environment appropriate for the execution of fusion-oriented research (RA additional expense support, etc.) . JST pays the cost of collaborative research, which includes the research cost (direct cost) and the indirect cost (30% of the direct cost at the maximum), to the research institute on the basis of the contract of the collaborative research agreement.

*The research cost (direct cost) stated above is the absolute maximum; you must strictly select indispensably essential items to include in your application. Excessive appropriations of unnecessary items may affect the review and selection process. Proposed research expenses will be assessed through the review and selection process. In addition, actual research expenses will be determined through scrutiny and approval of the research plan of the research proposal by the Emergent PO. For details, please refer to "3.1 Creation of Research Plan".

2.5 Number of Projects to be Selected

The number of projects to be selected in the call for research applications in FY2023 is approximately 200~250 (depending on the status of research applications).

2.6 Application Requirements

Please be aware of the following the matters described in this section below when applying.

In addition to the following, please be sure to read and understand the information provided in “Chapter 4: Notes on Application.”

2.6.1 Requirements for Applicants

(1) Requirements for Research Applications

The research application must be based on the applicant's own research plan.

(2) Requirements for Applicants

If the following requirements a. through j. are not met by the time of selection, in principle, the research application will not be accepted nor selected.

Once selected, the following requirements must be maintained throughout the entire research period of the research project. If any of the requirements become non-compliant during the research period, in principle, the whole, or a part of, the research project will be terminated (early termination).

- a. The applicant must be the researcher himself or herself. No restriction on nationality.
- b. The applicant must be capable of conducting research independently to execute his or her own research plan.
- c. The applicant must be affiliated with a research institution in Japan and capable of conducting FOREST.

FOREST can only be conducted while the researcher is affiliated with a research institution in Japan; however there is no restriction on the location of the affiliated institution at the time of application. Those researchers affiliated with foreign institutions can apply on the condition that the research shall commence at a research institution in Japan from October 1, 2024 at the latest (However, the aforementioned condition does not apply to researchers who are Japanese nationals and current members of an institute outside Japan, for a maximum grace period of two years at the maximum after the starting time of the research). For details, please refer to “3.4.5 Programs for Interruption and Extension of Research, and Postponement of the Start of Research.”

- * Researchers affiliated with companies are also eligible as long as they are sufficiently capable of conducting research in accordance with the objectives of FOREST.
- * Foreign researchers should pay particular attention to the following:
 - It is required to be able to handle paperwork in Japanese (or be in an environment where it is possible to do so with assistance);
 - Each individual is responsible for obtaining a visa, renewing the period of stay, and changing the status of residence, etc. If the researcher is unable to meet the requirements for residency, the research application will be rejected, the research project will be terminated, or other appropriate action will be taken; and
 - Based on the status of the researcher, if the FOREST is subject to regulation under the Foreign Exchange and Foreign Trade Act, the research application will be rejected, the research project will be terminated, or other appropriate action will be taken.
- d. The applicant must be a researcher who is capable of conducting his or her own FOREST in a responsible manner throughout the entire research period.
- * For details, please refer to “3.6 Responsibilities of the Selected Researchers.”
- e. The applicant must have earned a doctoral degree as of April 1, 2023.

f. The applicant must meet one of the following experience requirements.

(a) 15 years or less since obtaining a doctorate as of April 1st, 2023.(obtaining a doctorate after April 2nd ,2008)

(b) 17 years or less since obtaining a doctorate as of April 1st, 2023 in the case of medical, dental or veterinary medicine courses, for those who have completed the clinical trainings stipulated by Japanese law (Medical Practitioner Act, Dentist Act or Veterinarian Act) after completion of the doctoral program.

(c) 20 years or less (22 years or less for those falling under (b) above) since obtaining a doctorate as of April 1st, 2023, for those who have been unable to devote themselves to research due to childbirth or childcare within 15 years (17 years for those falling under (2)) since obtaining a doctorate.

(d) For those who have been unable to devote themselves to research due to care giving or the like within 15 years (17 years for those falling under (b)) since obtaining a doctorate, the condition in (a) will be increased by the length of such period (up to two years).

* Please indicate the date and university where you obtained your doctorate, and if you obtained your doctorate before April 1st, 2008,1, please describe the reason for it. If you fall under (c) or (d), please submit a copy of Mother and Child Handbook or a copy of certificate of Certification of Needed Long-Term Care, etc. on the e-Rad. JST will check any if necessary.

g. The applicant must have received, or be currently receiving (FY2023), public research funding⁴ that can be used under his or her own responsibility and authority (research funding as the Research Director) within the past two years⁵. In addition, if there are special circumstances in which the applicant has not received any research funding during the past two years, the reason⁶ should be clearly stated in the application.

h. The applicant must have completed a program on research ethics education at the affiliated research institution in advance, or an educational program offered by JST by the submission deadline.

* For details, please refer to “4.1 Enrolling in and Completing the Educational Program on Research Integrity”

i. The applicant must be able to pledge the following four points:

- Understand and comply with the “Guidelines for Responding to Misconduct in Research” (Decreed by the Minister of Education, Culture, Sports, Science and Technology on August 26, 2014);
- Understand and comply with the “Guidelines for the Management and Audit of Public Research Funds In Research Institutions (practice standards)” (Revised on February 1, 2021);

⁴ The aforementioned applies to research funding obtained through a public call for research applications. However, the public calls only for the researchers from the same research institutions are excluded.

⁵ The research funding must have been accepted in FY 2021, FY 2022.

⁶ A major life event (childbirth, childcare, elderly care), clinical training, being unable to participate in the research project due to an engagement in some other large-scale research project, not being in an environment where applying for a competitive funding is impossible due to a research at a company etc., or any other clear reason must be stated.

- If the research application is selected, the FOREST researcher shall not engage in fraudulent activities (fabrication, falsification, and plagiarism) or misuse of research funds; and
 - No misconduct in research activities has been committed in the past research outcomes described in this research application.
- * Please confirm on the application information entry screen of the e-Rad.
- j. If you have been selected as a research director (the director of a research area, a program manager) in the <Projects Subject to Duplication Restriction> stated below, you are not eligible to apply for our program (however, excluding the cases in which the project having been selected as Projects Subject to Duplication Restriction is in its final fiscal year). Furthermore, if you have been selected and assisted as a research director or the like in one of the <Projects Subject to Duplication Restriction> listed below by the year before the final year of Phase 1⁷, the applicable research project will be canceled (aborted).
From the final year of Phase 1⁷, if you have been selected and assisted as a research director or the like in the <Projects Subject to Duplication Restriction> stated below and passed the stage-gate review, the research costs will be reduced (in principle), and the research can be continued or extended. For more information, see the official administration manual (for FOREST, Supplemental Version).
Please note that “Projects Subject to Duplication Restriction” may be changed due to establishment of a new competitive research funding system, or revisions thereof, in the future.

<Projects Subject to Duplication Restriction>

- Projects under the auspices of the Japan Society for the Promotion of Science (Grants-in-Aid for Scientific Research)
Grants-in-Aid for Specially Promoted Research, Grants-in-Aid for Scientific Research on Innovative Areas (Research in a Proposed Research Area) (limited to research directors⁸), Grants-in-Aid for Transformative Research Areas (A) (limited to research directors), Fundamental Research (S)
- Projects under the auspices of the Japan Science and Technology Agency
JST-Mirai Program (Small-start Type (Full-fledged research), Large-scale Type)
Strategic Basic Research Programs (ERATO, CREST, PRESTO (*), AIP Acceleration Research (limited to research directors who conduct research related to completed PRESTO/CREST proposals))
Moonshot Research and Development Program (targeted at Project Managers. However, moonshot objectives 8 and 9 are only for project managers of core studies).

⁷ If you use section 3.4.5, "Programs for research interruption and extension, and postponement of the start of research," your research period will be delayed by the same amount.

⁸ However, the aforementioned condition does not apply to the research directors of a planned research or a research called for from the public.

- A-STEP (Industry-academia collaboration (Full-fledged type, Seeds nurturing type)
GteX (limited to team leaders of team-based studies)
ALCA-Next (limited to research directors in the Accelerated Phase)
K program (limited to research directors of project type and individual research type)
 - Projects under the auspices of the Japan Agency for Medical Research and Development
Advanced Research and Development Programs for Medical Innovation (LEAP, AMED-CREST, PRIME (*), FORCE (limited to research directors))
Moonshot Research and Development Program (targeted at Project Managers)
 - Projects under the auspices of the New Energy and Industrial Technology Development Organization (NEDO)
Moonshot Research and Development Program (targeted at Project Managers)
 - Projects under the auspices of the National Agriculture and Food Research Institute
Bio-oriented Technology Research Advancement Institution (BRAIN)
Moonshot R&D Program for agriculture, forestry and fisheries (targeted at Project Managers)
- * For PRESTO and PRIME, applications may be submitted for the call for applications of this fiscal year as long as the research is scheduled to be completed by the end of March 2025. In principle, FOREST must be started after the completion of the applicable research, and the period until then shall be treated as a grace period to start the research. For details, please refer to “3.4.5 Programs for Interruption and Extension of Research, and Postponement of the Start of Research.”

(3) Conditions for Independence⁹

The conditions for independence in below must be met, or, in principle, become compliant during Phase 1 (3 years) (In the process of selection, the feasibility of independence may be objectively checked if necessary).

- (a) Must be in the position of a corresponding author for the paper for the applicant's own research
- (b) Must have responsibility for, or be in a position to have responsibility for, the guidance of graduate students¹⁰, etc.
- (c) Must be the person in charge of the research group when the research is conducted by forming a research group.
- (d) Must have a laboratory (*)

* Must be responsible for the management of the research facilities and laboratory rooms required for conducting independent research.¹¹

(4) Application Limitation

For the FY2023 call, only one application can be submitted per applicant regardless of the affiliated institution at the time of the application.

⁹ The conditions for independence are defined for "independent researchers" and "the researchers to be independent with the courage to cope with the challenging and unique research theme specified by them and to satisfy the terms and conditions here within three years" to apply for our program. Your application will be screened based on the evaluation of the contents of the application, the ability to make actions, human nature, the state of independence, and so forth in general. If you fail to satisfy these terms and conditions herein as of the time of screening, we may confirm your perspective in the future, your volition for independence, and so forth and may select your application on condition that you make your best efforts. As stated in section 3.4.1, item (1), not only the terms and conditions for independence but also other norms may be considered in our screening from a comprehensive viewpoint to evaluate the feasibility of continuing to support your research. If an applicant fails to satisfy part of the requirements for independence after making efforts to be independent, we consider such a situation in our evaluation.

¹⁰ The above-mentioned are applicable to researchers and undergraduates. The right to participate in a dissertation defense is not a prerequisite.

¹¹ Being registered as a chief administrator, safety administrator, or the like is not a prerequisite.

2.6.2 Research Organization

a. A FOREST researcher will conduct the research on his or her own (alone) or together with postdoctoral fellows, research assistants, students, and others who are under the management and supervision of the FOREST researcher. If necessary, new researchers, postdoctoral fellows, research assistants, etc. can be hired within the scope of research expenses. Additional support for doctoral students assisting in emergent research as RAs, etc., may be available under certain conditions for the payment of an appropriate level of compensation for their assistance services. (However, the amount, conditions, etc. may be subject to change depending on the budgetary situation in FY2024 and thereafter.) Collaboration and joint research with other researchers as necessary to carry out the research is possible, but research funds cannot be allocated.

* For details, please refer to “4.13 Improvement of Treatment of Doctoral Student Participants.”

b. JST conducts research promotion activities such as supporting the development of research environment, publicity and outreach for research, and patent application support.

c. Every FOREST researcher must be a member of a Japanese research institution (we make collaborative research agreements only with the research institutions in Japan). Research conducted outside of Japan, as well as its costs, must adhere to the rules and regulations of the research institution in Japan.

2.6.3 Requirements for Research Institutions to Conduct FOREST

When conducting research, the research institutions that conduct FOREST must be fully aware that the source of funds for collaborative research is public funds, comply with all relevant laws and regulations, and strive to conduct the research efficiently. If the duties stated in section 3.7, "Responsibilities of Research Institutions", are not fulfilled, you will not be allowed to conduct your research at a research institution. Note that we will cancel your research project or take some other measures if it becomes obvious that the duties are not fulfilled after your application is selected. We encourage all parties concerned to treat the voluntary applications made by individual researchers with as much respect as possible when they make applications for this program.

2.7 Submission

For information on how to apply, please refer to " Introduction: Overview of the Call for Research Application (2) Submission of Research Proposal ".

2.8 Selection Method

JST calls for research applications from researchers who meet the application requirements. The selection will be made by the FOREST PO with the cooperation of the FOREST AD and external experts, etc., and after deliberation by the FOREST PD Committee, JST will make the final decision on the selected applications.

2.8.1 Flow of Selection

(1) Designation of the FOREST Panel /PO and Desired Areas of Specialty

When you make a research application, use the e-Rad system to specify the FOREST Panel/PO as the chief screener in the screening process of your research application and your desired areas of specialty (research area (major) and research area (minor)) of the initial review. For the relationship between The FOREST Panel/POs and the research areas they are assigned, please refer to "6.1 FOREST POs and Assigned Research Areas". It does not matter if your desired areas of specialty (major and minor) is different from "6.1 FOREST POs and Assigned Research Areas".

From the viewpoint of quality of review, please select the panel and area of specialty (research area (major) and research area (minor)) that most closely matches the research field of your proposal. After the proposal is selected, we will also provide opportunities for research promotion and exchange beyond the panel selected at the time of proposal.

If you apply for research that covers two or more research areas, the evaluators from relevant areas examine your application from multiple points of view. Make sure to register both research area (major) and research area (minor) when you use the e-Rad system for registration <applicable to all applicants>.

If you register an area code belonging to "Humanities & Social Sciences" or "Others" as your research area (major), you must make sure to register an area code not belonging to "Humanities & Social Sciences" or "Others" as your research area (minor). If you fail to register, as stated above, your application will not be accepted. If you register an area code belonging to "Humanities & Social Sciences" or "Others" as the research area (major) and research area (minor), your application will not be accepted either. For the area codes belonging to "Humanities & Social Sciences" or "Others" see section 6.1, "FOREST POs and Assigned Research Areas."

*Research area (minor) is a mandatory item in the e-Rad system; use caution not to forget to specify this area.

Before you fill in the form in the e-Rad system, see the separate paper, "How to Apply from the Cross-ministerial R&D Management System (e-Rad)."

For your reference, while you try to decide a research area to submit your application, our FOREST POs have posted their messages on our Call for Proposals webpage : <https://www.jst.go.jp/souhatsu/en/call/index.html>

(2) Multi-Step Screening

Experts in the field of expertise designated by the research proposer will conduct the initial document review, and the FOREST PO designated by the research proposer will conduct the secondary document and interview selection with the cooperation of other FOREST POs, FOREST ADs, the Review Team for Humanities and Social Sciences, and outside experts. After the interview selection process, the selected candidates will be deliberated by the Emergence Steering Committee and the final decision will be made by JST. In addition, the diversity of research fields and researchers will be taken into consideration in the selection process.

In addition, surveys and other activities may be conducted as necessary during the selection process. Furthermore, if the applicant is affiliated with a commercial organization and the like, it may be requested to submit a financial statement.

(3) Conducting Interview Screening and Notification of Selection Results

- a. Please confirm the interview screening schedule on the Call for Research Applications website before the application deadline date. The applicants who have been selected for interviews as a result of the document screening will be notified by e-mail along with the information about the interview process, the schedule, and additional materials to be submitted (No written correspondence will be sent. The notification will be sent to the email address registered on the e-Rad when you have applied to FOREST. Make sure to set it as ready to receive). The applicant may be asked to submit applications, plans, and other document for other research funds at the time of interview screening. If the applicant is affiliated with a commercial organization, etc., it may be requested to submit a financial statement. The applicants who are unfortunately unsuccessful in the document screening will be notified in writing by email after the screening results are confirmed. If there is any change in the e-mail address registered on the e-Rad registration screen due to change of institutional affiliation, etc., please contact us as soon as possible.

As soon as the schedule of interview screening is fixed, it will be announced on the Call for Research Applications website.

<https://www.jst.go.jp/souhatsu/en/call/index.html>

- b. At the interview screening, the applicant himself or herself is asked to explain the research plan. In principle, the interviews are conducted in Japanese, however if the applicant has difficulty in Japanese conversation, interviews in English are also possible.
- c. Unsuccessful applicants will be notified of the selection results in writing by e-mail after the selection results are confirmed. In addition, the review comments for rejection will be separately sent e-mail from the e-Rad for unsuccessful applicants.
- d. As a result of the selection process, the selected applicant will be notified in writing by e-mail along with the information on the procedure for starting the research.

Those applicants who are likely to be selected as a result of the interview screening will be contacted by JST (by phone or e-mail) to confirm whether or not the Collaborative Research Agreement can be completed in May, 2024 or later. Please set your e-mail address registered with e-Rad to be able to receive e-mail messages even after the selection period has ended.

2.8.2 Implementation of Conflict of Interest Management

We take the management of conflicts of interest based on the provisions of JST from the viewpoint of a fair and transparent evaluation and the distribution of research funds. If you have any concerns regarding the reviewers involved in the selection process, please indicate them in the "Special Notes (Form 7)" of the research proposal.

(1) Persons Involved in the Selection Process

To ensure fair and transparent evaluations, the following persons and parties who have conflicts of interest with an ‘applicant’.

- a. Persons, who are relatives of research project applicants:
- b. Persons or parties who are affiliated with the same department, specialty, or research laboratory at a university, a national institute, or a national experiment institution, or a company with which research project applicants are affiliated. Here, “same department, specialty, or research laboratory” means a group one rank above a laboratory, a research team, or another group which is the smallest research unit.
- c. Persons, who are conducting a close collaboration in a research work with research project applicants. (Examples are persons, who are conducting a joint research project or have co-authored a paper with research project applicants, a researcher pursuing the same research objectives as research project applicants, or a co-researcher of the proposal of research project applicants, and others, being recognized those practically affiliated with a research group with which research project applicants are affiliated.)
- d. Persons in a close teacher-student relationship, or in a direct employer-employee relationship.
- e. Persons in academic competition with the research subject of the research proposer or a person who belongs to a company that is in competition in the market.
- f. Persons in other relationships judged by JST to representing conflicts of interest with research project applicants.

(2) Conflict of Interest Management for Research Applicants

When a research applicant specifies an "organization in relation to the research applicant" as a participating organization while making an application and if JST allocates some research funding to the "organization in relation to the "research applicant," this may lead to some conflict of interest concerning the research applicant. Therefore, to avoid any doubt or the like of any third party, we take the management of such conflicts of interest with respect to the conflicts of interest between such research applicant and such "organization in relation to the

research applicant" in an appropriate consideration to the necessity, rationality, adequacy, etc. of the applicable relation.

An "organization in relation to the research applicant" here refers to any participating organization that comes under any following condition. Note that not only the research applicant but also the spouse and the relatives in the first degree of the research applicant (referred to as "researcher etc." from here) are regarded as the same in items "a" and "b" below.

- a. An organization founded on the achievement of research by the researcher, etc. (including the cases in which the researcher etc. do not have any direct relation in the management of the organization but are appointed as a technical advisor or the like and in which the researcher etc. only have some stocks of the organization).
- b. An organization of which the researcher, etc., are appointed as directors (including CTOs and excluding technical advisors).
- c. An organization of which the research applicant has some stocks.
- d. An organization from which the research applicant has revenues as a license fee.

Our screening committee makes deliberation from the vantage points of the necessity, rationality, adequacy, etc. of the applicable organization with respect to the application, including the "organization in relation to the research applicant" as a participating organization.

For this purpose, declare that the "organization in relation to the research applicant" is included as a participating organization in the column for special remarks (Form 7) in your application form if you specify an "organization in relation to the research applicant" is included as a participating organization.

To implement the management of the conflict of interest with the research applicant, additional documents may be asked.

(3) Conflict of Interest with JST

It is regarded as conflicts of interest on the side of JST (conflicts of interest as an organization) to distribute, in the FOREST program, a research fund to a company JST has invested in (hereinafter "invested company"). Therefore, to avoid any doubt of any third party, JST clarifies it to avoid the conflict of interests between JST and the invested companies.

The selection committee will deliberate on the necessity, reasonableness, and appropriateness of adopting the funded company as a participating institution for the proposal in which JST's funded company will participate.

Therefore, if you wish to include a company funded by JST as a participating organization, please declare that the company is included in the participating organization in the "special remarks (Form 7)" of the research proposal.

This management is taken to guarantee the fairness and transparency of the process on the side of JST. It is not disadvantageous to have accepted funds from JST in the process of the adoption in the FOREST program. You are asked to be cooperative in JST's management of conflicts of interest.

- * As for JST invested company, please refer to the following website. Companies which have finished the investment form JST are not regarded as institute with conflict of interest and researchers who belongs to these companies are not requested to declare.

<https://www.jst.go.jp/entre/result.html#M01>

- * Standard date for the declaration starts from the date which call for research applications have started. Companies which are selected but not yet disclosure as JST invested company, are not requested to declare in accordance with the non-disclosure commitment. Please refer to the following website for disclosure of investment by JST.

<https://www.jst.go.jp/entre/news.html>

2.9 Selection Perspective

(1) Selection Criteria (Pre-evaluation Criteria)

Selection criteria are as follows (It is a prerequisite to meet the "2.6 Application Requirements"):

- a. It is consistent with the objectives of the program;
- b. The research is application eligible for this call for applications (see "2.1 Eligible Research Applications");
- c. The research application is based on the applicant's own ideas;
- d. The applicant has the willingness to execute the research plan, and it feels feasible;
- e. The applicant has the necessary research capabilities and systems;
- f. The applicant contribute to the development of emerging and integrated fields and the continuous progress of the related research fields through the activities to execute the research plan and the efforts for discussions and mutual inspirations with other researchers;
- g. The applicant is willing to request cooperation from, or coordinate with, research institutions as necessary to ensure a research environment suitable for conducting FOREST; and
- h. With respect to (3) of "2.6.1 Requirements for Applicants," if the applicant is expected to be independent (within 3 years), it is expected that the applicant is highly motivated and likely to become independent.

(2) “Unreasonable Duplication” and “Excessive Concentration” of Research Budgets

Whether the application falls under "unreasonable duplication" or "excessive concentration" of research budgets is also a factor in the selection. For details, please refer to “4.2 Measures Against Unreasonable Duplication and Excessive Concentration.”

Chapter 3 Proceeding with the Research Post-selection

3.1 Creation of Research Plan

- a. Once selected, a Phase 1 research plan is to be created for the entire period of Phase 1 (3 years). In addition, an annual research budget plan is to be created for each fiscal year. The research plan includes research budget and research team organization plans. The proposed research budget will be assessed through the selection process. The actual research budget will be determined after careful review and approval by the FOREST PO at the time of formulating the research plan for the research project.
 - b. Research plans become official once they are examined and approved by FOREST PO. FOREST PO will offer advice and coordination assistance on the research plan and provide instructions when necessary.
 - c. FOREST PO, in approving research project plans may merge or link research projects, or take other coordinative actions.
- * Research organizations and budgets set forth in research plans may be revised during the research project period in response to overall FOREST Program budget conditions or factors like results of research evaluations.

3.2 Collaborative Research Agreement

- a. Once a research project is selected, JST, in principle, will enter into concluding a Collaborative Research Agreement (*) with the research institutions with which the Researchers are affiliated.
- b. If it is not possible to conclude Collaborative Research Agreement with these research institutions, or not possible to put in place the management and audit systems required in connection with the use of public funds, or if the related research institutions are conspicuously financially unstable, it may be impossible to pursue research at the research institutions in question. For more details, please refer to "3.7 Responsibilities of Research Institutions." The FOREST POs, who shall examine and/or approve a research plan and work as a mentor afterward, may be different from the FOREST PO specified at the time of applying for research.
- c. In principle, patents and other intellectual property rights resulting from research shall, in accordance with Collaborative Research Agreement contract terms, reside with research institutions under the condition that the research institutions abide by the items provided in Article 17 (Japanese version of the Bayh-Dole Act) of the Industrial Technology Enhancement Act.
- d. If an Research Director is transferred to another institution, the research can be continued on the condition that it can be continued without interruption. It is not permitted to change the Research Director as a result of the transfer. It is stipulated in the research agreement that, in principle, items acquired with research budget (direct cost) must be transferred in some ways to the research institution to which the researcher is transferred.

3.3 Research Costs

JST pays the costs of a contract research, to the research institution that have concluded a contract of agreement with JST. The costs consist of the research cost (the direct cost) and the indirect cost that is 30% of the direct cost in principle. As for some items of expenditure, JST has implemented handling rules and guidelines specific to the FOREST Program, based on the Collaborative Research Agreement, Contract administration manual, and the “Cross-ministerial Expenses Handling Partitioned Table”. There may be cases where the treatment is different between universities and others (universities, public research institutes, non-profit making incorporated associations, and others identified by JST) and private companies other than universities. Please refer to the official administration manual for the details which is published on the website.

FOREST Program Documents pertaining to research contracts

<Universities, etc.> <https://www.jst.go.jp/contract/sohatsu/2023/sohatsua.html>

<Companies etc.> <https://www.jst.go.jp/contract/sohatsu/2023/sohatsuc.html>

3.3.1 Research Budget (Direct Costs)

Research budget (direct costs) are those that are directly related to and required for pursuing the subject research. Research costs include:

- a. Commodities: Costs for purchasing new facilities (※1), equipment, consumable supplies, etc.
- b. Travel Expenses: Expenses for travel by the Research Director and Research Participants listed on the research plan etc.
- c. Personnel expenses and honoraria: Personnel expenses and honoraria for Research Director, and research participants and others listed in the research plan (However, personnel expenses and gratuities for all but the Research Director (※2, ※3, ※4))
- d. Others: Expenses for publishing research outcomes (paper submission fees, etc.), equipment leasing, transportation, outsourcing, and expenses for outsourcing non-research work (buyout expenses) (※2, ※3, ※5)

NOTE: The following are examples of items not handled as research costs (direct cost).

- Costs for items not consistent with the research objectives.
- Costs that are considered to be more appropriately handled as overhead costs (indirect cost).
- Costs that JST judges that use is not appropriate in the settlement of commissioned research expenses※.

- ※ JST has established rules and guidelines specific to this project for some items in the Contract Research Agreement, the Official Administration manual, and the Common Fund Handling Classification Table for Ministries and Agencies. In addition, handling may differ between universities, etc. (universities, public research institutions, public-interest corporations, etc. recognized by JST) and companies, etc. (research institutions other than universities, etc., mainly private companies, etc.). For more information, please refer to the latest the Official Administration manual and other documents at the following URL.
<https://www.jst.go.jp/contract/index2.html>
- ※1: The purchase of new research equipment and apparatuses shall be proceeded according to the “Research Equipment and Apparatus Sharing Systems for Research Organization Units” (hereinafter referred to as “apparatus sharing systems”), which shall operate on the premises of “Introduction of New Research Equipment and Apparatuses Operating Integrally with Research Organization Management” (Advanced Research Fundamentals Working Group, Scholarship Commission, November 2015). Please refer to “4.12 Promotion on Effective Use of Research Facilities and Equipment”.
- ※2: In principle, in a university, etc., the person serving as the Principal Investigator (hereinafter referred to as the “PI”) of a project receiving JST competitive research funds can use the funds for personnel expenses and/or the costs of someone carrying out duties other than research (buyout costs), as long as certain requirements are met. Please refer to the following list of requirements.
- Revision of the Direct Expenses to Allow Expenditures for Non-Research Activities on Behalf of Researchers (Introduction of a Buyout System) and Expenditure of Personnel Expenses for Principal Investigators (PIs) from Direct Expenses (Liaison)" (September 17, 2020)
<https://www.jst.go.jp/osirase/2020/pdf/20200917.pdf>
- ※3: The maximum amount of expenditure for the principal investigator's own personnel expenses (c.) is 700,000 yen per year in principle. Expenditures for non-research work on behalf of the principal investigator (buyout expenses) (d.) will be capped at 1.4 million yen per year in principle. Please confirm the necessary requirements and procedures in the Official Administration manual when incurring such expenses.
- ※4: For emergent researchers who satisfy the requirements specified separately, we plan to separately support the payment of an appropriate level of compensation to doctoral students who support emergent research as research assistants (RAs) under certain conditions. Details will be announced after the selected project.
- ※5: Outsourcing is permitted if it is necessary to conduct the research. In the case of such outsourcing, however, it is assumed that it is under a service agreement that does not include research and development elements. Subcontracting that includes research and development elements is, in principle, not permitted.

3.3.2 Overhead (Indirect) Costs

Overhead (indirect) costs are costs required for the management, etc. of research institutions pursuing research; they are in principle capped at 30% of direct costs. According to “Common Guidance for the Execution of Indirect Expenses of the Competitive Fund” (agreed upon by the coordination committees of relevant ministries and agencies in April 20, 2001, and revised on May 31, 2023), a policy on use, etc. shall be created and shall be systematically and properly executed to ensure that use of indirect expense be transparent.

3.3.3 Multiple-year Contract and Carryover

From the perspective of more effective and efficient use of research funds for maximizing research outcomes and preventing fraud, JST has adopted multi-year contract for Collaborative Research Agreement to enable carry-over of collaborative research funds and procurement agreements spanning over the fiscal years. (Please note that, in the case of the carry-over system, there may be cases in which multi-year agreements and carry-overs are not allowed due to the differences in its handling between universities and companies, the administrative management system of the research institution, and other reasons.) If the end of the research period does not fall on the end of March, in consideration of the agreements for research assistants and others hired under this program, the contract period can be extended till the end of March of the last fiscal year of the research period with the approval of the FOREST PO and JST, provided that it does not involve an increase in the research budget.

3.4 Matters for Proceeding with the Research

3.4.1 Operation Scheme

The research period for this program is, in principle, seven years with two research phases, namely Phase 1 (3 years¹²) and Phase 2 (4 years¹³). All those newly selected will commence their research in Phase 1, and at the end of Phase 1, a stage-gate review will be conducted to determine the transition to Phase 2. For those projects that pass the stage-gate review, the research will continue to Phase 2. If it does not pass the stage-gate review, the FOREST will be terminated as of the end of Phase 1.

¹² If the system for postponing the start of a research is used or if the research is interrupted or extended during the research, the planned ending period of each phase will be postponed by the same period. For details, see section 3.4.5, "Programs for Interruption and Extension of Research, and Postponement of the Start of Research."

¹³ If the system for postponing the start of a research is used or if the research is interrupted or extended during the research, the planned ending period of each phase will be postponed by the same period. For details, see section 3.4.5, "Programs for Interruption and Extension of Research, and Postponement of the Start of Research."

(1) Review Criteria for the Stage-gate Reviews ¹⁴

During the stage-gate reviews of projects that cleared finished Phase 1, the items stated below are followed for confirmation.

- a. There has been steady progress and trial-and-error in research based on the research plan.
- b. The researcher has strong willingness to take on challenges without fear of risk;
- c. There been any progress in securing an appropriate research environment suitable for conducting FOREST¹⁵;
- d. The researcher actively participated and cooperated in the “Place for Fusion” (see “3.4.4 Formation of the “Place for Fusion”); and
- e. The conditions for independence (Items (a) through (d) of “2.6.1 (3) Conditions for Independence”) at the time of the application were met.¹⁶

(2) Methods for Conducting the Stage-gate Review

About six months before the end of Phase 1, the FOREST PO will conduct the review with the cooperation of the FOREST AD and others and JST will make a decision.

The results of the stage-gate review will be reflected in the adjustments to the research plan (including increases or decreases in research budget, review of the research team organization, etc.) after the Phase transition, and measures may be taken to end (terminate) the research project early.

(3) Extension after the end of Phase 2

When the project is expected to produce significant outcomes by extending the research period and it is difficult to obtain support from other sources, and the termination of support is believed to cause a negative impact on international competition, the research project may, in principle, be extended for up to 3 more years after the end of Phase 2 upon approval of the FOREST PO each fiscal year.

¹⁴ This program gives support to the challenging and unique research applications that need to be coped with for a long time despite fear. For this purpose, our stage-gate reviews try confirming mainly the progress of a research and the situation of the endeavors.

¹⁵ "Any progress in securing an appropriate research environment suitable for conducting FOREST" here refers to such endeavors as whether any sufficient efforts are secured to cope with the FOREST research, whether any appropriate organization for conducting the research is secured, whether the research funding from this program is appropriately used for these purposes, and so forth.

¹⁶ Make the best efforts to satisfy the conditions for independence within three years. Our stage-gate reviews evaluate not only the conditions for independence but also the sustainability of the continuation of the support to the research from a comprehensive viewpoint. If an applicant fails to satisfy part of the requirements for independence after making efforts to be independent, we consider such a situation in our evaluation.

The review to determine whether or not to extend after the end of the project will be conducted at an appropriate time prior to the completion of the research.

3.4.2 Securing Research Environment Suitable for Execution of FOREST

In this program, it is stated that it aims to secure an appropriate research environment suitable for the execution of FOREST with the support of the affiliated universities and other research institutions of each researcher while ensuring maximum discretion for the researchers. For example, the affiliated institutions are expected to provide finely tuned support in consideration of the situations the researchers are in, such as “the shared use of research equipment available to the researchers supported by this program due to the limited budget for this program”, “consideration and support from the affiliated institutions for securing research hours in light of the fact that the research hours of university faculty members are becoming shorter every year” and “ support for researchers to become independent”. The affiliated institutions that have provided active and outstanding support to ensure a research environment in which the researchers supported by this project can concentrate on their emergent research will be reviewed at the end of Phase 1 for each emergent researcher, and additional research environment support will be provided based on the results of the review. For detail, please refer to "3.4.3 Additional Research Environment Support.

3.4.3 Additional Research Environment Support

By the time of the stage gate review of each FOREST researcher, the improvements in research environment that have been implemented for FOREST researchers by research institutions will be reviewed, and an additional amount of support for the improvement of the research environment surrounding the researchers will be determined for each research institution.

The following are examples of “active and excellent support” that are subject to review. Regardless of the following cases, efforts that contribute to improving the research environments of FOREST researchers will be reviewed.

- Support for independence (providing position with mandatory retirement, promotion, guaranteeing and extension of laboratory/space, laboratory start-up costs, etc.)
- Consideration for securing research time (utilization of buyout, reduction of official work burden at university, etc.)
- Support for accelerating research (research start-up costs, student assignment, research/administrative assistant support, priority use of common facilities, etc.)
- Support for improving the research environment and research motivation

We plan to provide up to 50 million yen/period (maximum amount of support for each review in each period) per institution. The amount of additional support for the improvement of the research environment will be decided by comprehensively evaluating the content of the support for FOREST researchers from the institution, the number of researchers who received the support, the research environment surrounding the relevant institution, and the efforts of each research institution. The additional support for the improvement of the research environment can be used for the purpose of the improvement of the research environment of young researchers, mainly FOREST researchers. Within the upper limit of the amount of additional support for research environment improvement, determined by the aforementioned review and presented by JST, each institution applies for the amount of additional support for research environment improvement required for JST. The amount required will be provided after review. Details will be announced separately.

3.4.4 Formation of the “Place for Fusion”

In this program, the "Place for Fusion" will be operated under the leadership of the FOREST PO, JST, and FOREST researchers for the purpose of checking the progress of research, building networks among FOREST researchers, and understanding and integrating research in various fields. FOREST researchers are requested to participate in the "Place for Fusion", which is held in the form of a camp (approximately every six months) with the FOREST POs, FOREST ADs, and a variety of external experts, etc., by category such as panels, research fields, and regions, and to present and discuss their research results. FOREST researchers will be involved in the planning and operation of the "Place for Fusion".

Through friendly rivalry and mutual inspiration among FOREST researchers in addition to discussions and interactions with the FOREST POs, FOREST ADs, and a variety of external experts, it aims to encourage FOREST researchers to create imagines of their goals and visions as researchers as well as their plans for future research development, and to build a network that contributes to the formation of team-based research.

Various meetings (study groups, public events, etc.) planned by researchers on their own initiative are encouraged as a part of the "Place for Fusion", and JST will support the planning and management of these meetings.

In addition, the FOREST PO and FOREST AD will, through such activities as site visits to researchers, provide advice and guidance on the efforts to secure an appropriate research environment suitable for the subject matter of individual research and the execution of FOREST.

3.4.5 Programs for Interruption and Extension of Research, and Postponement of the Start of Research

(1) Programs for Interruption and Extension of Research

If it becomes difficult for an FOREST researcher to continue his or her FOREST for any of the following reasons (a) through (d), the research can be temporarily suspended with the approval of the FOREST PO and JST. The research period of the Phase at the time of the interruption can be extended by the duration of such interruption. In

this case, JST will compensate for the same amount as the unused portion of the research budget due to the interruption of the research after it is resumed. The duration of the interruption will be determined through the discussions among the FOREST researcher, the FOREST PO and JST.

In addition, if your research is selected as a research in the <Projects Subject to Duplication Restriction> stated in item "i," segment (2) of section 2.6.1, " Requirements for Applicants " after the final year of Phase 1 ¹⁷, you can extend the research period and continue the research. In such cases, research funding is, in principle, reduced depending on the period of the duplication of the research. To extend the research period and reduce research funding, the research director FOREST PO and JST make deliberation and make a decision. For details, see the Official Administration manual (Supplemental Version)."

(a) When a life event occurs (childbirth, childcare, care giving)

(b) Long-term stay in a foreign institution under a program other than this program

* When the researcher is unable to allocate more than a certain level of effort (set by the FOREST PO) on the FOREST while staying at a foreign research institution for international collaboration activities that contribute to the researcher's career development, such as overseas training programs or joint research with overseas researchers through external funding programs other than this program.

(c) In the event of damage to research institutions due to a large-scale disaster, etc.

(d) In the event of other circumstances, which cause the interruption of the research, approved by the FOREST PO, or JST.

(2) Program for Postponement of the Start of Research

If any following condition from (a) to (c) are satisfied, the start of your research is postponed, while you can hold the eligibility as a successful applicant.

(a) Until the transfer to the institution in Japan that is to conduct the FOREST research in the case of a researcher who belongs to an organization overseas and has a Japanese nationality at the time of the research application successfully selected

(b) Until the finalization of the research in the PRESTO Program and the PRIME program in the case of a research director who has received research funding from JST in the PRESTO Program and from AMED in the PRIME Program (applicable only to the research projects to be completed by the end of March 2025).

(c) In any other special circumstance necessitates delaying the commencement of research , subject to the FOREST POs, and JST providing approval to such decisions.

¹⁷ If you choose section 3.4.5, "Programs for Interruption and Extension of Research, and Postponement of the Start of Research," your research period will be postponed by the same period.

However, when starting the research, the application requirements (see "2.6 Application Requirements") that were met at the time of the selection must remain met.

(3) Upper Limit on Interruption of Research and Postponement of the Start of Research

In principle, the extension period of research based on "(1) Suspension and Extension of Research" above and the grace period of starting research based on "(2) Deferral System for Starting Research" are limited to a total of two years per Principal Investigator under the two systems combined (in the case of Phase 1, the extension is until September, FY2029; in the case of Phase 2, the extension is until September, FY2033).

3.5 Evaluation

- a. The FOREST PO, with the cooperation of the FOREST AD and others, will conduct ex-post evaluation of the research project as soon as possible after the completion of the research or at an appropriate time before the completion of the research, depending on the characteristics and stage of development of the research.
- b. In addition to the above, the FOREST PO may conduct a project evaluation at a time when it is deemed necessary.

3.6 Responsibilities of the Selected Researchers

- a. FOREST Researchers are obliged to conduct their research, honestly and effectively, fully understanding that their research is funded by precious tax revenues collected from citizens.
- b. These persons must agree with fulfilling the following duties presented to them at JST briefing and other and submit an agreement to JST, after their research projects are selected.
 - (1) Comply with application guidelines and other requirements.
 - (2) Understand that JST research budgets are funded by tax revenues from citizens and do not become involved in any research misconduct, including fabrication, falsification, and plagiarism, and/or the improper use of the research fund.
 - (3) Ensure that all the researchers and others participating in the research project are informed of the JST-designated Educational Program on Research Integrity (eAPRIN (ex-CITI Japan) e-learning program) and let them to enroll in and complete the program. For details, refer to "4.29 Duty to Complete Education on Research Ethics and Compliance". Note that failure to complete the Educational Program on Research Integrity would result in the suspension of the research budget until the completion has been confirmed by JST.
- c. Pursuing and Managing Research

- (1) FOREST Researchers are responsible for arranging research conducting locations and environments necessary for pursuing their research. If serious weaknesses in theses are found, the research project may be cancelled.
 - (2) FOREST Researchers are responsible for submitting research reports and other required documents to JST (including FOREST PO) as well as for materials preparation required for the evaluation of their research project. They also are responsible for submitting a research report describing the progress of their research to FOREST PO on his/her request as well as for regularly submitting a semiannual report to him/her.
- d. The FOREST researcher will be responsible for the control and management of research budget, administrative procedures, management of research participants, travel, etc. Please give consideration to the research environment, working environment and conditions of the research participants, especially of the researchers hired with the research budget of this program.
- e. When hiring young postdoctoral fellows with research budget, please make a proactive effort to support them in ensuring diverse career paths both domestically and internationally. An activity plan to support diverse career paths for young postdoctoral researchers hired with research budget (*) will be confirmed at the interview screening. For details, please refer to “4.13 Improvement of Treatment of Doctoral Student Participants.”
- * Some of the activities under this activity plan may be included in the research effort.
- f. The researcher is asked to participate in various events of the "Place for Fusion" as well as to cooperate in the planning and management of the events.
- g. Handling of Research Results
- (1) Given that research results are obtained with national government funding, it is requested that research results be actively reported both domestically and internationally, with due consideration for the acquisition of intellectual property rights.
 - (2) When reporting on research results through research papers or other media, please acknowledge that the research results were obtained by the FOREST Program.
 - (3) Research team members may be requested to participate in domestic and international workshops and symposia sponsored by JST and to report on research results there.
 - (4) It is requested that an active effort be made to secure intellectual property rights. In principle, intellectual property rights are to be applied, in accordance with Collaborative Research Agreement contract terms, by the research institutions with which researchers are affiliated.
- h. Researchers are requested to actively engage citizens in discussions of science and technology to promote citizens' understanding and support of science and technology.
- * Please refer to the guideline details in “4.21 Dialogue and Collaboration with Public Stakeholders.”
- i. Researchers shall abide by Collaborative Research Agreement entered by JST and research institutions, and shall abide by JST's various rules.

- j. It should be noted that JST will provide research project names, names of researchers, research budget information, and other required information to the Cross-ministerial R&D Management System (e-Rad) and the Government Research and Development Database. Research Directors and others, therefore, are going to be requested to provide these types of information in this respect. (“4.30 Provision of information from e-Rad to the Cabinet Office”)
- k. Researchers are requested to working together with JST, for conducting Research evaluations, JST accounting examinations, accounting audits by the national government, and other similar activities.
- l. With the cooperation of the affiliated institution, by organizing an environment in which FOREST researchers can devote more time to the research (including outside of FOREST activities), please ensure that the research hours are at least the following ratio to the overall work activities such as research activities, education activities, and social service activities.¹⁸
 - Science-related: 55%
 - Engineering- or Agriculture-related: 45%
 - Medicine-related: 35%
- m. FOREST researchers who have not met "2.6.1 (3) Conditions for Independence" at the time of the application are encouraged to make an effort to meet the conditions during the Phase 1 period.

3.7 Responsibilities of Research Institutions

Research Institutions must fully recognize that the research funds are public funding and ensure compliance with related law, and make efforts to implement the research effectively. Research will not be allowed to be conducted at research institutions that cannot fulfill the responsibilities listed below, so please ensure that you obtain prior approval from all research institutions where you plan to conduct research when applying.

- a. Research institutions are obliged to conclude a Collaborative Research Agreement with contents proposed by JST, as a standard, also they are obliged to properly implement a research, in accordance with the Collaborative Research Agreement(*), administration manual, and research plan approved by JST. In the case that they cannot conclude a research contract with JST, and in the case that they are judged by JST that they cannot properly implement a research, an implementation of a research at the institutions shall not be admitted.

※ The latest sample of research contract agreement which is published on FOREST website.

Universities, etc. : <https://www.jst.go.jp/contract/sohatsu/2023/sohatsua.html>

Companies, etc. : <https://www.jst.go.jp/contract/sohatsu/2023/sohatsuc.html>

¹⁸ The aforementioned refers to the ratio of the hours taken for the research activities in general as opposed to not only this program but also to the overall work activities.

- b. Research institutions with an autonomous institutional management and audit system for public research budgets are obligated to properly execute the contract research funds in accordance with the “Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards)” (decided by the Minister of Education, Culture, Sports, Science and Technology on February 15, 2007; revised on Feb. 18, 2014). in addition to reporting the status of their management and audit system for public research budgets to the Ministry of Education, Culture, Sports, Science and Technology, research institutions are also obligated to be corporative in various investigations into their system implementation and other related matters (4.28 Consideration on “Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards)”).

https://www.mext.go.jp/a_menu/kansa/houkoku/1343904.htm

- c. In accordance with the “Guidelines for Responding to Misconduct in Research” (August 26, 2014, adopted by the Minister of Education, Culture, Sports, Science and Technology), research institutions are obliged to implement regulations and systems necessary for preventing research misconducts, and are responsible for operating the regulations and systems effective in actual situations. Also, research institutions are responsible for responding to various investigations relating to the construction of administration based on the guideline (4.28 Consideration on “Guidelines for Responding to Misconduct in Research”).

https://www.mext.go.jp/b_menu/houdou/26/08/1351568.htm

- d. Research institutions are responsible for ensuring that associated researchers fully recognize the contents of the above guideline described in a., b. and c. and making them trained with educational materials related to research ethics provided by JST.
- e. Research institutions shall expend and manage research expenses properly in accordance with the regulations of the research organization while keeping reasonable flexibilities in the expenditure operation; as for expense items subjected to the administrative process manual, and etc., provided by JST, stating special regulation rules of expense in FOREST Program. (Research institutions receiving Grants-in-Aid for Scientific Research expenses can handle their expense in conformity with the handling rule of the Grant-in-Aid for Scientific Research expenses, as for items not described in the administrative process manual).
- f. Research institutions need to conclude contracts with researchers who are going to participate in the Research and are to be an inventor of intellectual properties with regard to the Research, to ensure the properties be transferred from the researchers to the institutions. In particular, when a person who is not subject to the service invention regulations of a research institution such as a student who is not in an employment relationship with the research institution is a research participant, it is necessary to take appropriate action, such as concluding an contract with the student in advance to ensure that intellectual property rights pertaining to the invention (including conception) made by the student in the course of conducting the research belong to the research institution, except in cases where it is clear that the said student cannot

become the inventor. Regarding the conditions of compensation for transfer of intellectual property rights, those concerned are asked to act in a way that is not unfavorable to the student who is the inventor.

In addition, when the intellectual property is transferred to and exclusive licenses to use the property are granted to other persons or parties, etc., a prior approval of JST is needed in principle, and when the application, registration, implementation, and renunciation of the property rights are going to be conducted, a priori report to JST is needed. Regarding the intellectual property produced through conducting the contract research of JST by research institutions, the institutions are obliged to notify and make necessary applications to JST that are relevant to Article 17 of the Industrial Technology Enhancement ACT, even after the completion of the contract research.

- g. Research institutions are responsible for responding to accounting investigations by JST and account audits by the government.
- h. Research institutions are obliged to obey measures pertaining to the change of terms of payment as well as accept the decrease of payments decided by JST, based on JST investigations on their administrative management systems, financial conditions, etc.

In addition, if the project evaluation at the end of the JST mid- and long-term target period calls for the dissolution or contraction of the JST, or changes in the state of budgetary measures in the country, the contract period, in the case of contract cancellation and contract research expenses reduction measures may be taken.

In addition, based on the results of the mid-term evaluation of research subjects, it may take measures such as increase or decrease of commissioned research expenses, change of contract period, cancellation of research, etc., and when JST judges that the continuation of research is not appropriate. Even during the term of the contract, JST may take measures such as cancellation of the contract. Research institutes need to follow these measures.

- i. When research institutions are national or municipal organizations, such institutions concluding research contracts are definitively obliged to implement necessary budgetary measures before entering research contracts for which they are responsible. (In case it becomes apparent that the non-fulfillment of necessary measures has not been taken, after the concluding the contract, JST will take measures to release the research contact and rescind the research funds.)
- j. As a part of the effort to prevent misconduct in research and development activities, JST has required researchers, who take parts of newly selected research projects and who also are affiliated with a research institution, to enroll in and complete the educational program on research integrity (The procedures required for enrollment will be handled by JST). Research institutions are responsible to supervise, without fail, their enrollment in and completion of the program by the relevant persons.

In the case that the relevant researchers of the institution fail to complete the educational program as stipulated despite repeated reminders by JST, JST will halt, partially or entirely, the payment of contract

research fund. In line with this, the research institution is to halt all use of the research funds and not to restart the use until further notice from JST is given.

- k. Research institutions are obliged to take necessary measures, such as concluding research contracts with other institutions participating in the Research, regarding the handling of intellectual property rights and non-disclosure agreements, not to creating problems in implementing the Research and utilizing the Research achievement.
- l. Research institutes are requested to execute appropriate measures to fulfill their accountability, paying full attention to economics, efficiency, effectiveness, legitimacy, and accuracy, since the state funds shall be used as a source of funding for entrusted research expenses. In addition, research institutes need to make sure to work on planned execution and be careful not to procure for the purpose of budget reduction at the end of the research period or at the end of the fiscal year.
- m. In this program, it is stated that it aims to secure an appropriate research environment suitable for the execution of FOREST with the support of the affiliated universities and other research institutions of each researcher. For example, the affiliated institutions are expected to provide finely tuned support in consideration of the situations the researchers are in, such as “the shared use of research equipment available to the researchers supported by this program due to the limited budget for this program” and “consideration and support from the affiliated institutions for securing research hours in light of the fact that the research hours of university faculty members are becoming shorter every year.” In particular, if an FOREST researcher has not met the "2.6.1 (3) Conditions for Independence" at the time of the application, please give considerations so that he or she can meet these conditions during the Phase 1 period. For those affiliated institutions that have provided proactive and excellent support for securing a research environment in which the researchers supported by this program can concentrate on FOREST, there is a plan to provide additional support, upon review, to improve the research environment surrounding those researchers. For details, see "3.4.3 Additional Research Environment Support".

3.8 Other Notes

3.8.1 Programs for Supporting Childbirth, Childcare, and Care Giving

As part of the efforts to promote gender equality, JST offers a support program for childbirth, childcaring, and care giving. This program provides a "Gender Equality Promotion Fund" (maximum amount: 300,000 yen per month x number of months of support) for research projects, etc., with the aim of enabling the researchers who are employed full-time as researchers or the like under the research funds (excluding indirect costs) from JST programs to continue their research, or their careers from the time they return to their research if they are forced to temporarily suspend their research, in the event of a life event (childbirth, childcare, care giving).

For details, please refer to the website below.

<https://www.jst.go.jp/diversity/about/research/child-care.html>

3.8.2 The use of JREC-IN Portal

The database of research human resources (JREC-IN <https://jrecin.jst.go.jp/seek/SeekTop?ln=1>) is the largest website supporting research human resources in Japan. It is free to browse this service containing information on human resources, including researchers, their supporters, and engineers involved in research.

At present, the database holds more than 20,000 pieces of information on needed human resources from universities, public research organizations, and private business firms, in addition to more than 140,000 registered users. Use JREC-IN Portal to look for research human resources (postdoctoral, researchers, and so on) with high levels of knowledge to promote research projects.

Furthermore, JREC-IN Portal collaborates with researchmap. It may be reach by logging in with a researchmap ID and password. It functions for the preparation of resumes; achievement lists can use the information registered in researchmap to prepare these documents.

Chapter 4 Key Points in Submitting Proposals

4.1 Enrolling in and Completing the Educational Program on Research Integrity

The research project applicant must complete the Educational Program on Research Integrity as a prerequisite for application. Note that if completion of the program cannot be confirmed, the application will be disqualified for failing to meet the requirements.

To enroll in the Educational Program on Research Integrity and to submit a declaration of completion, follow either procedure (1) or (2) below. For application instructions using e-Rad, refer the e-Rad user manual.

(1) For applicants who have completed an equivalent program at their institution

Applicants, who have already completed an e-learning program or educational seminar on various aspects of research integrity at your institution by the time of their application, are requested to make the declaration of it on the e-Rad application information input screen.

(2) For applicants who have not completed an equivalent program at their institution (including applicants at institutions who do not have such a program)

a. Applicants who have in the past completed eAPRIN (ex-CITI Japan) e-learning program in a JST program.

Applicants who have in the past completed eAPRIN (ex-CITI Japan) e-learning program in a JST program by the time of their application are requested to make the declaration of it on the e-Rad application information input screen.

b. For other applicants for whom a. above does not apply.

Applicants who find it difficult to enroll in the educational program for research integrity because their institution does not offer such a program or for other reasons may enroll in and take a digest version of eAPRIN (ex-CITI Japan) e-learning program offered through JST. Please attend from the URL below.

Course URL: <https://edu2.aprin.or.jp/ard/>

No cost is needed for completing the program, which will take one to two hours to complete. Once enrolled, applicants are expected to complete the program without delay and then to declare the completion of the program and to also select/type "Digest Completion." in the e-Rad application information input screen.

■ Contact for consultation on the Educational Program on Research Integrity

Japan Science and Technology Agency

Department of Audit and Legal Affairs, Research Integrity Division

Email: rcr-kousyu@jst.go.jp

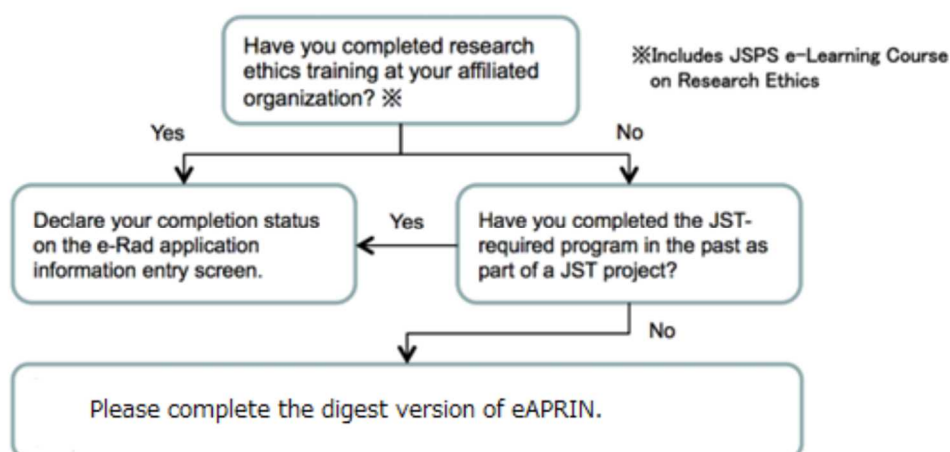
■ Contact for consultation on the call for application

Japan Science and Technology Agency

Department of Disruptive Research

Email: souhatsu-application@jst.go.jp * Include the program name, e-Rad project ID, research applicant name, and project name in the body of email.

<Flow chart for Reporting Completion of Research Ethics Education Programs>



JST requires researchers FOREST program to enroll in and complete designated units of the eAPRIN (ex-CITI Japan) e-learning program. All researchers of an accepted proposal are required to complete the designated units of the eAPRIN (ex-CITI Japan) e-learning program (excluding those who have already completed the seven designated modules at their institution or in another JST program).

4.2 Measures against Unreasonable Duplication and Excessive Concentration

○ Measures against “Unreasonable Duplication”

If a given Research Project by a given researcher (i.e. the name and content of the research is the same, and the research is receiving competitive research funding) is unnecessarily receiving multiple competitive research funding programs or other research funds (all current research funds for individual research subjects, such as subsidies, grants, joint research funds, contract research funds, etc., including those from overseas (*)), and any of the following applies, research projects may be rejected, canceled or reduced (hereinafter referred to as "rejection of research

projects") depending on the degree in this program. In the case that a duplicate application is made for research funds at the same time for research project that is essentially the same (including cases where they overlap to a considerable extent; the same as hereinafter), and they are selected more than once.

- 1) In the case that there are applications for multiple competitive research funds and other research funds at the same time for research projects that are substantially the same (including cases where they overlap to a considerable extent; the same applies hereinafter), and they are adopted more than once.
- 2) In the case that there are multiple applications for research projects that are substantially the same as the competitive research funds or other research funds that have already been selected and funded.
- 3) In the case that there is an overlap in intended application of research funding between multiple research projects.
- 4) Other cases equivalent to the above.

At the application stage for this program, there are no limitations regarding the submission of proposals to other competitive research funds or other research funds. If a research project is selected by another competitive research fund or other research funds, please report this promptly to the administrative staff of this program (Department of Disruptive Research, JST). If there is any omission in this report, the research project may be rejected in this program.

- * Excluding basic expenses or internal funds as allocated within your institution, commercial activities as defined in the Commercial Code, and financing through direct or indirect financing.

○ Measures against “Excessive Concentration”

Even if the content of the research proposed for this program differs from the content of another research being carried out under another competitive research funding program or other research funds, if that the overall research funding allocated to the same researcher or research group (hereinafter referred to as “researchers”) in relevant fiscal year exceeds an amount that can be utilized effectively and efficiently and can be used within the research period, and in this program, research projects may be rejected in accordance with the degree of the following cases.

In the case that an excessive amount of research funding is being received in light of the capabilities of the researchers and the research methods being used, etc.

- 1) In the case that an excessive amount of research funding is being received in light of the capabilities of the researchers and the research methods being used, etc.
- 2) In the case that an excessive amount of research funding is being received, compared with the amount of effort (percentage of the researchers’ overall working time that is required for carrying out the said research project* :100%) allocated to the research project.
- 3) In the case that highly expensive research equipment is purchased unnecessarily.
- 4) Other cases equivalent to the above.

Hence, if you submit proposals to other competitive research funds or other research funds after submitting your application to this program, and the research project is selected by another competitive fund, or if any information provided on your application changes, please report this promptly to the administrative staff of this program (Department of Disruptive Research, JST). In the event of any omission in this report, the research project may be rejected in this program.

- * The total work time of a researcher includes the time not only for research activities but also for teaching activities, management assignments, and other activities substantially equivalent to work.

Besides the <Projects Subject to Duplication Restriction> stated in item "j," segment (2) of section 2.6.1, if the research applicant is to receive the funding exceeding 30 million yen per year in total in any other system, research aid, or the like including that from any system or private funds overseas in FY 2023 or in FY 2024, the judgement of acceptance or rejection of the application, the amount of the budget, and so forth will be determined based on a comprehensive viewpoint in consideration to the elimination of unreasonable duplication or excessive convergence¹⁹. The aforementioned does not necessarily apply to the research at the stage of application; however, the research application in this program may be excluded from the screening process and the research application successfully selected may be canceled depending on the result of the aforementioned selection.

○ How to eliminate Unreasonable Duplication and Excessive Concentration

To eliminate unreasonable duplication and excessive concentration of competitive research funds, ensure transparency in research activities, and ensure appropriate efforts, applicants shall provide the following information at the time of application.

- (i) Providing information on the current application / acceptance status of other competitive research funds including other ministries and other research funds, and all current affiliated institutions / positions.

At the time of application, regarding the principal investigator / principal collaborator, the current application / acceptance status of other competitive research funds including other ministries and other research funds (system name, research subject, implementation period, budget amount, effort, etc.) (Hereinafter referred to as "information on research funds") and information on all current affiliated institutions / positions (including side jobs, participation in foreign recruitment programs, honorary professors without employment contracts, etc.) (hereinafter referred to as "information") Please enter "information about your institution / position") in the application documents and the research and

¹⁹ In the case in which excess research funding has been distributed compared with the effort (the ratio (%) of the time that is to be necessary for the implementation of the applicable research with respect to the total time of the work of the researcher) distributed to the applicable research project.

development management system common to all ministries (hereinafter referred to as "e-Rad"). If the application documents or e-Rad contain false statements, the research project may be rejected.

Of the information on research expenses, information on joint research with which confidentiality agreements have been signed will be handled as follows in consideration of individual circumstances so that activities such as industry-academia collaboration will not be shrunk.

- Only the information necessary to confirm whether the submitted research project does not result in unreasonable duplication or excessive concentration of research funds and can appropriately secure the effort related to the execution of the research project (in principle, information of the joint research such as only the name of the partner institution, the amount of research funds accepted, and information related to effort) will be requested.
- However, if it is difficult to submit due to unavoidable circumstances such as when it is difficult to submit based on the contents of the confidentiality agreement that has already been concluded, it is possible to submit the application without entering the name of the partner institution and the amount of research expenses accepted. Even in that case, JST may make inquiries to the institution to which we belong if necessary.
- In addition to the affiliated institution, information may be shared between distribution institutions and related ministries and agencies, but even in that case, it will be shared only by those who have a duty of confidentiality.

When concluding a non-disclosure agreement, etc. in the future, please consider assuming that you may submit only the necessary information when applying for competitive research funds. However, if both contracting parties agree on the scope of information to be kept confidential and its legitimate reason (such as when it is considered to be extremely important in corporate strategy and highly confidential), the confidential information will be submitted. Please note that it is possible to make a contract that does not assume.

- (ii) Provision of other information necessary to ensure transparency in all research activities in which one is involved.

To ensure transparency in all research activities in which you are involved, including information on research expenses, information on affiliated institutions and job titles, and support for facilities and equipment other than donations and funds (*). JST requests a pledge that we are properly reporting the information necessary for the research to the institution to which we belong based on the relevant regulations. If it is found that an appropriate report has not been made in violation of the pledge, the research project may be rejected.

Regarding information on the acceptance status of facilities / equipment, etc. that are not used for the research project of the application but are used for the research that is separately engaged, can the research project be sufficiently carried out without unreasonable duplication or excessive concentration. From the viewpoint of confirming, in addition to the pledge, JST may ask the affiliated institution to submit the status of grasping and managing the information.

- * Includes cases where articles such as research facilities, machines, and equipment are supplied and services are provided even in the manner of free of charge.

○ Information on Proposal Contents Provided to Eliminate Unreasonable Duplications and Excessive Concentration

In order to eliminate unreasonable duplication and excessive concentration, to the extent necessary the information of some proposals (or selected projects/programs) may in some cases be provided through the Cross-ministerial R&D Management System (e-Rad) to other departments in charge of competitive funds, including other government ministries. Furthermore, when it is required that checks be made for duplicate project applications under other funding programs, the information may be provided in a manner alike.

4.3 Ensuring research integrity against new risks associated with internationalization and openness of research activities

In order to promote the creation of science and technology and innovation in Japan, it is necessary to continue to strongly promote international joint research with various partners, with open science as the main principle. At the same time, in recent years, new risks associated with the internationalization and openness of research activities may impair the values that form the basis of the research environment, such as openness and transparency, and researchers unintentionally fall into conflicts of interest and responsibilities. Dangers have been pointed out, and under these circumstances, building an internationally reliable research environment as Japan will promote necessary international cooperation and exchanges while preserving the values that form the basis of the research environment. Is indispensable for.

Therefore, universities, research institutes, etc. have stated that "the policy for ensuring research integrity against new risks associated with the internationalization and openness of research activities (decided by the Integrated Innovation Strategy Promotion Council on April 27, 2021). Based on this, establish rules and management systems related to conflicts of interest and responsibilities, and autonomously ensure the soundness and fairness (research integrity) of research at researchers, universities, research institutes, etc. Is important.

From this point of view, we are confirming whether we can appropriately secure efforts while eliminating unreasonable duplication and excessive concentration of competitive research funds and ensuring transparency in research activities. We may make inquiries to the institution to which we belong, as necessary, regarding the status of maintenance of regulations and the status of grasping and managing information.

4.4 Measures against Inappropriate Usage of Research Funds

Inappropriate use and reception (referred to as “inappropriate usage” hereinafter) of research budgets related to implemented issues are strictly treated as described below.

○ Measures Taken in the Case that Inappropriate Usage of Research Expenses are Found

(i) Measures to Cancel Contracts

The Collaborative Research Agreement contract is cancelled or altered if issues of inappropriate usage are found, and a request is made for refunding all or part of the entrusted funds. Contracts for the following year and subsequent years may not be concluded.

(ii) Measures to Restrict Application and Participation Eligibility (※1)

Restriction measures set out in the table below, depending on the levels of inappropriate usage, are taken against the application and participation eligibility of researchers (including researchers who conspired, referred to as (“researchers who conspired to inappropriate usage”)) who exercised inappropriate usage of research expenses of this project or those whose involvement in inappropriate usage is not proven but who violated due care of a prudent manager. Or, they are otherwise reprimanded.

Furthermore, the outlines of pertinent inappropriate usage (names of researchers who exercised inappropriate usage, project names, affiliations, research issues, amounts of budget, fiscal year of research, contents of inappropriate usage, contents of measures taken) are provided to persons of other ministries and their independent corporations in charge of competitive funds, who may restrict application and participation of the researchers in other systems for competitive funds of the prefectures.

※1 “Application and participation” refers to proposing, applying for, or submitting a new proposal, participating in new research as a co-researcher, or participating in an ongoing research project (ongoing proposal) as a Principal Investigator or co-researcher.

Classification of person who committed or is involved in misconduct in use of research budget	Extent of maliciousness in misconduct		Period of ineligibility for applying to competitive research fund, deemed to be reasonable*3,4
A researcher who committed a misconduct or a researcher who was in conspiracy with a person who committed a misconduct *1	1. Use of a research budget to make a private profit		10 years
	2. Other than 1.	① Impact of the misconduct on the society is substantial and maliciousness of the misconduct is judged to be high	5 years
		② Neither ① or ③	2-4 years

		③The impact of the misconduct on the society is small and the maliciousness of the misconduct is judged to be low.	1 year
A researched who used a fabrication and other dishonest means to receive a competitive research fund or etc. and a researcher who was in conspiracy with the person who committed this misconduct			5 years
A researcher who did not commit or was not involved in a misconduct, but used a research budget, inappropriately, failing to fulfill his/her duty of due care of prudent manager *2			1 to 2 years (in maximum) in accordance with the degree of failure of fulfilling his/her duty of due care of prudent manager

*3: A strict warning is issued under any of the following conditions without restricting application or eligibility for participation.

*1: In case of item 1, the influence over the society is minor, the malignancy of the act is minor, and the amount of unjustifiable use is small.

*2: In case of item 2, the influence over the society, as well as the malignancy of the act, is minor.

*4: The Period of ineligibility for applying will be calculated from the fiscal year following the fiscal year in which the misuse of research funds is recognized and the research funds are returned. Also ineligible in the fiscal year in which inappropriate usage of research funds are identified.

(iii) About Public Announcement of a Case of Inappropriate Usage

Among those who are involved in an inappropriate usage of the program's research funds or those who failed to fulfil their duty of due care of prudent manager, regarding those researchers whose eligibility of application to or participation in this program is restricted, information of the outline of their misconduct (name of the research institution, name of the funding program, year of misconduct, details of misconduct, the amount of misused funds, and number of researchers involved in the misconduct) will be disclosed in principle by JST. The outline of the misconduct case (project name, affiliation, research year, details of misconduct, and details of measures taken) will be also disclosed in principle by MEXT.

Furthermore, according to the "Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards)," once misconduct is determined as the outcome of an investigation of an institute, it will be the responsibility of the research institution to announce the results of the investigation; hence, we request that each institution deal with the matter appropriately, following the "Guidelines".

Please refer to the following web site for an overview of the current MEXT publication on fraud cases.

https://www.mext.go.jp/a_menu/kansa/houkoku/1364929.htm

4.5 Measures taken for Researchers whose Application and Participation Eligibilities are Restricted in Another Competitive Fund System

Researchers on whom restriction is imposed for the reason of inappropriate usage of research expenses in another competitive fund system (*) under the central government or independent administrative agencies are not eligible to apply to or participate in this program while their qualifications are restricted for application in the competitive fund system.

“Other competitive fund systems” include those systems that newly start a call for proposals in public 2024 fiscal year and those that finished before the 2023 fiscal year.

* Refer to following website

<https://www8.cao.go.jp/cstp/compefund/>

4.6 Majors taken to the Violation of Related Guidelines

In the event that research is conducted in violation of relevant laws, regulations, guidelines, etc., the researcher will be subject to disciplinary action and penalties in accordance with related laws and regulations, and the allocation of research funds may be suspended or the decision to allocate research funds may be revoked.

4.7 Carryover of Research Expenses

Making a carryover of research expenses until the end of next fiscal year for a maximum, for multi-year contracts that continue until the next year, may be permitted according to the delay of the progress in the project occurs and is difficult to conclude within the fiscal year due to unavoidable conditions difficult to determine in advance the research or study method of the experimental research, such as weather-related conditions, obtaining rare materials and others etc.

4.8 Cross-ministerial Expenses Handling Partitioned Table

The expense items of research costs specific to FOREST Program are determined on the basis of “Cross-ministerial Expenses Handling Partitioned Table.” As for research expenditure, refer to the “Cross-ministerial Expenses Handling Partitioned Table” as well as JST official administration manual which is published on the website.

https://www.jst.go.jp/contract/download/2023/2023_sohatsu_jimu_betsu9.pdf

Currently, in response to the "6th Science and Technology / Innovation Basic Plan", the "Integrated Innovation Strategy 2022" and the "Comprehensive Package for Strengthening Research Capabilities and Supporting Young Researchers", the system for competitive research funding is being improved. Based on this, in this project, it is possible to spend personnel expenses of the research representative of the project (hereinafter referred to as “PI”) expenses related to agency work other than research (buyout expenses) from direct expenses. And, when spending expenses (buyout expenses) related to agency work other than research and PI personnel expenses, the following requirements need and paperwork procedure to be checked.

In addition, based on the "Common Guidelines for the Development of a Competitive Research Funding System from the Perspective of Gender Equality and Human Resource Development" (February 8, 2023, Liaison Conference of Relevant Ministries and Agencies on Competitive Research Funding), this project allows for the expenditure of

direct expenses for the promotion of human resource development in science and engineering fields for the next generation.

- "Review of the Possibility of the Use of Direct Costs for Someone to Carry Out Duties Other Than Research (Introduction of Buyout System) and for Principal Investigator (PI) Personnel Expenses (contact)" (September 17, 2020)

<https://www.jst.go.jp/osirase/2020/pdf/20200917.pdf>

4.9 Exchange of Direct Costs between Expense Items

Direct costs of different expense items can be exchanged under certain condition. Exchange are allowed without approval from JST when the amount of direct costs to be exchanged does not exceed 50% of the total direct costs.

4.10 Securing Research Period until the end of Fiscal Year

In order to enable researchers to continue their research work until the end of a fiscal year, statements below should be followed in every JST competitive funds.

(1) JST makes inspections on the completion of the project and the achievements of the research.

(2) Submit the accounting report by May 31.

(3) Submit the report on the research achievements by May 31.

- * When the end of the research falls on a date other than March 31, the deadline for submission is, as a rule, 61days after the end date.

Each research institute should make efforts to organize necessary systems at the institute based on the fact that the purpose of those practices is to secure the research period that continues at the end of a fiscal year.

4.11 Storage of Receipts and Report of Actual Usage of Overhead Costs (Indirect Costs)

Institutions who received overhead costs are required to manage the costs appropriately and store the receipts as evidence for the appropriate use of overhead costs for five years counted from the next fiscal year from which the project ended.

Institutions which received overhead costs are required to report the actual use of overhead costs via e-Rad before June 30 of the next fiscal year. (If a research institute has acquired two or more competitive research funds, report all indirect costs accompanied by such competitive research funds.)

How to use e-Rad system is described on user manual of e-Rad is provided on the website

(https://www.e-rad.go.jp/manual/for_organ.html).

FAQs are also provided on the website (<https://qa.e-rad.go.jp/>).

4.12 Promotion on Effective Use of Research Facilities and Equipment

According to “Reform on Competitive Research Funds for Sustainable Creation of Research Achievements (Midterm Summary)” (Examination Meeting on the Reform of Competitive Funds, June 24, 2015), it is considered appropriate that facilities/equipment which are comparatively large in scale and have high general applicability should in principle be shared, under the assumption that the original research objectives are sufficiently accomplished. In addition, the "6th Science and Technology Innovation Basic Plan" (Cabinet decision on March 26, 2021) and the "Integrated Innovation Strategy 2022" (Cabinet decision on June 3, 2022) request the promotion on the maintenance and sharing of research equipment and facilities, to establish a system for introducing, updating and utilizing research equipment (core facility), and to formulate and publish a sharing policy.

The Ministry of Education, Culture, Sports, Science and Technology (MEXT) provided the "Guidelines for Promotion of Sharing of Research Facilities" in March 2022.

Based on the above, for research facilities/equipment which are purchased by this program, and particularly for large scale, general purpose items, positive efforts for sharing should be made, including sharing within the scope that does hinder the progress of the applicable Research Project, use of research facilities and equipment purchased with other research funds, and purchase and sharing by combining multiple research funds, within the scope of the management conditions of other research funds and in accordance with the sharing system in the affiliated institution or organization. It is important to examine the sharing of research facilities due to strengthen research performance. Please note that it is necessary to strike a balance between management as shared equipment/facilities and accomplishment of the research purpose of the applicable Research Project.

Moreover, participants are asked to promote the sharing of research facilities and equipment beyond the framework of individual research organizations and institutes by positively cooperating with the "Inter-University Network for Common Utilization of Research Equipment," which was implemented for the purpose of the mutual use of facilities in the National Institutes of Natural Sciences, and the sharing system constructed thanks to the "Equipment Support Center Maintenance Business New Shared System Introduction Support Program" and the "New Shared System Introduction Core Facility Construction Support Program" in each university.

- "Reform on the Competitive Research Funds for Sustainable Creation of Research Achievements (Midterm Summary)" (Examination Meeting on the Reform of Competitive Funds, June 24, 2015), in Japanese.

https://www.mext.go.jp/b_menu/shingi/chousa/shinkou/039/gaiyou/1359306.htm

- "6th Science and Technology / Innovation Basic Plan" (March 26, 2021, Cabinet decision), in Japanese.

<https://www8.cao.go.jp/cstp/kihonkeikaku/6honbun.pdf>

- “Integrated Innovation Strategy 2022” (June 3, 2022, Cabinet decision), in Japanese.

https://www8.cao.go.jp/cstp/tougosenryaku/togo2022_honbun.pdf

- Unification of usage rule of competitive research funds (May 24, 2023), in Japanese.

https://www8.cao.go.jp/cstp/compefund/toitsu_rule_r50524.pdf

- "The Purchase of Shared Facilities Using Multiple Research Fund Systems (Use of Combined Total)" (September 10, 2020, Agreement between the institutions allocating funds and the relevant supervising government bodies)
https://www.mext.go.jp/content/20200910-mxt_sinkou02-100001873.pdf
- "Guidelines for Promotion of Sharing of Research Facilities" (March 2022), in Japanese.
https://www.mext.go.jp/content/20220329-mxt_kibanken01-000021605_2.pdf
- Inter-University Network for Common Utilization of Research Equipment, in Japanese.
<https://chem-eqnet.ims.ac.jp/>
- New shared system introduction support program
<https://www.jst.go.jp/shincho/program/sinkyoyo.html>
- Core Facility Construction Support Program, in Japanese
<https://www.jst.go.jp/shincho/program/corefacility.html>

4.13 Improvement of Treatment of Doctoral Student Participants

In the "Science and Technology / Innovation Basic Plan" (decided by the Cabinet on March 26, 2021), financial support for graduate students, especially doctoral students (second semester), in order to attract excellent students and working people from inside and outside of Japan. The aim is to triple the number of doctoral students who receive living expenses equivalent to the conventional amount (equivalent to about 30% of students enrolled in the doctoral program receiving living expenses equivalent). Set as a numerical target, "RA, etc. in each business and university, etc., in order to promote salary payment at an appropriate level as a research assistant (RA) to doctoral students from competitive research expenses and joint research expenses. We will formulate rules for the expenditure of RA expenses related to employment and rewards, and will implement them sequentially from FY2021. " There is a need to expand employment and improve treatment of doctoral students as research assistant (RA) in universities and research institutions.

Furthermore, the "Guideline for the Employment and Nurturing of the Postdoctoral etc." Human Resources Committee, Council of Science and Technology, December 3, 2020) stated concerning the students in doctoral courses that "they have the aspects of both students and researchers, and providing a good environments for conducting research activities and securing the working conditions for them are important duty of the universities and colleges, which are to nurture researchers," that "specifying the compensation appropriate to the nature and contents of work, paying compensation according to the time spent for work under an appropriate management of the working, and providing the compensation according to the contribution appropriately evaluated are important in particular," and that "reviewing the rules, regulations, and the like in each universities and colleges is necessary so that some necessary cost may be counted up as the direct cost to recruit an RA at the time of application for a competitive research funding, and that paying the compensation at an appropriate level to such RA may be possible."

Based on the above, in this program, please actively employ doctoral (second semester) students who are necessary for the execution of the research as RAs to the extent consistent with the objectives of this program and the research plan of the FOREST researcher, and pay them according to the hours worked under appropriate work management by setting a unit price commensurate with the nature and content of the work while setting the salary level to be equivalent to the cost of living. In addition, when applying for this program, please apply with a financial plan that also takes into account pay by doctoral students.

(Points to remember)

- With regard to the salary level equivalent to living expenses (around 1.8–2.4 million yen per year), the "6th Science and Technology /Innovation Basic Plan" assumes that 1.8 million yen per year is an amount equivalent to living expenses; it also references payments to excellent doctoral students—doctoral course students (DC) who are paid research subsidies so they can give their undivided attention to their research without feeling financial unease—and gives 1.8–2.4 million yen per year as an indicative range for the amount needed to live.
- The "Guideline for the Employment and Nurturing of the Postdoctoral etc." states concerning the treatment in the case in which a student in a doctoral course is hired for executing a research project that "the payment of 2,000 yen to 2,500 yen per hour²⁰ be standard in consideration to the average amount of the salaries etc. of the specially appointed assistant professors etc. hired for some competitive research funding, etc."
- The specific amount and period of payment will be determined by the research institution. There is no restriction on the amount of payment above or below the level mentioned above.
- When hiring students as RAs, etc., please consider not making them work excessive hours and the balance between the work time and doctoral (second semester) students' own research and study time.

In this program, it is planned that some additional support necessary to hire an RA (equivalent to the amount of living expenses at the maximum) is paid in an attempt to nurture the FOREST researchers to be leading researchers and to further facilitate the FOREST research with excellent students in their doctoral courses participating and, in addition, to promote the awareness being changed by researchers, universities, and colleges. For such additional support, we comprehensively review whether the FOREST researcher can take proactive attitudes to cope with the nurturing of the next-generation human resources that may lead the future of this country as a chief at a research laboratory.

²⁰ The standard pay for a specially-appointed assistant professor employed with competitive research funds is considered to be around 2,000 yen to 2,500 yen per hour, taking average amounts of pay into account. (Calculated based on the median monthly salary (between 400,000 and 450,000 Yen) of specially-appointed assistant professors according to the Survey on Instructor Employment at Research Universities (Preliminary Report) published August 2020 divided by the number of working hours per day (between 7 hours 45 minutes and 8 hours) for actual days worked (between 19 and 20 days), excepting weekends and holidays, and multiplying by 0.8 in light of their status as doctoral students.)

4.14 Securing Independent and Stable Research Environment for Young Researchers

The "Guideline for Employment and Upbringing of Postdoctoral Researcher" (December 3, 2020, Council for Science and Technology, Committee on Human Resources) point out that "there are many postdoctoral fellows whose tenure is less than three years, however, too short employment period can be a hindrance a major obstruction to career development, and from this view point, it is necessary to secure a term that allows them to settle down and concentrate on their research activities for a certain period of time.", and "after working as a postdoctoral fellow at one or two places, considering that it is desirable to proceed to the next step in about 3 to 7 years until the mid-30s, it is hoped that each post will have a term of 3 to 5 years."

In addition, regarding national universities and inter-university research institute corporations, the Guidelines for Human Resource Payroll Management Reform in National Universities: Towards the Construction of an Appealing Human Resource Payroll Management that will Contribute to Enhancing Education and Research Abilities (February 25, 2019, Ministry of Education, Culture, Sports, Science and Technology) state that "in order to realize both perspectives of training and stable employment for young teaching staff, it is desirable to promote an institutional system that incorporates the perspective of training researchers while maintaining mobility; for example, ensuring a fixed period of employment of around 5–10 years by making use of expenses that can be used with a high degree of freedom, such as indirect costs or donations, even if there is a fixed period of employment."

Based on the above, if you are employing young researchers such as specially-appointed teaching staff or postdoctoral researchers in these programs, please confirm who is responsible for human resources and accounting in your department, and endeavor to guarantee the research period as the length of their term of employment; please try to ensure as much as possible that the term of employment is fixed by making use of indirect costs, basic costs, donations, etc. from external funds.

4.15 Promoting gender equality and human resources initiatives

In the "Basic Plan for Science, Technology and Innovation (approved by the Cabinet on March 26, 2011)," the "Basic Plan for Gender Equality (approved by the Cabinet on December 25, 2020)," and the "Policy Package on Education and Human Resource Development for the Realization of Society 5.0 (decided by the Council for Science, Technology and Innovation on June 2, 2022) aims to create a research environment where both men and women can easily continue their research activities even after life events such as childbirth, childcare, and nursing care, and to promote the appointment of excellent female researchers as project leaders. Furthermore, through efforts to convey the attractiveness of science and engineering to female junior and senior high school students, including their parents and teachers, the project aims to increase the percentage of women entering master's and doctoral programs, mainly in science and engineering, to break through the low rate of women entering doctoral programs in the natural sciences, and to increase the number of potential bearers of knowledge in Japan. If gender differences are not taken into account in the research and development process, they may have inappropriate effects during the social

implementation stage. Therefore, it is necessary to conduct research and technological development that takes gender differences, such as differences in physique and body structure and function, into consideration. In light of the above, this project will also take into consideration efforts to promote the activities of female researchers and expand the base of human resources who will be responsible for science and technology in the future.

4.16 Voluntary Research Activities of Young Researchers Employed for Executing a Project

Based on "Implementation Policy on Voluntary Research Activities of Young Researchers Employed for Competitive Research Fund Projects" (The Coordination Committees of Relevant Ministries and Agencies on Competitive Funds, December 18, 2020), when the principal investigator determines that it does not hinder the promotion of the program, participation of such young researchers contributes to the promotion of the program, and approval from affiliated research institute is obtained, the personnel costs for such young researchers can be paid from the research cost, and a part of their efforts can be spent for their activities, including their voluntary research activities and their research and management capacity improvement. For details, refer to the following website:

- "Voluntary Research Activities of the Young Researchers Employed for Executing a Project (Liaison Committee)" (April 10, 2020)

<https://www.jst.go.jp/osirase/2020/pdf/20200414.pdf>

- Official Administration Manual (for FOREST, Supplemental Version)

https://www.jst.go.jp/contract/download/2023/2023_sohatsu_hokan.pdf

4.17 Support for Diverse Career Paths for Young Researchers

The "6th Science and Technology Innovation Basic Plan" (Cabinet decision of March 26, 2021) also sets targets regarding the creation of "an environment in which excellent young people can develop their potential in various fields, such as academia, industry, and government". Furthermore, the "Guideline for the Employment and Training of Postdoctoral (December 3, 2020, the Committee on Human Resources, Council for Science and Technology Policy) states that "it is essential that doctorate human resources with high level specialization and advanced research skills should help drive innovation by contributing in a wide range of positions, including at venture companies and global corporations, and accordingly, initiatives are needed for the diversification of career paths after the completion of the postdoctoral period."

Based on this, when a project is selected in this call for research applications and young researchers such as specially appointed faculty members and postdoctoral personnel are to be employed with public research funds (competitive research funds or other project research funds, or public invitation-type education research funds for universities), the institution concerned should make active efforts to support those researchers in securing diverse career paths.

Institutions should also consider using indirect funds in these efforts.

4.18 Securing management personnel such as URA

In the "6th Science and Technology Innovation Basic Plan" (Cabinet decision on March 26, 2021), it is pointed out the importance that quality assurance and treatment improvement as professionals so that management personnel such as URA becomes attractive jobs. In addition, the "Comprehensive Package for Strengthening Research Capabilities and Supporting Young Researchers" (January 23, 2020, Science Technology, and Innovation Conference) also showed the need to establish career paths for management personnel, URAs, engineers, etc.

Based on these, when management personnel such as URA employed by research institutes or newly hired are engaged in the management of research programs of this project, the research institute is not limited to this project, but other management personnel. Please try to secure a fixed term as much as possible by utilizing indirect expenses of external funds, basic expenses, donations, etc.

At the same time, as support for securing a career path for such management personnel, we would like to ask for active efforts such as having them participate in URA training, etc. Also, please consider using indirect expenses for the project.

4.19 Security Export Control (Measures against Leakage of Technology internationally)

Many advanced technologies are studied at research institutions. Particularly at universities, there is a heightened risk of leakage of advanced technologies and research-related materials/equipment or misuse in development/manufacture of weapons of mass destruction owing to the increased number of international students and foreign researchers due to internationalization. For this reason, an organizational response by the research institution is required when a research institution conducts research activities, including the relevant contract research, so that research results with potential military applications are not passed to groups or individuals considering activities of concern, such as terrorist groups and developers of weapons of mass destruction.

In Japan, export controls (*) are imposed based on the Foreign Exchange and Foreign Trade Act (Act No. 228 of 1949; hereinafter, "Foreign Exchange Act") for the purpose of maintaining international peace and security. Accordingly, when attempting to export (provide) goods or technologies controlled under the Foreign Exchange Act, in principle, a license from the Minister of Economy, Trade and Industry (METI) is necessary. All those participating in this program must comply with the Foreign Exchange Act and all other laws, ordinances, guidelines, notifications, etc. of the national government. In addition to legal action and penalties, distribution of research funds may be stopped and the decision to allocate research funds may be cancelled if research is conducted in violation of the relevant laws, ordinances, guidelines, etc.

(*) Currently, Japan's security export control system is mainly based on international agreements, etc. (1) Freight with certain specifications and functions among the items listed in Appendix 1 of the Export Trade Control Order and Appendix 1 of the Foreign Exchange Order (A system (list regulation) that requires the permission of the

Minister of Economy, Trade and Industry when trying to export (provide) technology) and (2) when trying to export (provide) cargo (technology) that does not fall under the list regulation, military It consists of two systems (catch-all control) that require the permission of the Minister of Economy, Trade and Industry when there is a risk of diversion (use requirements / consumer requirements or inform requirements).

Not only the export of cargo but also the provision of technology is subject to the regulation of the Foreign Exchange Law. When providing list regulation technology to non-residents (including residents who fall under a specific type (*) after May 1, 2022), or when providing it in a foreign country, prior to the provision. Permission required. To provide technology, we provide technical information such as design drawings, specifications, manuals, samples, and prototypes on storage media such as paper, mail, CD, DVD, and USB memory, as well as technical guidance and skills. It also includes the provision of work knowledge through training and technical support at seminars. Acceptance of foreign students from abroad and activities such as joint research may include many exchanges of technologies that may be subject to the regulations of the Foreign Exchange Law. Please note that the technology acquired through this project may also be subject to regulation when it is intended to be exported (provided).

(*) Refers to the type of resident who is strongly influenced by non-residents, and it is permitted based on the provisions of Article 25, Paragraph 1 of the Foreign Exchange and Foreign Trade Law and Article 17, Paragraph 2 of the Foreign Exchange Ordinance. Transactions or acts that provide technology that requires "1. (3) Refers to the specific types specified in (1) to (3).

In addition, based on the Foreign Exchange Law, it is necessary to establish a security trade management system when exporting list-regulated cargo or providing list-regulated technology to foreign countries (*3). Therefore, by the time the contract is concluded, it will be confirmed whether the project plans to provide cargo and technology that are subject to the export restrictions of the Foreign Exchange Law, and if there is an intention to provide, it will be confirmed whether or not there is a management system. It may be. If you are willing to provide and do not have a management system, we request that you establish a system by the earlier of provide or the end of this project. The confirmation status may be reported to the Ministry of Economy, Trade, and Industry at the request of the Ministry of Economy, Trade and Industry. In addition, if it is found that the technology acquired through this project violates the regulations related to the Foreign Exchange Law, the contract may be canceled in whole or in part.

(*3) Exporters, etc. are obliged to comply with the "Exporter Compliance Standards" stipulated in Article 55-10, Paragraph 1 of the Foreign Exchange Law. In addition, the security trade management system here is based on the management system in the "Exporter Compliance Standards", and by appropriately exporting list-regulated cargo or providing list-regulated technology to foreign countries. The internal control system of an organization to prevent illegal exports. Details of security trade management are available on the websites of the Ministry of Economy, Trade and Industry. See below for details.

- Ministry of Economy, Trade and Industry (METI) : Security export control (general)

<https://www.meti.go.jp/policy/anpo/englishpage.html>

- Ministry of Economy, Trade and Industry (METI) : Deemed export control (* 2 related page above)

<https://www.meti.go.jp/policy/anpo/anpo07.html>

- Ministry of Economy, Trade and Industry (METI) : Guidance on sensitive technology management related to security trade (for universities and research institutes)

https://www.meti.go.jp/policy/anpo/law_document/tutatu/t07sonota/t07sonota_jishukanri03.pdf

- Ministry of Economy, Trade and Industry (METI) : Model Security Trade Management Regulations Manual for Universities and Research Institutes

<https://www.meti.go.jp/policy/anpo/daigaku/manual.pdf>

- Center for Information on Security Trade Control

<http://www.cistec.or.jp/english/index.html>

- Ministry of Economy, Trade and Industry (METI) : Security Trade Guidance (Introduction)

<https://www.meti.go.jp/policy/anpo/guidance.html>

4.20 Strict Adherence to United Nations Security Council Resolution No. 2321

In response to the nuclear test and repeated launching of ballistic missiles by North Korea in September 2016, The United Nations Security Council (hereinafter referred to as “Security Council”), adopted Security Council Resolution No. 2321 on October 30, 2016, that substantially increased and strengthened sanctions against North Korea. Accordingly, the Ministry of Education, Culture, Sports, Science and Technology (MEXT) issued the Request for Strict Adherence to United Nations Security Council Resolution No. 2321 (2016 MEXT document No. 98) on February 17, 2017.

“Scientific and technical cooperation” in the section 11 in the main text of the Resolution is not limited to technologies regulated under the Foreign Exchange and Foreign Trade Act, but includes all cooperation with the exception of medical exchange. Accordingly, it is important to remember that the research institution must adhere to this Resolution in all research activities, including the relevant commissioned research.

See the following link for more information on Security Council Resolution No. 2321.

- Ministry of Foreign Affairs of Japan: United Nations Security Council Resolution No. 2321, Japanese translation (Ministry of Foreign Affairs Notice No. 463 (issued on December 9, 2016))

<https://www.mofa.go.jp/mofaj/files/000211409.pdf>

4.21 Dialogue and Collaboration with Public Stakeholders

In "Promotion of" Science and Technology Dialogue with the People "(Basic Initiative Policy)" (decided by the

Minister of State for Science and Technology Policy and a member of the Diet on June 19, 2010), the excellent results of science and technology are constantly being achieved. To create and further develop Japan's science and technology, it is essential to return the results of science and technology to the people, gain the understanding and support of the people, and promote science and technology together. It has been. If the research results are selected for this open call and receive an annual allocation of 30 million yen or more per year, public lectures on research results, symposiums, continuous distribution of research results on the Internet, and various stakeholders We ask that you actively engage in "scientific and technical dialogue with the people" such as round table conferences that involve the public.

- Promotion of "Science / Technology Dialogue with the People" (Basic Initiative Policy)

https://www8.cao.go.jp/cstp/stsonota/taiwa/taiwa_honbun.pdf

In the “Science, Technology and Innovation Basic Plan (decided by the Cabinet on March 26, 2020)”, there is a demand for co-creation of knowledge and enhancement of science and technology communication through the participation of diverse entities such as public participation. The following examples provided by JST are “interactive dialogue and cooperation among diverse subject”.

- Science Agora

<https://www.jst.go.jp/sis/scienceagora/>

- The National Museum of Emerging Science and Innovation (Miraikan)

<https://www.miraikan.jst.go.jp/>

4.22 Data Management Plan

JST announced the basic policy regarding the handling of research results for the promotion of open science in April 2017 and revised it in April 2022. This policy stipulates the basic concept of making research papers, open access and storing, managing, and disclosing research data in the research activities of this project.

Generally, researchers participating in this project are requested to make their research papers through institutional repositories and publications premised on open access in 12 months after publishment. In addition, based on the data policy of the research institution, researchers are required to create a Data Management Plan* that describes the policy and plan regarding the storage / management, disclosure / non-disclosure of research data generated through the research activities, submit it to JST together with the protocol, and undertake the research activities after implementing the storage, management, and release of research data based on this protocol. The research activities will be conducted in accordance with this protocol. The Plan may be modified during the conducting research.

See below for more information.

- JST’s basic policy regarding the handling of research results for the open science promotion

<https://www.jst.go.jp/all/about/houshin.html#houshin04> (Japanese version only)

- JST's basic policy operation guidelines regarding the handling of research results for the open science promotion

https://www.jst.go.jp/pr/intro/openscience/guideline_openscience_r4.pdf (Japanese version only)

*Items to be included in the Data Management Plan are described in this guideline

- Management and Utilization of Publicly Funded Research Data (Cabinet Office)

<https://www8.cao.go.jp/cstp/kenkyudx.html>

- Basic Approach to Management and Utilization of Publicly Funded Research Data (Integrated Innovation Strategy Promotion Council)

<https://www8.cao.go.jp/cstp/tyousakai/kokusaiopen/sanko1.pdf>

Please note that JST analyzes statistical data such as the number of data modules, the type of data, the type of disclosure, and the location of storage for the purpose of understanding the contents of the description, supporting researchers, and reflecting (revising) the basic policies. The statistical data analyzed will be made public, however no individual personal data or other information which allows identification of the names will be disclosed.

* As for life science data, please also refer to "4.23 Data Disclosure from the NBDC".

4.23 Data disclosure from NBDC

The National Bioscience Database Center (NBDC) of JST has proceeded the Integration of Life Science Database Project (<https://biosciencedbc.jp/>) to promote the integrated use of databases in the life sciences field created by various research institutions and others.

Additionally, in "Progress and Future Direction of the Integration of Life Science Database Project" (January 17, 2013), NBDC has also worked for the object projects that receive provision of data and databases are to be expanded.

Based on these points, program participants are asked to cooperate in disclosure of the following types of data and databases related to the life science field obtained from this program.

No.	Type of Data	Place of Disclosure	URL
1.	Overview of public databases that have been built	Integbio Database Catalog	https://integbio.jp/dbcatalog/?lang=en
2.	Data recorded in public databases that have been built	Life Science Database Archive	https://dbarchive.biosciencedbc.jp/index-e.html
3.	Of items in 2, data related to human beings	NBDC Human Database	https://humandbs.biosciencedbc.jp/en/

4.24 Inclusion of the Systematic Number in Acknowledgment and Other Sections

When presenting the outcomes of the research obtained through this program, please indicate the receipt of the grant through this program.

If you mention in the Acknowledgment of your paper that it was funded by this project, please include "JST FOREST Program, Grant Number 10-digit systematic number". The same applies when submitting a paper. The systematic numbers in this program are in the form of JPMJ + FR + four-digit alphanumeric.

Shown below are examples of the systematic numbers used in the acknowledgment in papers.

【in English】

This work was supported by the JST FOREST Program (Grant Number JPMJFRXXXX).

【in Japanese】

本研究は、JST創発的研究支援事業 JPMJFRXXXXの支援を受けたものです。

*If you have two projects or more in relation to a paper, list the names of the projects and the systematic numbers.

4.25 “Research Support Services Partnership Accreditation System (A-PRAS) ”

MEXT established the "Accreditation System for Partnership for Research Support Services (APRAS)" in 2019 with the aim of improving the research environment for researchers, accelerating the promotion of science and technology and creation of innovation in Japan, as well as providing support for the development of various initiatives related to research support services. Under this system, research support services provided by private business operators that meet certain requirements are accredited as a “Research support service/partnership” by the Minister of Education, Culture, Sports, Science and Technology. Nine services have been certified as of March, 2023. We strongly recommend you to utilize its wide variety of services such as search for joint researchers, release of 51 research results for publicity or promotion for commercialization, fund or equipment procurement. Details of each certified service can be found on the MEXT website below.

https://www.mext.go.jp/a_menu/kagaku/kihon/1422215_00001.htm

4.26 Reformation of Competitive Research Funds

Currently, the systems of competitive research funding are being discussed by the government of Japan under the scope of "6th Science, Technology and Innovation Basic Plan", "Integrated Innovation Strategy 2021" and "Comprehensive Package to Strengthen Research Capacity and Support Young Researchers " to enable the efficient and effective use of research funds. Further notice will be provided if any principle or the like common in competitive research fund programs with respect to the improvement and operation of the

systems is presented within the period of this call-for program and if such a principle is applied to this call-for program and its operation.

4.27 Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards)

(1) Implementation of Management and Audit Systems Based on the “Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards)”

In implementing the program, research institutions must stringently observe the “Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards)” (decided by the Minister of Education, Culture, Sports, Science and Technology; revised on February 11, 2021) *. There is a need for research institutions, having implemented a system for managing and auditing public research funds, to take responsibility for making every effort to properly disburse the contract research funds in line with the aforementioned guidelines. If the Ministry of Education, Culture, Sports, Science and Technology (MEXT) decides that the system of a research institution for managing and auditing is insufficient, based on an investigation according to the said guidelines, measures such as reduction of overhead costs of competitive funding could be taken on the said institution. “Competitive funding” includes all financing distributed by the MEXT and the independent administrative agency under the jurisdiction of the MEXT.

* Please refer to the following URL for the details of the “Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards).”

https://www.mext.go.jp/a_menu/kansa/houkoku/1343904_21.htm

(2) Submission of the “Self-evaluation Checklist for Implementation of Proper Systems” based on the “Guidelines for the Management and Audit of Public Research Funds in Research Institutions (Practice Standards)”

In concluding a contract for this project, the research organization must prepare for a management and auditing system for research expenses based on the said guidelines and submit a “Self-evaluation Checklist for Implementation of Proper Systems” (“checklist,” hereinafter), which is a report on the situation (research undertaking is not approved unless the checklist is submitted).

Accordingly, after April 1, 2024, you should review the content of the following website, download the FY2024 version of the checklist from the Cross-ministerial Research and Development Management System (e-Rad), fill it out completely, and submit it (upload it) via e-RAD to the Competitive Research Fund Coordination Office, Research Environment Division, Science and Technology Policy Bureau, Ministry of Education, Culture, Sports, Science and Technology (MEXT) by the designated date before the conclusion of the research contract. Please note that contracts for research institutions that have submitted the FY2023 version of the checklist will be approved

regardless of the above-mentioned guidelines, but the FY2024 version of the checklist should be submitted by December 1, 2024.

However, organizations that do not accept any competitive funding or the like or any provision from the Ministry of Education, Culture, Sports, Science and Technology (MEXT) or from an independent administrative agency in the jurisdiction of the Ministry of Education, Culture, Sports, Science and Technology do not need to submit a checklist.

For details on how to submit a checklist, access the following website of the Ministry of Education, Culture, Sports, Science, and Technology. (The following webpage contains information on the checklist for the fiscal year 2023. For the checklist for the fiscal year 2024, please refer to the webpage of the Ministry of Education, Culture, Sports, Science and Technology (MEXT) after April 2024.)

https://www.mext.go.jp/a_menu/kansa/houkoku/1324571.htm

* Note1: A perfect environment for using e-Rad is necessary for checklist submission. Note that it usually takes about two weeks. See the URL below in addition to the URL given above for details of the procedure related to the use of e-Rad.

<https://www.e-rad.go.jp/organ/index.html>

*Note2: Institutions that manage funds such as competitive research funds allocated by the Ministry of Education, Culture, Sports, Science and Technology (MEXT) and independent administrative agencies under its jurisdiction are required to submit the Checklist every year by December 1, for the duration of their continuous management.

Since the guidelines include the perspective of "promotion of information dissemination and sharing," we ask that you actively disseminate information on your research institution's efforts to prevent misconduct by posting such information on your institution's webpage.

4.28 Consideration on “Guidelines for Responding to Misconduct in Research”

(1) Administrative System based on the “Guidelines for Responding to Misconduct in Research”

In applying to this funding program and conducting research activities, research institutions are required to adhere to the “Guidelines for Responding to Misconduct in Research” (decided by the Minister of Education, Culture, Sports, Science and Technology (MEXT) on August 26, 2014, hereinafter referred to as the “guidelines”²¹).

In the case that the Ministry of Education, Culture, Sports, Science and Technology finds defects in the approach of organizations as a result of a survey of the situation, based on the guidelines, the Ministry may take measures including reduction of indirect expenses of the whole competitive fund for the pertinent organization.

²¹ Refer to the following webpage for the guideline (in Japanese)

https://www.mext.go.jp/a_menu/jinzai/fusei/index.htm

※For more information on " Guidelines for Responding to Misconduct in Research" see the following web page

https://www.mext.go.jp/b_menu/houdou/26/08/1351568.htm

(2) Submission of the “Self-evaluation Checklist” Based on the “Guidelines for Responding to Misconduct in Research”

When concluding a contract for this program, research organizations must submit “a checklist related to the approach, based on ‘Guidelines for responding to misconduct in research’ (hereinafter, “checklist of inappropriate research conduct”). (Research undertaking is not approved unless a checklist of inappropriate research conduct is submitted).

Accordingly, after April 1, 2024, you should review the content of the following website, download the FY2024 version of the Research Misconduct Checklist from the Cross-ministerial Research and Development Management System (e-Rad), fill it out completely, and submit it (upload it) via e-RAD to the Research Integrity Promotion Office, Research Environment Division, Science and Technology Policy Bureau, Ministry of Education, Culture, Sports, Science and Technology (MEXT) by the designated date before the conclusion of the research contract.

Institutions that are not receiving competitive funds from MEXT or administrative agencies under its jurisdiction through a budget allocation or budgetary measures do not have to submit the Research Misconduct Checklist.

See the website of the Ministry of Education, Culture, Sport, Science and Technology for details of the method for submitting a checklist of inappropriate research conduct. (The following webpage contains information on the Research Misconduct Checklist for the fiscal year 2023. Please refer to the MEXT webpage from April 2024 onward for the Research Misconduct Checklist for the fiscal year 2024.)

https://www.mext.go.jp/a_menu/jinzai/fusei/1420301_00001.htm

*Note: A perfect environment for using e-Rad is necessary for submission of a checklist for inappropriate research conduct. See the URL below for details of the procedure related to the use of e-Rad (Note that subscription process for e-Rad requires approximately two weeks) :

<https://www.e-rad.go.jp/organ/index.html>

(3) Measures Taken for Misconduct in Research Activities Based on the “Guidelines for Responding to Misconduct in Research”

Misconduct in research activities in this program is treated strictly as described below.

(i) Measures to Cancel the Contract

In the case of specific misconduct (fabrication, falsification, and plagiarism) is identified of research of the program, the Collaborative Research Agreement is cancelled or altered and a refund of all or part of the

entrusted expenses is requested. Furthermore, there may be cases in which no agreement is concluded in the following years.

(ii) Measures to Restrict Application and Participation Eligibility

Measures given in the table below, depending on the level of inappropriateness and responsibility of specific misconduct, to restrict application to and participation in this project are imposed upon researchers involved in certain misconduct in research papers or reports of this project and those whose involvement has not been established but who are found responsible to an extent for the violation of the duty of due care as a distinct manager of pertinent papers and reports. Furthermore, in the case that such restriction measures are taken on qualification for application and participation, information is provided to pertinent sections of competitive fund systems (referred to as “competitive fund system related to the Ministry of Education, Culture, Sport, Science and Technology” hereinafter) distributed by the Ministry of Education, Culture, Sport, Science and Technology and independent administrative agencies of the ministry and to pertinent sections of competitive fund systems (referred to as “competitive fund systems related to other ministries” hereinafter) distributed by other ministries and their independent administrative agencies, which may similarly restrict qualification for application and participation in competitive fund systems related to the Ministry of Education, Culture, Sport, Science and Technology and to other ministries.

Classification of person ineligible to apply to competitive research funds, being involved in specific research misconduct			Degree of maliciousness in specific research misconduct	Ineligible period of application.
Person who was involved in a research misconduct	1. Especially malicious person, who, from the beginning of research, had an intention to commit a specific research misconduct			10 years
	2. The author of a research paper, which is a product of a research where a specific research misconduct was committed	The authors of the paper, who are responsible for the whole content of it. Namely, they are the supervisor and the representative author of the paper or others who are identified to be equivalently responsible for the paper.	The misconduct has a substantial impact on the development of relevant research fields and on the society, or the maliciousness of the deed is judged to be high.	5-7 years
			The misconduct has a small impact on the development of relevant research fields and on the society, or the maliciousness of the deed is judged to be low	3-5 years
		The authors of the paper other than those described above.		2-3 years

	3. Persons who conducted a specific research misconduct other than those of 1 and 2.		2-3 years
Person who has not been involved in a specific research misconduct but is a responsible author of a paper relevant to a research where a specific research misconduct was committed, being the supervisor or representative author of the paper, or a person, who is identified to be equivalently responsible for the paper.		The misconduct has a substantial impact on the development of relevant research fields and on the society, or the maliciousness of the deed is judged to be high.	2-3 years
		The misconduct has a small impact on the development of relevant research fields and on the society, or the maliciousness of the deed is judged to be low	1-2 years

※The Period of ineligibility for applying will be calculated from the fiscal year following the fiscal year in which the misuse of research funds is recognized and the research funds are returned. Also ineligible in the fiscal year in which inappropriate usage of research funds are identified.

(iii) Measures Taken to Researchers whose Qualification is Restricted for Application to and Participation in the Competitive Fund System and Base Expenses

Qualification is restricted for application to and participation in this project for researchers whose qualification is restricted for application to and participation to competitive fund related to MEXT; management grants to national university corporations, inter-university research institute corporations and independent administrative agencies under MEXT; base expenses including private school subsidies; or competitive fund systems related to other ministries during the period the restriction is in effect.

(iv) Public Announcement of Misconduct

In principle, JST makes a public announcement with regard to the outline of specific misconduct in research activities of this project (name of researcher, project name, affiliation, research year, contents of misconduct, and measures taken). The Ministry of Education, Culture, Sports, Science and Technology also makes a public announcement concerning the contents of the pertinent misconduct (name of misconduct, kind of misconduct, research field of misconduct, name of expense account of misconduct, outline of misconduct, measures taken by research organization, measures taken by fund distributor, and so on).

In addition, the above-mentioned guidelines stipulate that the research organization shall promptly disclose the results of the investigation when a fraud has been identified.

https://www.mext.go.jp/a_menu/jinzai/fusei/1360483.htm

4.29 Duty to Complete Education on Research Ethics and Compliance

Researchers who participate in the project of this research program shall receive training on research ethics education for the prevention of misconduct in research activities as per the “Guidelines for Responding to Misconduct in Research” and on compliance education as per the “Guidelines for the Management and Audit of Public Research Funds in Research Institutions.”

During the process of concluding a Collaborative Research Agreement after the selection of a proposed research project, it is necessary for all researchers participating in the research project, including the Research Director and FOREST Researchers, to receive training on research ethics education and compliance education and submit a document to confirm their understanding of the contents of the training.

4.30 Handling of Information on Projects and Other Items on the e-Rad

Information on the e-Rad about each application (program name, project title, affiliated institution, research director name, budget, research period, and project overview) will be treated as “Information planned to be made public” in accordance with the “Act on Access to Information Held by Independent Administrative Agencies” (Act No. 140, 2001) Article 5, Item 1 (a). This information will be made available on the website for the program as appropriate after the selection.

4.31 Provision of information from e-Rad to the Cabinet Office

The "6th Science, Technology and Innovation Basic Plan" (approved by the Cabinet on March 26, 2021) stipulates that EBPM, which is policy making based on objective evidence, will be thoroughly implemented in the science, technology and innovation administration, and the information registered in the Cross-ministerial R&D Management System (e-Rad) will be used for appropriate evaluation of government-funded R&D, planning of effective and efficient comprehensive strategies, resource allocation policies, etc.

For this reason, you are requested to input information on research outcomes, accounting performance records, and indirect cost execution records for competitive grants, for each fiscal year for the selected project in the e-Rad.

The information necessary for macro analysis, including information on research outcomes and accounting performance records, will be provided to the Cabinet Office.

4.32 Registration of Researcher Information on researchmap

Researchmap (<https://researchmap.jp/>) is one of the largest researcher information databases in Japan, and it is also possible to make registered achievement information public. In addition, researchmap is also linked to e-Rad and many university faculty databases, so that registered information can be used in other systems, thus eliminating the need to register the same achievements repeatedly in various application forms and databases, thereby improving efficiency.

The information registered on researchmap is also effectively used for research and statistical purposes in academic and science and technology policy planning by the government, etc. We ask for your cooperation in actively registering this project on researchmap.

In this project, JST plans to use the researchmap operated by JST as a master database for performance information in various situations such as performance reporting in the future. In addition, the community function of researchmap will be used in project management, such as distribution of various files and event announcements. Therefore, registration on researchmap is mandatory for the selected Principal Investigators, and we recommend early registration if you have not yet done so.

4.33 Patent Applications by JST

In case a research institution does not acquire rights to an invention, JST may acquire those rights in some cases. Therefore, if a research institution does not foresee acquiring rights to an invention, the researcher should notify JST promptly, providing information concerning the said invention, etc. in any appropriate format. (The above “information concerning the said invention” means information necessary for JST to determine whether an application for intellectual property rights is possible or not, for example, a copy of the notification of invention used in the research institution.)

JST will conduct a study based on the received notice, and if JST judges, based on the results, that an application for the said invention, etc. is possible, a separate “Patent Rights Transfer Agreement” will be concluded between the research institution and JST.

Chapter 5: Submission via the Cross-ministerial R&D Management System (e-Rad)

5.1 Cross-ministerial R&D Management System (e-Rad)*¹

5.1.1 Description of e-Rad

The Cross-ministerial R&D Management System (e-Rad) is a cross-ministerial system that provides a series of on-line processes (acceptance of applications → selection → adoption → management of adopted subject → reporting of research results) to manage the publicly funded research projects under the jurisdiction of ministries and agencies.

* “e-Rad” is the abbreviation of the Cross-ministerial R&D Management System, which is created by adding the capital letter of “e” of “Electronic” to the capital letters of “Research and Development” for science and technology.

5.2 Application method using e-Rad

Applications must be submitted through the e-Rad (e-Research and Development Management System).

When applying, please refer to the e-Rad portal site (hereinafter referred to as "portal site")

(<https://www.e-rad.go.jp/>) .

* With regards to various application processes when using e-Rad, in principle applications using paper documents are not accepted, so be sure to carry out each process using the e-Rad portal site.

In addition to (1) and (2) on the next page, please pay particular attention to the following points when applying.

○ **Please submit your application via e-Rad.**

A proposal for which the application procedure has not been completed via e-Rad by the deadline will not be subject to screening.

○ **Recommended system environments to use the e-Rad system.**

The recommended system environments to use e-Rad are Firefox, Chrome, Edge, Safari.

(*IE cannot be used.)

<https://www.e-rad.go.jp/requirement.html>

○ **Pre-registration of research institution, researcher information and information related to research integrity is required.**

Please refer to “(1) Pre-registration for using e-Rad ” and “How to Apply Using the Common R & D Management System (e-Rad)” of Appendix Document.

○ **Please submit your application at least a few days (or more) before the application deadline for system entry.**

¹ "e-Rad" is an abbreviation of the R & D management system common to all ministries, with the acronym for Research and Development (R & D for science and technology) followed by the acronym Electric ((Electron)).

Input of information into e-Rad takes a minimum of around 60 minutes. Furthermore, on the day of the application deadline, there is a risk that the e-Rad system may be congested, and inputting may take a long time.

- **“Retraction” on e-Rad system is possible. However, Do NOT “retract” research proposals on the day of the application deadline.**

On the day of the application deadline, there is a risk that the e-Rad system may be crowded and re-editing the proposal after retraction may take a very long time. For details, please refer to the “Amending Submitted Application Information: ‘Retraction’” in “How to Apply Using the Common R & D Management System (e-Rad)” of Appendix Document.

- **The created application form file can be uploaded only in PDF format.**

e-Rad has a PDF conversion function from WORD and Ichitaro files. In general, please use this conversion function to convert the submitted form file to PDF. It is possible to convert it to PDF with your own application software, but be sure to check that the text copied and pasted from the PDF reader screen is not garbled. In PDF conversion by some apps, the text embedded in PDF may not be the correct character code.

- (1) Pre-registration for using e-Rad (<https://www.e-rad.go.jp/organ/index.html>)

Pre-registration of the research institution and affiliated researchers is required by the time of application.

- (a) Application for Registration of Research Organization

Please appoint one administrative representative for e-Rad at your research organization, and complete the procedures from "Application for Registration of Research Organization" (<https://www.e-rad.go.jp/organ/entry.html>).

* Please allow at least two weeks for registration.

* Once registered, you do not need to register again when applying for programs/projects administered by other ministries.

* If you have already registered for a program or project administered by another ministry or agency, you do not need to register again.

- (b) Registration of departmental information, administrative assistant information, position information, and researcher information

The administrative representative will log in to e-Rad with the ID and password obtained in (a), register departmental information, administrative assistant (if any), position information, and researcher information, and issue IDs and passwords for the administrative assistant and researcher.

For the registration procedure, please refer to "10. Procedures for Research Institutions," "11. Procedures for Research Institution Administrative Members," and "12. Procedures for Researchers" in the Manual for

Research Institution Administrative Representatives on the portal site (https://www.e-rad.go.jp/manual/for_organ.html).

(2) Application through e-Rad Proposal Submission by Researchers

Please refer to the Researcher's Manual on the portal site (https://www.e-rad.go.jp/manual/for_researcher.html). Applications that have not been "Processed" or "Accepted" by the submission deadline will be considered invalid. Please check the status of your application on the "Proposal List" page. If a researcher's application is submitted by the submission deadline date and time but does not reach one of these statuses, please contact the email address in "5.3.1 Where to direct questions on how to use the e-Rad system".

Although the proposal must be "accepted" in order for the allocating agency to manage the submitted proposal, acceptance is not required in terms of completion of the application process by the researcher. If the status of the submitted proposal is "Applying" and the application type (status) is "Allocation Agency Processing Application" by the deadline, the application has been successfully submitted.

<Cautions>

- (a) To apply, you must enter your application information online and attach the application form. The application form can be uploaded as a single electronic file with a maximum file size of 3 MB. If you use image data in the file, please pay attention to the file size.
- (b) Incomplete application forms will not be considered. Please read the application guidelines and research proposal instructions carefully.

5.3 Others

5.3.1 Where to direct questions on how to use the e-Rad system

Questions about the program itself are answered by the person in charge of the program, as usual. Questions about e-Rad operation methods are answered by the e-Rad Help Desk. Before asking questions, be sure to read both the website outlining the Call for Proposals and the e-Rad Portal site carefully. JST will not answer any questions regarding the status of the screening or acceptance.

Questions on matters such as programs, application documents for submission, and submission procedures	JST Department of Disruptive Research (person in charge of calls for proposals)	Be certain to send questions by e-mail, except when in a hurry. For matters related to proposal submission: E-mail : souhatsu-application@jst.go.jp Tel : 03-5214-7276 Office hours: 10:00 -12:00, 13:00 - 17:00 ● Except on Saturdays, Sundays, holidays, the year-end and new year period. [Communication by e-mail may be requested even when a question is asked by telephone.]
Questions regarding usage of the e-Rad system ※Please be sure to also contact the JST contact above regarding any operation just before the application deadline.	e-Rad helpdesk	Tel: 0570-057-060(navi dial) Office hours: 9:00-18:00 ● Except on Saturdays, Sundays, holidays, and the year-end and new year period.

- Website for this program: (<https://www.jst.go.jp/souhatsu/en/call/index.html>)

- e-Rad portal website (<https://www.e-rad.go.jp/en/>)

5.3.2 Availability of e-Rad

Basically, e-Rad operates 24 hours a day, 365 days a year, but may stop the service for system maintenance. This will be announced in advance on the portal site.

5.4 Points to be Noted Specific to This Program on the e-Rad Registration System

- The research applicant needs to **specify the panel with a role of the chief examiner (FOREST PO) in the screening step of the research application submitted.**
- **Make sure to specify the research area (major) and the research area (minor) <applicable to all applicants>.**
- **You must register an area code not belonging to "Humanities & Social Sciences" or "Others" as your research area (minor) if you register an area code belonging to "Humanities & Social Sciences" or "Others" as your research area (major). If you fail to register, your application will not be accepted. If you register an area code belonging to the "Humanities & Social Sciences" area or "Others" area to both research areas (major and minor), your application will not be accepted either.**
- **Research area (minor) is a optional item in the e-Rad system; use caution not to forget to specify this area.**
- You must register the state of the degree of satisfying "the conditions of independence" as of the time of submission.

For the relation of the research areas with the main FOREST POs or with the area codes belonging to "Humanities & Social Sciences" area or "Others" area, see section 6.1, "FOREST POs and Assigned Research Areas." Before you make your actual registration, see the separate paper for researchers posted on the portal website of the program, "How to Apply from the Cross-ministerial R&D Management System (e-Rad)."

Chapter 6: FOREST PANEL

6.1 FOREST POs and Assigned Research Areas

The e-Rad system should be used to specify the panel (the FOREST PO) as the chief screener in the screening process of your research application and the desired areas of the research (a major and minor area) for the initial document review. The FOREST POs and the research areas they are assigned are shown below. See the table for your information when you select the panel (the FOREST PO) as the chief screener in the screening process of your research application and examine the desired area of your specialty (a major and minor area) for the initial document review of your research application. It does not matter if your desired areas of specialty (major and minor) is different from The FOREST POs research areas they are assigned.

The FOREST POs research areas and area (minor) are optional item in the e-Rad system; use caution not to forget to specify this area.

Please note that if you select "Humanities & Social Sciences Others" as your desired areas of specialty (major and minor), a member of the "Review Team for Humanities and Social Sciences" may participate in the review process.

Panel	Code	Area	Code	Research contents
PANEL headed by Professor NAGAE	1000	Natural Science	11010	Algebra
	1000	Natural Science	11020	Geometry
	1000	Natural Science	12010	Basic analysis
	1000	Natural Science	12020	Mathematical analysis
	1000	Natural Science	12030	Basic mathematics
	1000	Natural Science	12040	Applied mathematics and statistics
	1000	Natural Science	13010	Mathematical physics and fundamental theory of condensed matter physics
	1000	Natural Science	13020	Semiconductors, optical properties of condensed matter and atomic physics
	1000	Natural Science	13030	Magnetism, superconductivity and strongly correlated systems
	1000	Natural Science	13040	Biophysics, chemical physics and soft matter physics
	589	Energy Engineering	14010	Fundamental plasma
	589	Energy Engineering	14020	Nuclear fusion
	589	Energy Engineering	14030	Applied plasma science
	1000	Natural Science	15010	Theoretical studies related to particle-, nuclear-, cosmic ray and astro-physics
	1000	Natural Science	15020	Experimental studies related to particle-, nuclear-, cosmic ray and astro-physics
	1000	Natural Science	16010	Astronomy
	1000	Natural Science	17010	Space and planetary sciences
	1000	Natural Science	17020	Atmospheric and hydrospheric sciences
	1000	Natural Science	17030	Human geosciences
	1000	Natural Science	17040	Solid earth sciences
	1000	Natural Science	17050	Biogeosciences
	589	Energy Engineering	80040	Quantum beam science

Panel	Code	Area	Code	Research contents
PANEL headed by Professor SHIOMI	689	Manufacturing Technology	18010	Mechanics of materials and materials
	689	Manufacturing Technology	18020	Manufacturing and production engineering
	689	Manufacturing Technology	18030	Design engineering
	689	Manufacturing Technology	18040	Machine elements and tribology
	689	Manufacturing Technology	19010	Fluid engineering
	689	Manufacturing Technology	19020	Thermal engineering
	289	Informatics	20010	Mechanics and mechatronics
	289	Informatics	20020	Robotics and intelligent system
	689	Manufacturing Technology	21010	Power engineering
	689	Manufacturing Technology	21020	Communication and network engineering
	689	Manufacturing Technology	21030	Measurement engineering
	689	Manufacturing Technology	21040	Control and system engineering
	689	Manufacturing Technology	21050	Electric and electronic materials
	689	Manufacturing Technology	21060	Electron device and electronic equipment
	889	Frontier Technology	24010	Aerospace engineering
	889	Frontier Technology	24020	Marine engineering

Panel	Code	Area	Code	Research contents
PANEL headed by Professor OKI	789	Social Infrastructure	22010	Civil engineering material, execution and construction management
	789	Social Infrastructure	22020	Structure engineering and earthquake engineering
	789	Social Infrastructure	22030	Geotechnical engineering
	789	Social Infrastructure	22040	Hydroengineering
	789	Social Infrastructure	22050	Civil engineering plan and transportation engineering
	789	Social Infrastructure	22060	Environmental systems for civil engineering
	789	Social Infrastructure	23010	Building structures and materials
	789	Social Infrastructure	23020	Architectural environment and building equipment
	789	Social Infrastructure	23030	Architectural planning and city planning
	789	Social Infrastructure	23040	Architectural history and design

	789	Social Infrastructure	25010	Social systems engineering
	789	Social Infrastructure	25020	Safety engineering
	789	Social Infrastructure	25030	Disaster prevention engineering
	589	Energy Engineering	31020	Earth resource engineering, Energy sciences
	389	Environmental science	63010	Environmental dynamic analysis
	389	Environmental science	63020	Radiation influence
	389	Environmental science	63030	Chemical substance influence on environment
	389	Environmental science	63040	Environmental impact assessment
	389	Environmental science	64010	Environmental load and risk assessment
	389	Environmental science	64020	Environmental load reduction and remediation
	389	Environmental science	64030	Environmental materials and recycle technology
	389	Environmental science	64040	Social-ecological systems
	389	Environmental science	64050	Sound material-cycle social systems
	389	Environmental science	64060	Environmental policy and social systems

Panel	Code	Area	Code	Research contents
PANEL headed by Professor FURUHARA	489	Nanotechnology/ Materials	26010	Metallic material properties
	489	Nanotechnology/ Materials	26020	Inorganic materials and properties
	489	Nanotechnology/ Materials	26030	Composite materials and interfaces
	489	Nanotechnology/ Materials	26040	Structural materials and functional materials
	489	Nanotechnology/ Materials	26050	Material processing and microstructure control
	489	Nanotechnology /Materials	26060	Metals production and resources production
	689	Manufacturing Technology	27010	Transport phenomena and unit operations
	689	Manufacturing Technology	27030	Catalyst and resource chemical process
	489	Nanotechnology/ Materials	28010	Nanometer-scale chemistry
	489	Nanotechnology/ Materials	28020	Nanostructural physics
	489	Nanotechnology/ Materials	28030	Nanomaterials
	489	Nanotechnology/ Materials	28050	Nano/micro-systems

	589	Energy Engineering	31010	Nuclear engineering
	489	Nanotechnology/ Materials	34010	Inorganic/coordination chemistry

Panel	Code	Area	Code	Research contents
PANEL headed by Professor GONG	689	Manufacturing Technology	27020	Chemical reaction and process system engineering
	689	Manufacturing Technology	27040	Biofunction and bioprocess engineering
	489	Nanotechnology/ Materials	28040	Nanobioscience
	489	Nanotechnology/ Materials	33010	Structural organic chemistry and physical organic chemistry
	489	Nanotechnology/ Materials	33020	Synthetic organic chemistry
	489	Nanotechnology/ Materials	34030	Green sustainable chemistry and environmental chemistry
	489	Nanotechnology/ Materials	35010	Polymer chemistry
	489	Nanotechnology/ Materials	35020	Polymer materials
	489	Nanotechnology/ Materials	35030	Organic functional materials
	489	Nanotechnology/ Materials	37010	Bio chemistry
	489	Nanotechnology/ Materials	37020	Chemistry and chemical methodology of biomolecules
	489	Nanotechnology/ Materials	37030	Chemical biology
	189	Life Science	90120	Biomaterials

Panel	Code	Area	Code	Research contents
PANEL headed by Professor MORI	489	Nanotechnology/ Materials	29010	Applied physical properties
	489	Nanotechnology/ Materials	29020	Thin film/surface and interfacial physical properties
	489	Nanotechnology/ Materials	29030	Applied condensed matter physics
	489	Nanotechnology/ Materials	30010	Crystal engineering
	489	Nanotechnology/ Materials	30020	Optical engineering and photon science
	489	Nanotechnology/ Materials	32010	Fundamental physical chemistry
	489	Nanotechnology/ Materials	32020	Functional solid state chemistry
	489	Nanotechnology/ Materials	34020	Analytical chemistry
	489	Nanotechnology/ Materials	36010	Inorganic compounds and inorganic materials chemistry
	489	Nanotechnology/ Materials	36020	Energy chemistry

Panel	Code	Area	Code	Research contents
PANEL headed by Professor SAKAKIBARA	189	Life Science	38010	Plant nutrition and soil science
	189	Life Science	38020	Applied microbiology
	189	Life Science	38030	Applied biochemistry
	189	Life Science	38040	Bioorganic chemistry
	189	Life Science	38050	Food sciences
	189	Life Science	38060	Applied molecular and cellular biology
	389	Environmental science	39010	Science in plant genetics and breeding
	389	Environmental science	39020	Crop production science
	389	Environmental science	39030	Horticultural science
	389	Environmental science	39040	Plant protection science
	389	Environmental science	39050	Insect science
	389	Environmental science	39060	Conservation of biological resources
	389	Environmental science	39070	Landscape science
	189	Life Science	40010	Forest science
	189	Life Science	40020	Wood science
	189	Life Science	40030	Aquatic bioproduction science
	189	Life Science	40040	Aquatic life science
	389	Environmental science	41020	Rural sociology and agricultural structure
	389	Environmental science	41030	Rural environmental engineering and planning
	389	Environmental science	41040	Agricultural environmental engineering and agricultural information engineering
	389	Environmental science	41050	Environmental agriculture
	189	Life Science	42010	Animal production science
	189	Life Science	42020	Veterinary medical science
	189	Life Science	42030	Animal life science
	189	Life Science	42040	Laboratory animal science
	189	Life Science	44050	Animal physiological chemistry, physiology and behavioral biology

Panel	Code	Area	Code	Research contents
PANEL headed by Professor OKADA	189	Life Science	43010	Molecular biology
	189	Life Science	43020	Structural biochemistry
	189	Life Science	43030	Functional biochemistry
	189	Life Science	43040	Biophysics
	189	Life Science	44010	Cell biology
	189	Life Science	44030	Plant molecular biology and physiology

Panel	Code	Area	Code	Research contents
PANEL headed by Professor SAITOU	189	Life Science	43050	Genome biology
	189	Life Science	43060	System genome science
	189	Life Science	44020	Developmental biology
	189	Life Science	44040	Morphology and anatomical structure
	189	Life Science	45010	Genetics
	189	Life Science	45020	Evolutionary biology
	189	Life Science	45030	Biodiversity and systematics
	189	Life Science	45040	Ecology and environment
	189	Life Science	45050	Physical anthropology
	189	Life Science	45060	Applied anthropology

Panel	Code	Area	Code	Research contents
PANEL headed by Professor KATO	189	Life Science	46010	Neuroscience-general
	189	Life Science	46020	Anatomy and histopathology of nervous system
	189	Life Science	46030	Function of nervous system
	189	Life Science	51010	Basic brain sciences
	189	Life Science	51020	Cognitive and brain science
	189	Life Science	51030	Pathophysiologic neuroscience
	189	Life Science	52020	Neurology
	189	Life Science	52030	Psychiatry
	189	Life Science	56010	Neurosurgery

Panel	Code	Area	Code	Research contents
PANEL headed by Professor ARITA	189	Life Science	47010	Pharmaceutical chemistry and drug development sciences
	189	Life Science	47020	Pharmaceutical analytical chemistry and physicochemistry
	189	Life Science	47030	Pharmaceutical hygiene and biochemistry
	189	Life Science	47040	Pharmacology
	189	Life Science	47050	Environmental and natural pharmaceutical resources
	189	Life Science	47060	Clinical pharmacy
	189	Life Science	48010	Anatomy
	189	Life Science	48020	Physiology
	189	Life Science	48040	Medical biochemistry
	189	Life Science	49010	Pathological biochemistry
	189	Life Science	49020	Human pathology
	189	Life Science	49030	Experimental pathology
	189	Life Science	49040	Parasitology
	189	Life Science	49050	Bacteriology
	189	Life Science	49060	Virology
	189	Life Science	49070	Immunology

	189	Life Science	50010	Tumor biology
	189	Life Science	50020	Tumor diagnostics and therapeutics

Panel	Code	Area	Code	Research contents
PANEL headed by Professor BABA	189	Life Science	52010	General internal medicine
	189	Life Science	52040	Radiological sciences
	189	Life Science	52050	Embryonic medicine and pediatrics
	189	Life Science	53010	Gastroenterology
	189	Life Science	53020	Cardiology
	189	Life Science	53030	Respiratory medicine
	189	Life Science	53040	Nephrology
	189	Life Science	53050	Dermatology
	189	Life Science	54010	Hematology and medical oncology
	189	Life Science	54020	Connective tissue disease and allergy
	189	Life Science	54030	Infectious disease medicine
	189	Life Science	54040	Metabolism and endocrinology
	189	Life Science	55010	General surgery and pediatric surgery
	189	Life Science	55020	Digestive surgery
	189	Life Science	55030	Cardiovascular surgery
	189	Life Science	55040	Respiratory surgery
	189	Life Science	55050	Anesthesiology
	189	Life Science	55060	Emergency medicine
	189	Life Science	56020	Orthopedics
	189	Life Science	56030	Urology
	189	Life Science	56040	Obstetrics and gynecology
	189	Life Science	56050	Otorhinolaryngology
	189	Life Science	56060	Ophthalmology
	189	Life Science	56070	Plastic and reconstructive surgery
	189	Life Science	57010	Oral biological science
	189	Life Science	57020	Oral pathobiological science
	189	Life Science	57030	Conservative dentistry
	189	Life Science	57040	Regenerative dentistry and dental engineering
	189	Life Science	57050	Prosthodontics
	189	Life Science	57060	Surgical dentistry
	189	Life Science	57070	Developmental dentistry
	189	Life Science	57080	Social dentistry

Panel	Code	Area	Code	Research contents
PANEL headed by Professor CHUNG	189	Life Science	58010	Medical management and medical sociology
	189	Life Science	58020	Hygiene and public health: including laboratory approach
	189	Life Science	58030	Hygiene and public health: excluding laboratory approach
	189	Life Science	58040	Forensics medicine
	189	Life Science	58050	Fundamental of nursing
	189	Life Science	58060	Clinical nursing
	189	Life Science	58070	Lifelong developmental nursing
	189	Life Science	58080	Gerontological nursing and community health nursing
	189	Life Science	59010	Rehabilitation science
	189	Life Science	59020	Sports sciences
	189	Life Science	59030	Physical education, and physical and health education
	189	Life Science	59040	Nutrition science and health science
	189	Life Science	90110	Biomedical engineering
	189	Life Science	90130	Medical systems
	189	Life Science	90140	Medical technology assessment
	189	Life Science	90150	Medical assistive technology

Panel	Code	Area	Code	Research contents
PANEL headed by Professor GOTO	289	Informatics	60010	Theory of informatics
	289	Informatics	60020	Mathematical informatics
	289	Informatics	60030	Statistical science
	289	Informatics	60040	Computer system
	289	Informatics	60050	Software
	289	Informatics	60060	Information network
	289	Informatics	60070	Information security
	289	Informatics	60080	Database
	289	Informatics	60090	High performance computing
	289	Informatics	60100	Computational science
	289	Informatics	61010	Perceptual information processing
	289	Informatics	61020	Human interface and interaction
	289	Informatics	61030	Intelligent informatics
	289	Informatics	61040	Soft computing
	289	Informatics	61050	Intelligent robotics

	289	Informatics	61060	Kansei informatics
	289	Informatics	62010	Life, health and medical informatics
	289	Informatics	62020	Web informatics and service informatics
	289	Informatics	62030	Learning support system
	289	Informatics	62040	Entertainment and game informatics

	Code	Area	Code	Research contents
<p>Humanities & Social Sciences Others</p> <p>Make sure to fill in a code belonging to a FOREST PO (other than "Humanities & Social Sciences" or "Others") if you register any of these codes as your major research area. If you register only codes belonging to "Humanities & Social Sciences" or "Others," your application will not be accepted.</p>	900	Humanities & Social Sciences	1010	Philosophy and ethics
	900	Humanities & Social Sciences	1020	Chinese philosophy, Indian philosophy and Buddhist philosophy
	900	Humanities & Social Sciences	1030	Religious studies
	900	Humanities & Social Sciences	1040	History of thought
	900	Humanities & Social Sciences	1050	Aesthetics and art studies
	900	Humanities & Social Sciences	1060	History of arts
	900	Humanities & Social Sciences	1070	Theory of art practice
	900	Humanities & Social Sciences	1080	Sociology of science, history of science and technology
	900	Humanities & Social Sciences	2010	Japanese literature
	900	Humanities & Social Sciences	2020	Chinese literature
	900	Humanities & Social Sciences	2030	English literature and literature in the English language
	900	Humanities & Social Sciences	2040	European literature
	900	Humanities & Social Sciences	2050	Literature in general
	900	Humanities & Social Sciences	2060	Linguistics
	900	Humanities & Social Sciences	2070	Japanese linguistics
	900	Humanities & Social Sciences	2080	English linguistics
	900	Humanities & Social Sciences	2090	Japanese language education
	900	Humanities & Social Sciences	2100	Foreign language education
	900	Humanities & Social Sciences	3010	Historical studies in general
	900	Humanities & Social Sciences	3020	Japanese history
	900	Humanities & Social Sciences	3030	History of Asia and Africa
	900	Humanities & Social Sciences	3040	History of Europe and America

	900	Humanities & Social Sciences	3050	Archaeology
	900	Humanities & Social Sciences	3060	Cultural assets study
	900	Humanities & Social Sciences	3070	Museology
	900	Humanities & Social Sciences	4010	Geography
	900	Humanities & Social Sciences	4020	Human geography
	900	Humanities & Social Sciences	4030	Cultural anthropology and folklore
	900	Humanities & Social Sciences	5010	Legal theory and history
	900	Humanities & Social Sciences	5020	Public law
	900	Humanities & Social Sciences	5030	International law
	900	Humanities & Social Sciences	5040	Social law
	900	Humanities & Social Sciences	5050	Criminal law
	900	Humanities & Social Sciences	5060	Civil law
	900	Humanities & Social Sciences	5070	New fields of law
	900	Humanities & Social Sciences	6010	Politics
	900	Humanities & Social Sciences	6020	International relations
	900	Humanities & Social Sciences	7010	Economic theory
	900	Humanities & Social Sciences	7020	Economic doctrines and economic thought
	900	Humanities & Social Sciences	7030	Economic statistics
	900	Humanities & Social Sciences	7040	Economic policy
	900	Humanities & Social Sciences	7050	Public economics and labor economics
	900	Humanities & Social Sciences	7060	Money and finance
	900	Humanities & Social Sciences	7070	Economic history
	900	Humanities & Social Sciences	7080	Business administration
	900	Humanities & Social Sciences	7090	Commerce
	900	Humanities & Social Sciences	7100	Accounting
	900	Humanities & Social Sciences	8010	Sociology
	900	Humanities & Social Sciences	8020	Social welfare

	900	Humanities & Social Sciences	8030	Family and consumer sciences, and culture and living
	900	Humanities & Social Sciences	9010	Education
	900	Humanities & Social Sciences	9020	Sociology of education
	900	Humanities & Social Sciences	9030	Childhood and nursery/pre-school education
	900	Humanities & Social Sciences	9040	Education on school subjects and primary/secondary education
	900	Humanities & Social Sciences	9050	Tertiary education
	900	Humanities & Social Sciences	9060	Special needs education
	900	Humanities & Social Sciences	9070	Educational technology
	900	Humanities & Social Sciences	9080	Science education
	900	Humanities & Social Sciences	10010	Social psychology
	900	Humanities & Social Sciences	10020	Educational psychology
	900	Humanities & Social Sciences	10030	Clinical psychology
	900	Humanities & Social Sciences	10040	Experimental psychology
	900	Humanities & Social Sciences	41010	Agricultural and food economics
	900	Humanities & Social Sciences	80010	Area studies
	900	Humanities & Social Sciences	80020	Tourism studies
	900	Humanities & Social Sciences	80030	Gender studies
	900	Humanities & Social Sciences	90010	Design
	900	Humanities & Social Sciences	90020	Library and information science, humanistic and social informatics
	900	Humanities & Social Sciences	90030	Cognitive science
	9999	Others	99999	Others

FOREST Program

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<https://www.jst.go.jp/souhatsu/en/call/index.html>

Contact for Inquiries (We cannot answer to inquiry related to selection process)

Please submit inquiries by email (except for urgent inquiries).

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*Except Saturdays, Sundays, and National Holidays

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