

Layer-by-layer design and fabrication & on-demand oxygen-engineering of novel functional oxide materials for future energy technologies

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JAPAN**

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Helsinki University of Technology
FINLAND**



Maarit Karppinen

EDUCATION

Helsinki University of Technology

MSc. 1987, Lic. Tech. 1990, D. Tech. 1993

ACADEMIC POSITIONS

FINLAND

1985-1990	Assistant, TKK
1990-1999	Senior Lecturer, TKK
1999-2001	Senior Researcher, Academy of Finland
2000-2001	Professor (acting), TKK
2006 -	Professor, TKK
2008-	Director, Department of Chemistry, TKK
2009-2013	Academy Professor, Academy of Finland

JAPAN

1991-1992	Visiting Research Scientist, ISTEK
1995-1996	Visiting Ass. Professor, Tokyo Tech
2001-2005	Associate Professor, Tokyo Tech
2006-2008	Adjunct Professor, Tokyo Tech



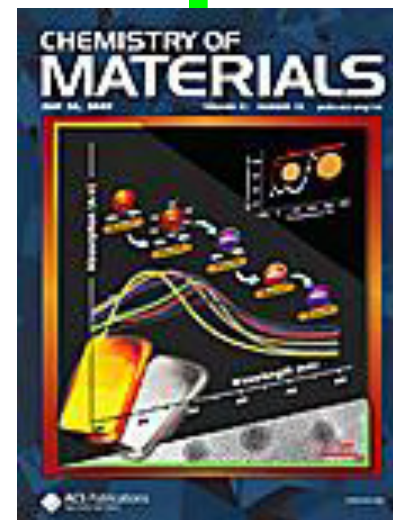
YK Lab Study Camp 2005/07/08-09 : Tanzawa Nakagawa-Onsen

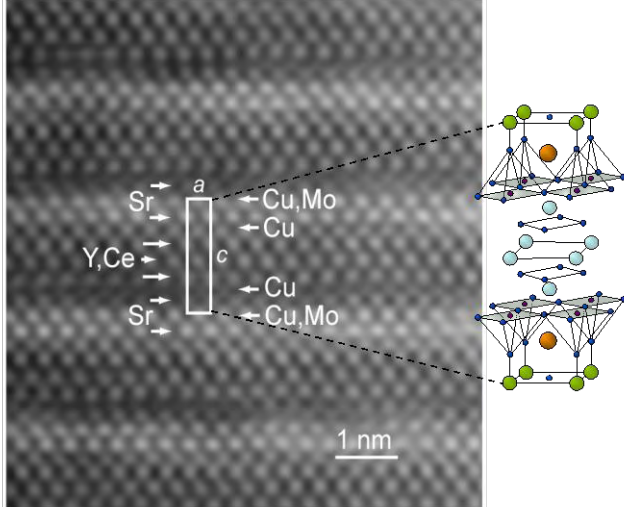
SPECIAL ISSUES of *Chemistry of Materials*

1994	Organic Solid State Chemistry
1996	Nanostructured Materials
1997	Sol-Gel Derived Materials
1999	Inorganic Solid State Chemistry
2001	Organic-Inorganic Nanocomposite Materials
2004	Organic Electronics
2008	Templated Materials

2010 **Materials Chemistry of Energy Conversion**

- **Thermoelectrics** (electricity from heat)
- **Fuel Cells** (electricity from fuels)
- **Photochemical Materials** (fuels from light)
- **Thermochemical Materials** (fuels from heat)
- **Batteries** (electricity storage)
- **Superconductors** (electricity transmission)





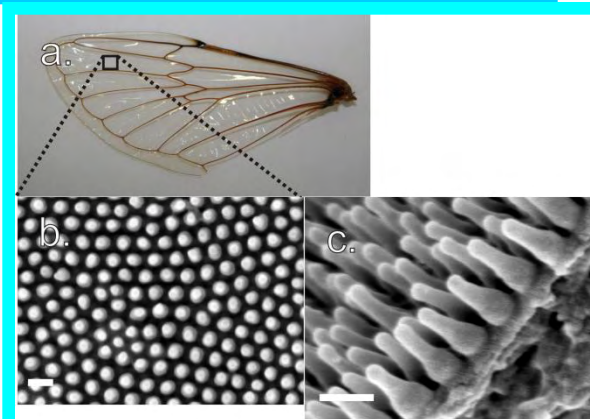
INORGANIC CHEMISTRY, TKK

■ Novel Functional Oxide Materials

- high- T_c superconductors
- thermoelectric materials
- magnetoresistance materials
- multiferroic materials
- ion conductors
[fuel cells, batteries, oxygen storage/separation]

■ ALD (Atomic Layer Deposition) Thin Films

- oxide materials for electronics
- oxide materials for spintronics
- oxide coatings on novel functional substrates
(graphene, biomaterials, paper, polymers, etc.)
- organic and polymer films
- inorganic/organic hybrid materials



RESEARCH PROJECTS

Academy of Finland

- 2006–2009: Novel multi-functional misfit-layered cobalt oxides  
- 2007–2009: Novel multiferroic thin film materials by ALD
- 2007–2010: Ultra-high-pressure synthesis and  atomic-layer deposition of novel functional oxide materials
- 2009–2013: Academy Professorship Grant:
Design of novel functional oxide materials:
from bulks to thin films 
- 2009-2012: **Fin-Jpn Programme:**
Novel tailor-made oxide thermoelectrics  

Tekes

- 2008–2012: FiDiPro-program:
Novel oxide materials for energy and nanotechnologies  
- 2009-2012: Functional Materials Programme:
Novel electrode materials for Li-ion battery  



**THERMO-
ELECTRICS**

SOFCs

**Li-ION
BATTERIES**



Ryoji KANNO

Tokyo Institute of Technology



John B. GOODENOUGH
University of Texas at Austin

**ELECTRON
MICROSCOPY**

Yoshio MATSUI

National Institute for Materials Science
NIMS

**SUPER-
CONDUCTORS**

Takami TOHYAMA
Kyoto University

**OXIDE-ION
CONDUCTORS**

Teruki MOTOHASHI
Hokkaido University



NEW MATERIAL RESEARCH

- To discover a **new compound** (with a new crystal structure and/or new chemical composition)
- To discover a **new property/function** for an already known compound
- To produce a known compound in a **new form** (single crystal, thin film, nanoparticle, etc.)
- To find a **new way to combine** existing materials

DISCOVERY OF NEW (oxide) COMPOUNDS

- Layer-by-Layer Design ("Layer Engineering")
- Extreme-Condition Synthesis Techniques
 - e.g. High-Pressure Synthesis



TAILORING OF MATERIALS PROPERTIES

- "NanoStructuring"
- "Oxygen Engineering"



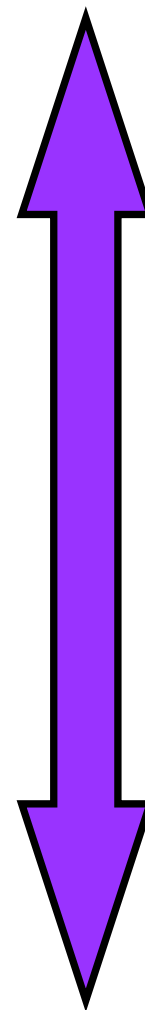
Multi-layered
oxide



"Layer
Engineering"



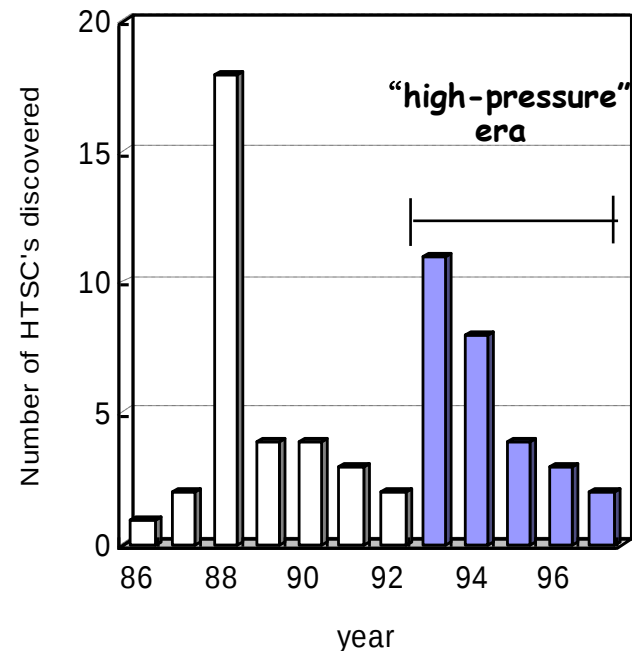
COMPLEX



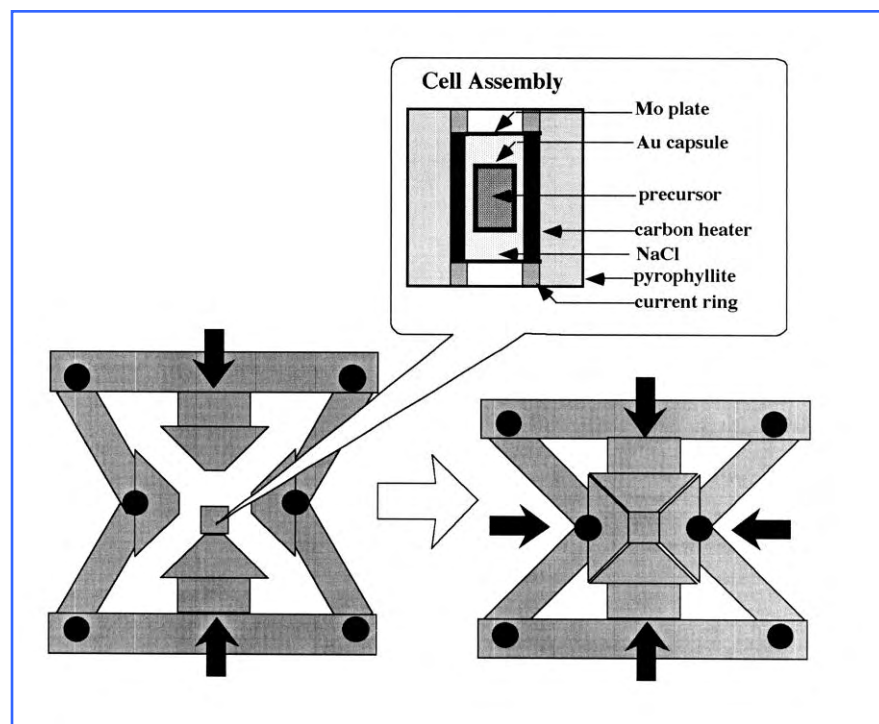
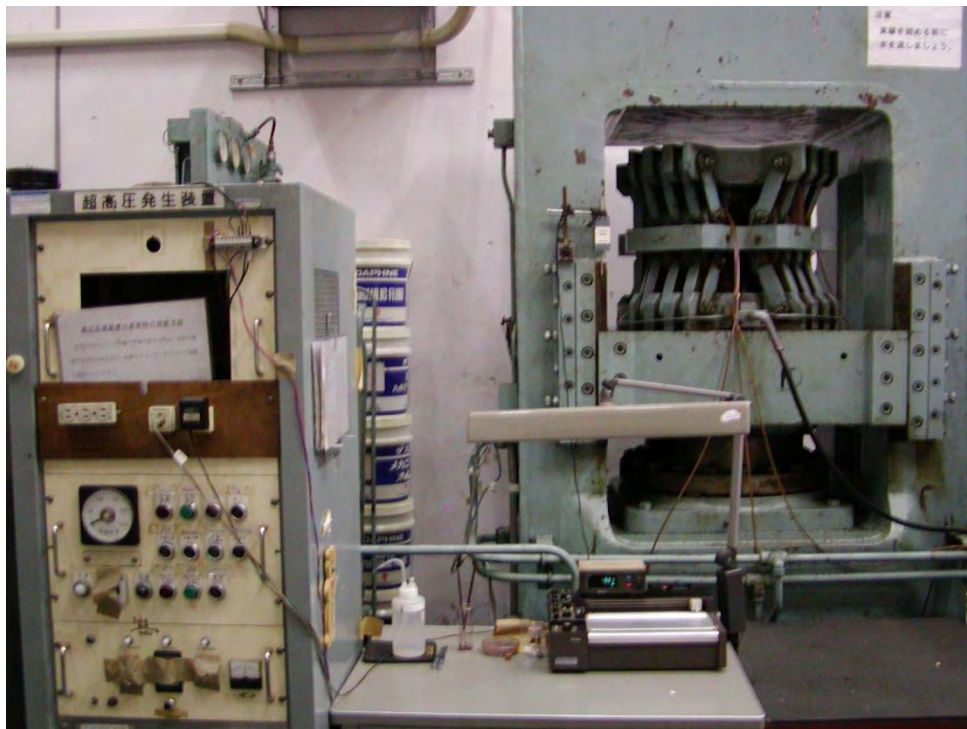
SIMPLE

High-Pressure Synthesis

- ~ 5 GPa
- ~ 1000 °C
- ~ 30 min
- ~ 50 mg



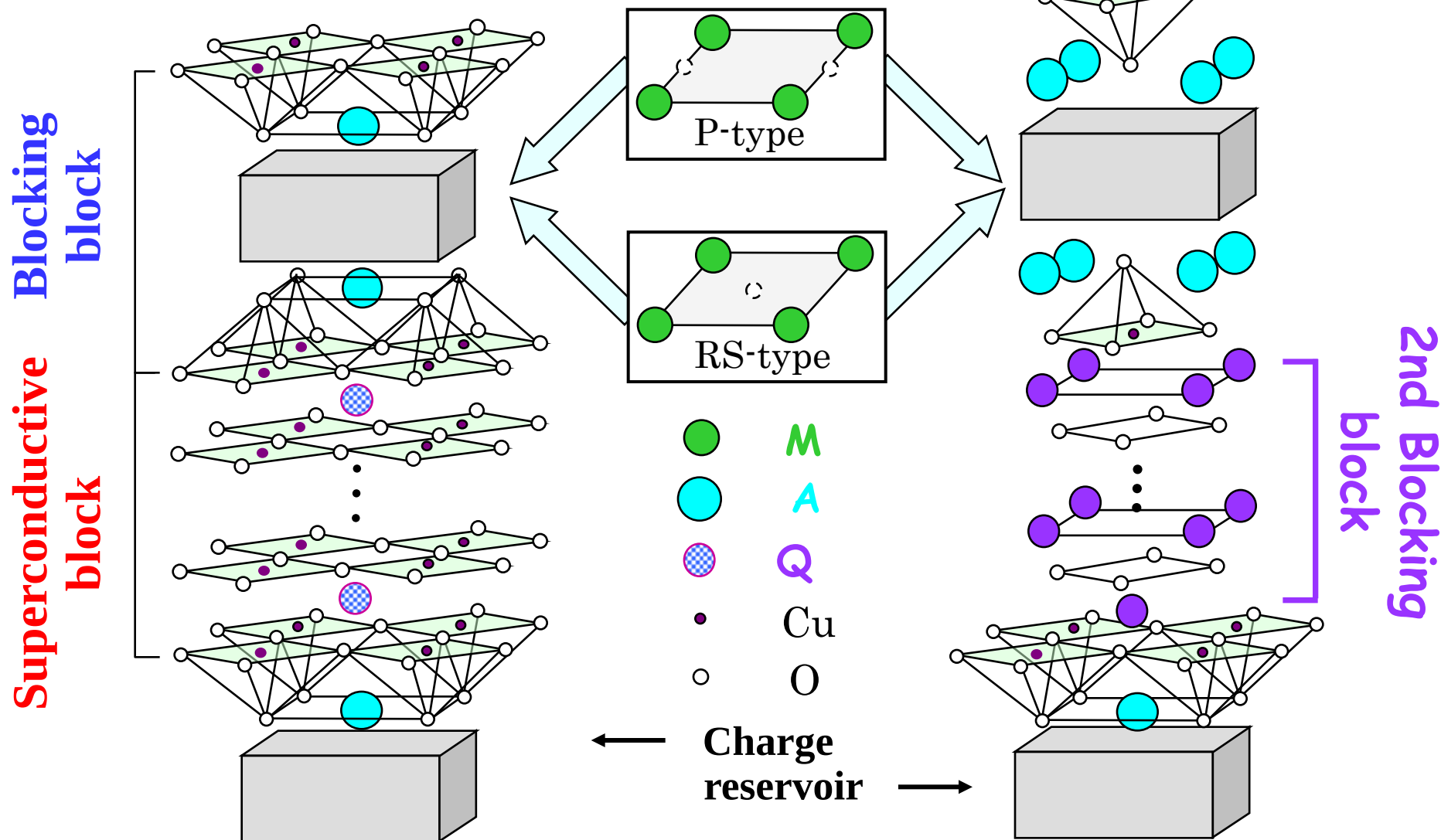
H. Yamauchi & M. Karppinen, *Supercond. Sci. Technol.* **13**, R33 (2000).

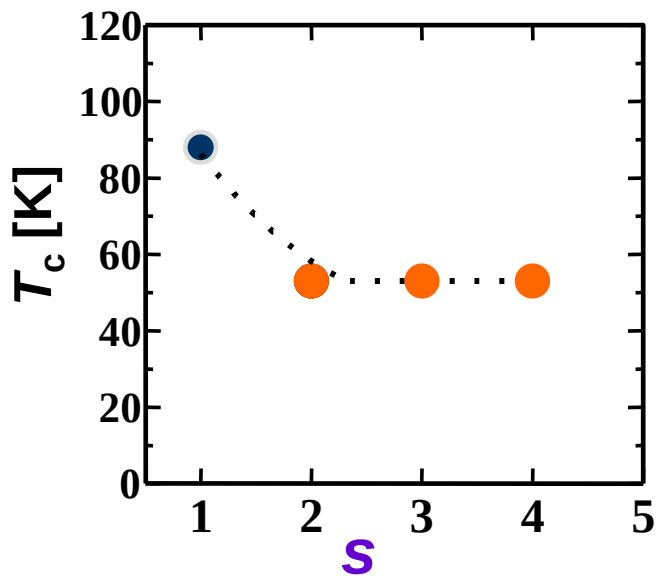


MULTI-LAYERED COPPER OXIDES: High-T_c Superconductivity

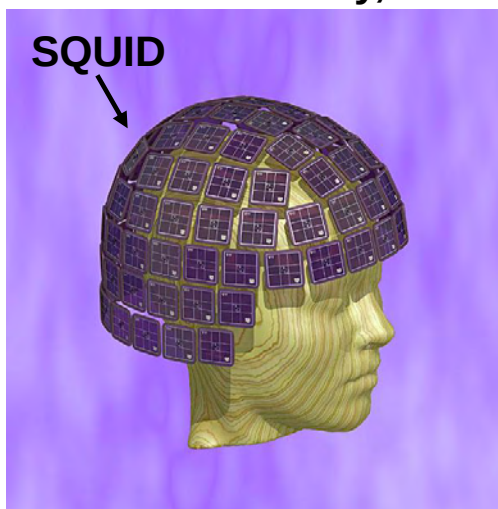
Category-A: $M-m_2(n-1)n$

Category-B: $M-m_2s_2$

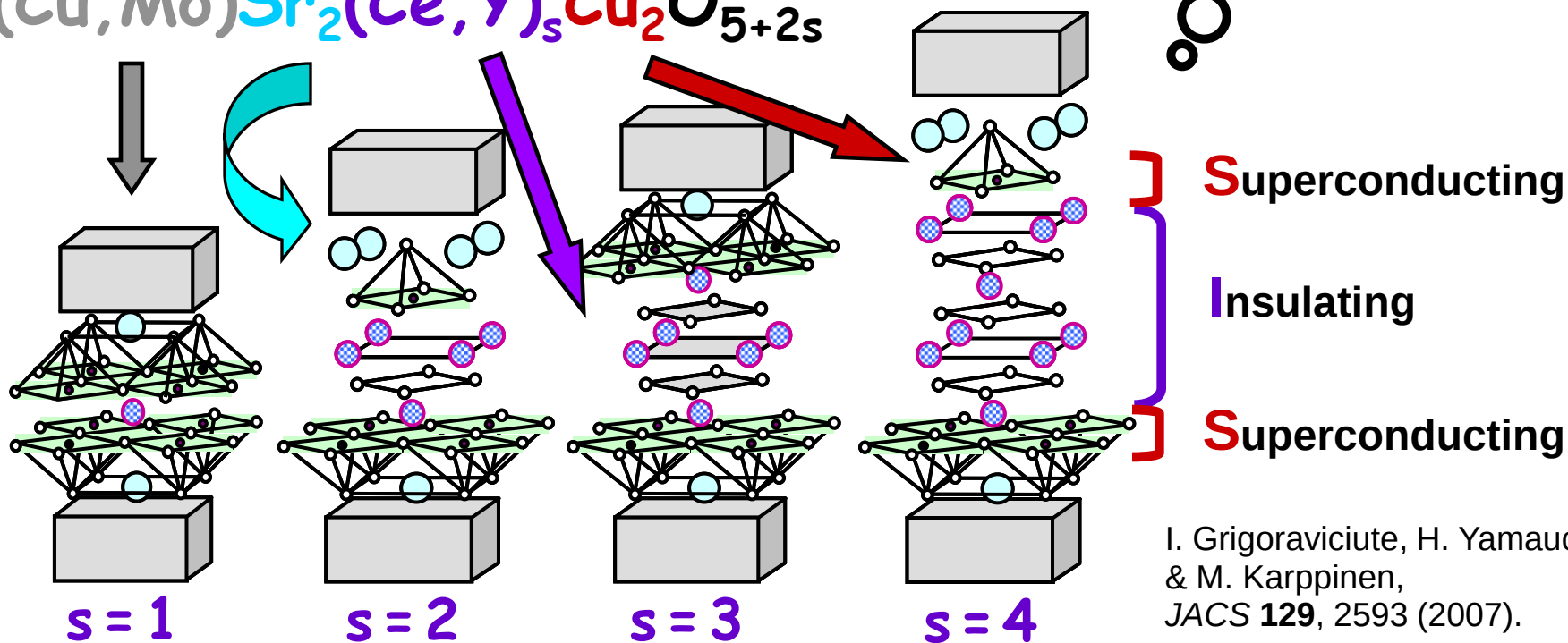




Low-T laboratory, TKK



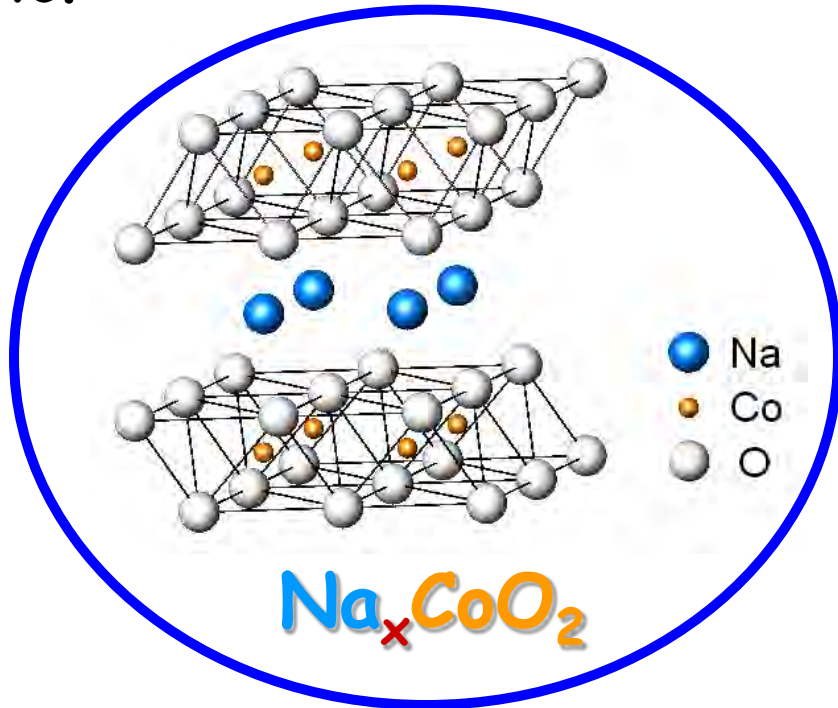
INTRINSIC
SIS
JOSEPHSON
JUNCTION



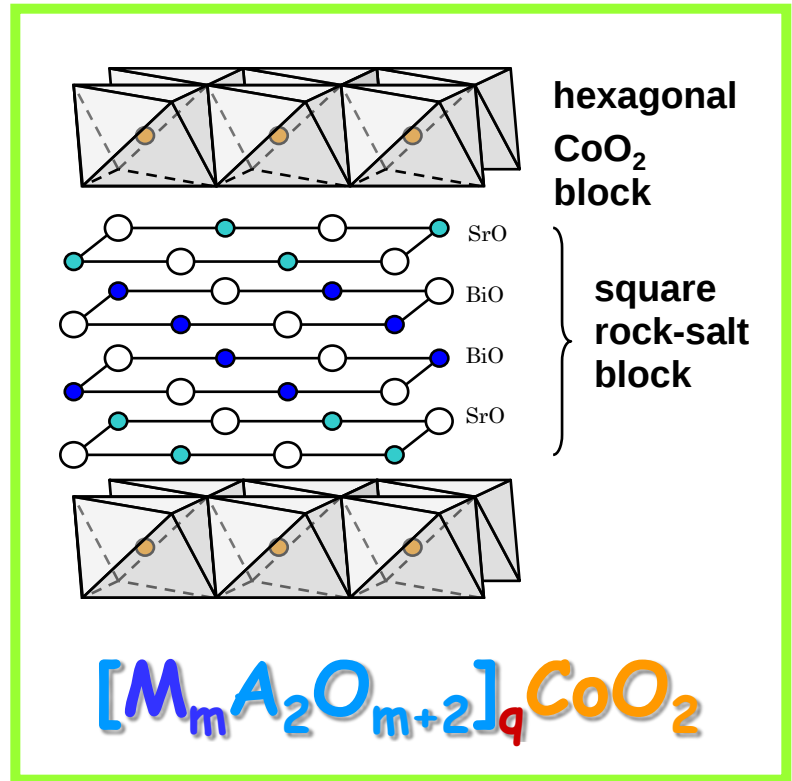
I. Grigoraviciute, H. Yamauchi
& M. Karppinen,
JACS **129**, 2593 (2007).

MULTI-LAYERED COBALT OXIDES

- cation (Na^+/Li^+ ion) conductivity
- thermoelectricity
- superconductivity
- etc.



Misfit-layered oxides



THERMOELECTRICS

- Thermal current $\Leftrightarrow$ Electric current
- Electric power from waste heat without CO_2 emission
- Refrigeration directly with electricity without Freons

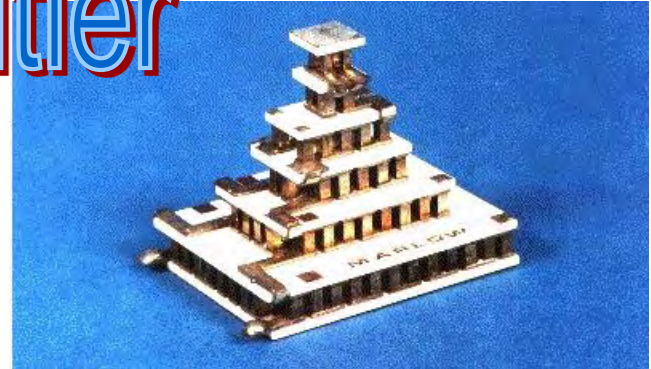


Seebeck



Thermoelectric generator using waste heats
(Energy Conservation Center, Japan)

Peltier



Multi-stage Peltier cooler ($T_{\text{low}} \sim 160 \text{ K}$)
(Marlow Industries, USA)



Radioisotope thermoelectric generator for spaceships
(NASA & NASM, USA)

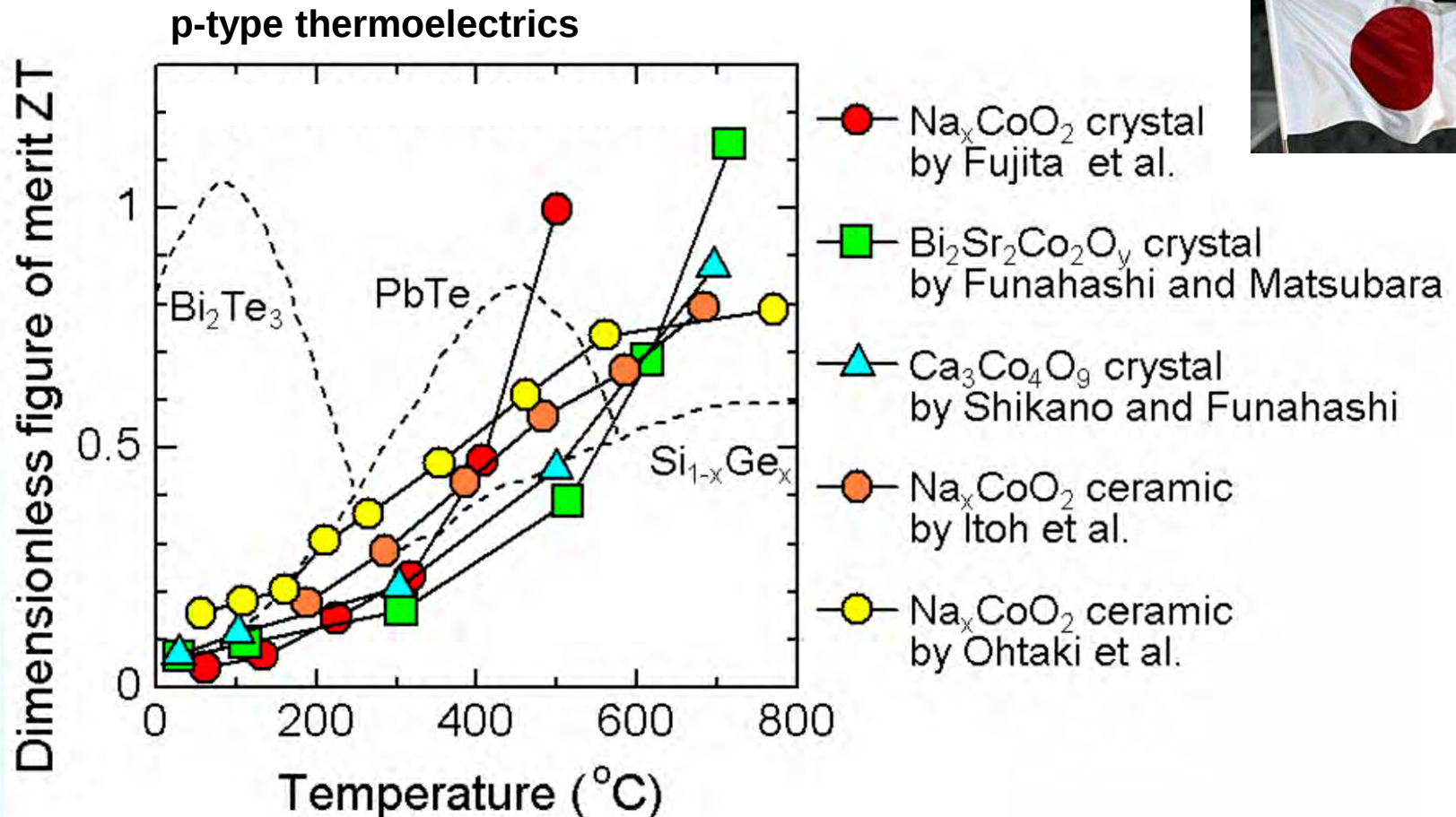


Thermoelectric refrigerator ($T = 0 \sim 45^\circ\text{C}$):
Vibration-free, Noiseless, CFC-free
(Mitsubishi Electric, Japan)

Figure-of-Merit: $Z \equiv \sigma S^2 / \kappa$ [K⁻¹]

S: Seebeck coefficient
 σ : electrical conductivity
 κ : thermal conductivity

- For practical application: $ZT > 1$

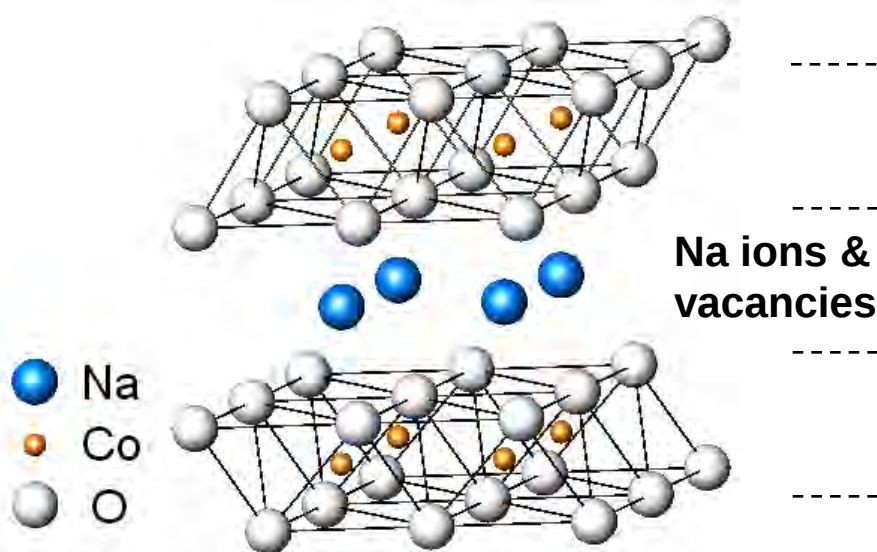
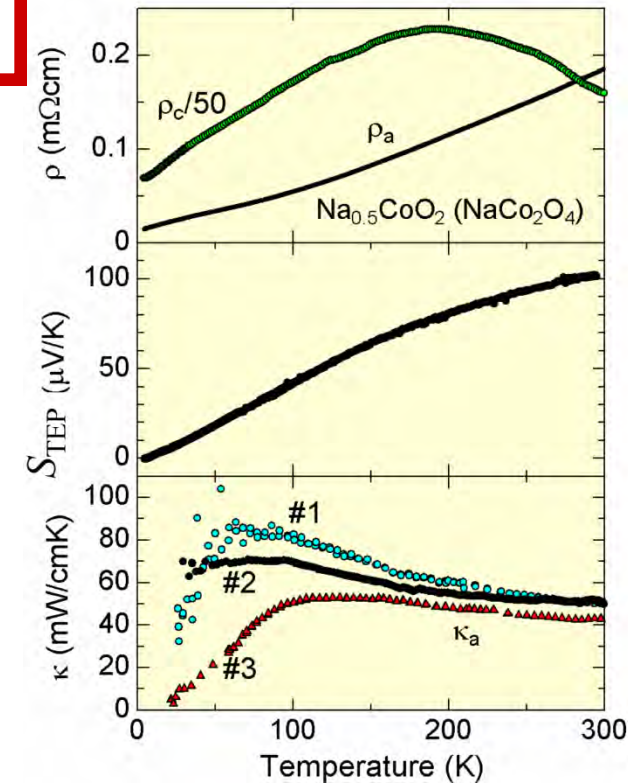


First Oxide Thermoelectrics: Na_xCoO_2

I. Terasaki, *et al.*, *PRB* **56**, R12685 (1997).

Crystal structure of Na_xCoO_2

- Layered structure with alternating Na and CoO_2 layers
- Large nonstoichiometry in the Na content
- Na^+ ions randomly distributed in the Na layer
- “**Electron Crystal**” & “**Phonon Glass**”



Strongly-correlated
conducting layer

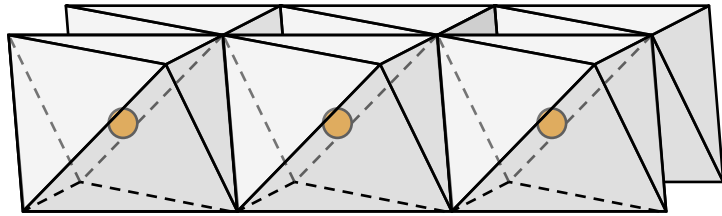
Disordered
insulating layer

Strongly-correlated
conducting layer

Low ρ
High S

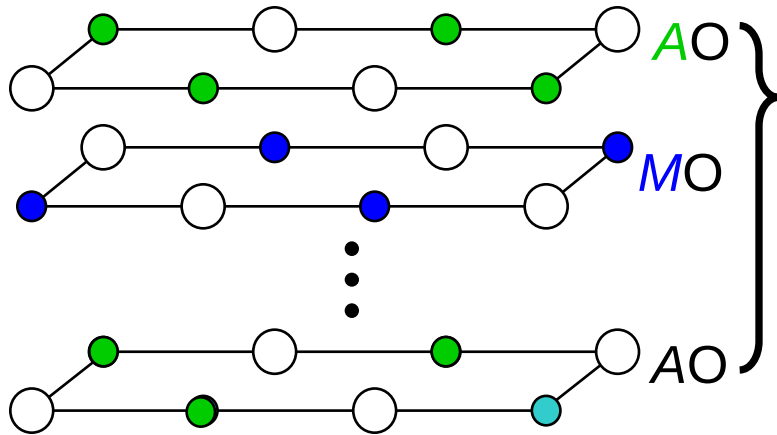
Low κ

Thermoelectric Misfit-Layered Cobalt Oxides



Hexagonal CoO_2

High electrical conductivity !!!



Square $[M_m A_m O_{m+2}]$

A : Ca, Sr, Ba

M : Co, Pb, etc.

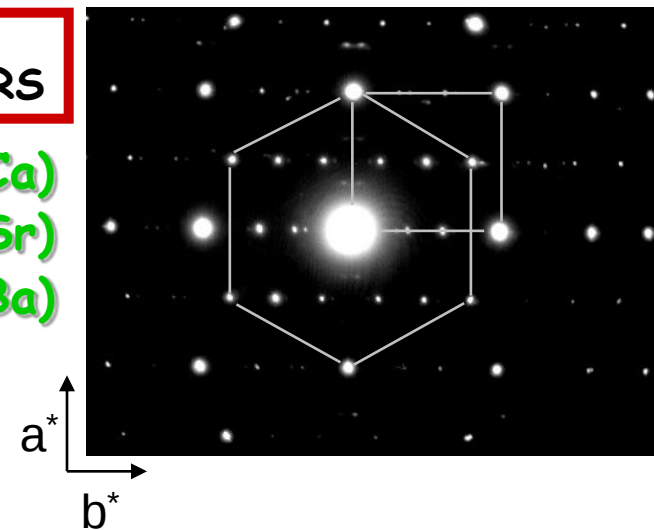
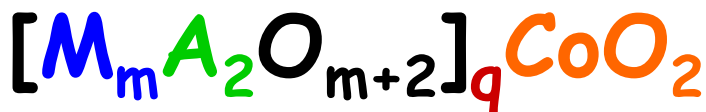
Low thermal conductivity !!!

$$q = b_{\text{Hex}} / b_{\text{RS}}$$

$$\approx 0.62 \text{ (A=Ca)}$$

$$0.56 \text{ (A=Sr)}$$

$$0.50 \text{ (A=Ba)}$$



Misfit-Layered Cobalt Oxides

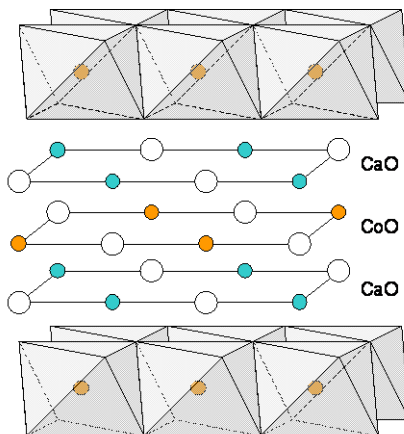


$m=0$

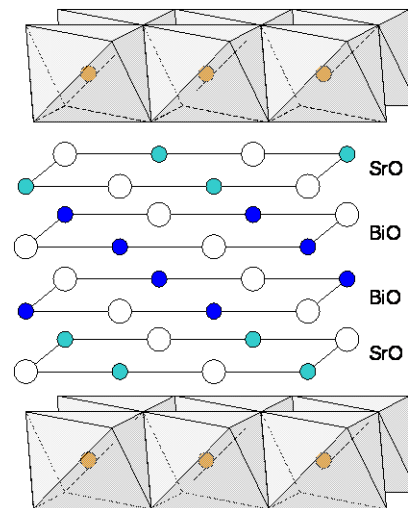


?

$m=1$



$m=2$





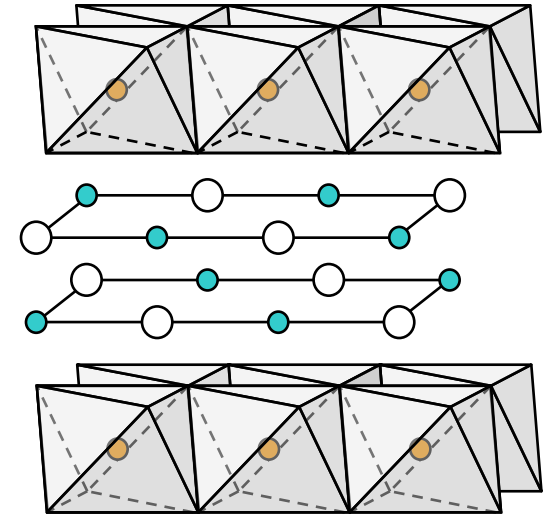
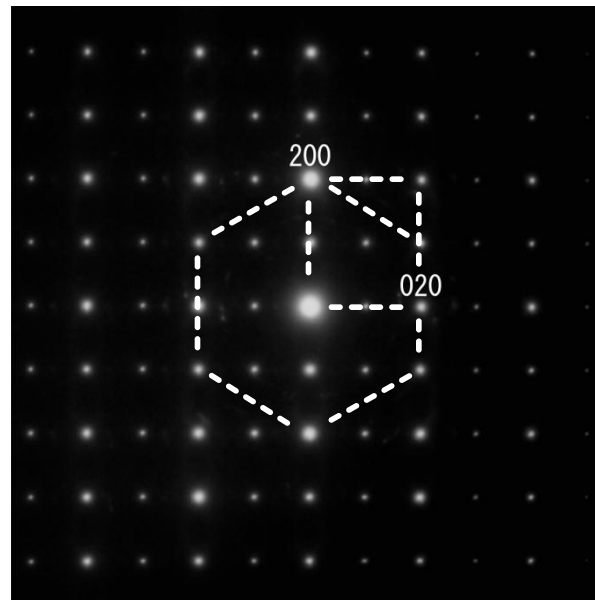
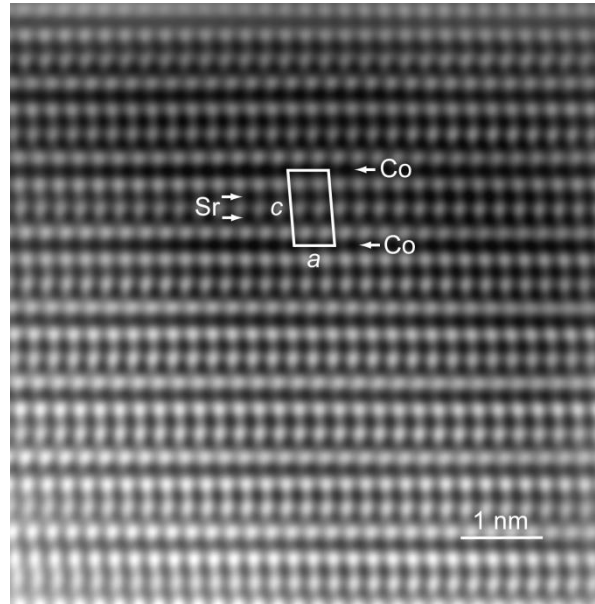
SYNTHESIS

excess-oxygen
source



850 °C, 24 h

(in a closed silica ampoule)



- electrical conductivity somewhat enhanced
- Seebeck coefficient remains the same
- thermal conductivity higher ?

H. Yamauchi, K. Sakai, T. Nagai,
Y. Matsui & M. Karppinen,
Japanese Patent, Feb. 14, 2005;
Chem. Mater. 18, 155 (2006).

THERMOELECTRICS & NANOTECHNOLOGY

- Mean free path longer for phonons (>100 nm) than for electrons/holes (<10 nm)
- **Nanostructuring of thermoelectric materials**: dimensions should be smaller than the mean free path for phonons but larger than that for electron/hole → thermal conductivity (κ_{latt}) is reduced but electrical conductivity not
- Nanostructuring approaches so far reported only for conventional thermoelectric materials

M.S. Dresselhaus, *et al.*, *Adv. Mater.* **19**, 1043 (2007).

A.I. Boukai, *et al.*, *Nature* **451**, 168 (2007).

Our Fin-Jpn Project (Terasaki-Karppinen):

“Novel Tailor-Made Oxide Thermoelectrics”

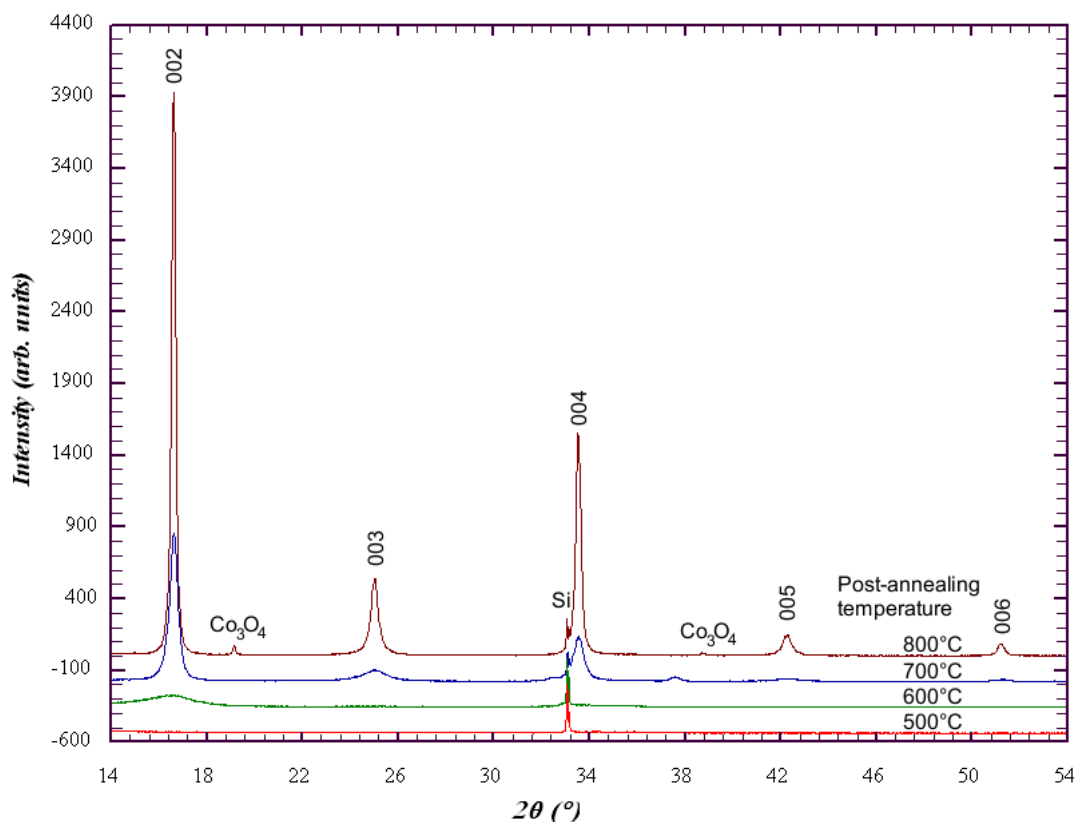


“**Thermoelectric oxides** are engineered into various nano-scale forms (thin film structures and template-based nanostructures) taking advantage of the **Finnish ALD (atomic-layer-deposition)** coating technique. This approach is unique in the world.”



ALD of thermoelectric oxide $[\text{CoCa}_2\text{O}_3]_{0.62}\text{CoO}_2$

- $\text{Ca}(\text{thd}) + \text{ozone} + \text{Co}(\text{thd}) + \text{ozone}$
(thd = 2,2,6,6-tetramethyl-3,5-heptanedione)
- Deposition conditions: 200 °C, 2 mbar, N_2 as a carrier and purging gas, 600 cycles, Si(100) substrate
- as-deposited films amorphous $\rightarrow$ post-annealing in O_2



M. Valkeapää, T. Viitala & M. Karppinen, manuscript (2009).

OXYGEN NONSTOICHIOMETRY

(1) Interstitial oxygen atoms

- $\text{La}_2\text{CuO}_{4+\delta}$
- other RP-phases: $\text{La}_{n+1}\text{T}_n\text{O}_{3n+1+\delta}$ ($\text{T} = \text{Cu}, \text{Ni}, \text{Co}$)

(2) Cation vacancies

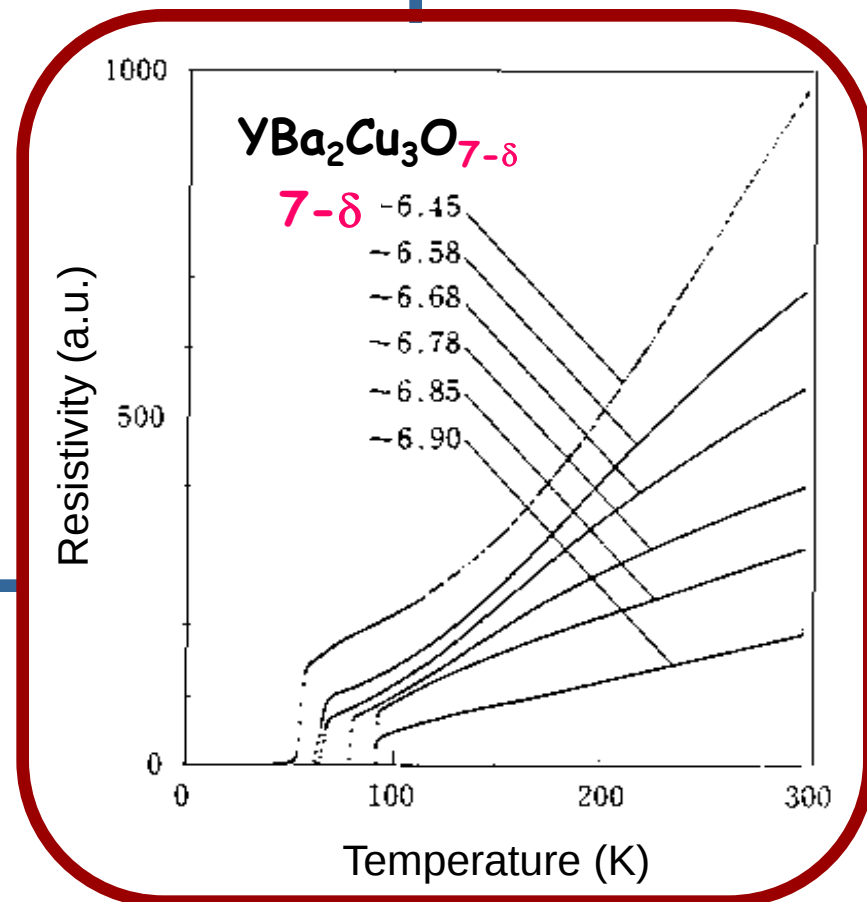
- $\text{La}_{1-x}\text{Mn}_{1-x}\text{O}_3$

(3) Oxygen vacancies

- $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$
- other HTSCs

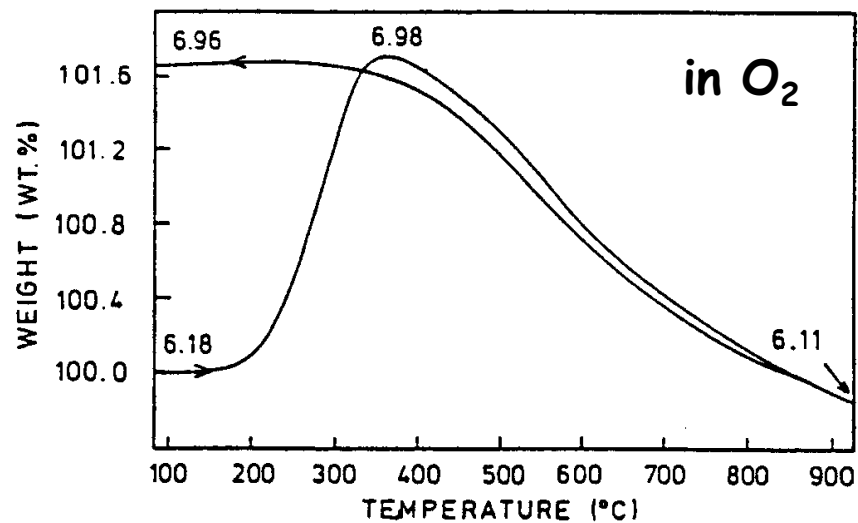
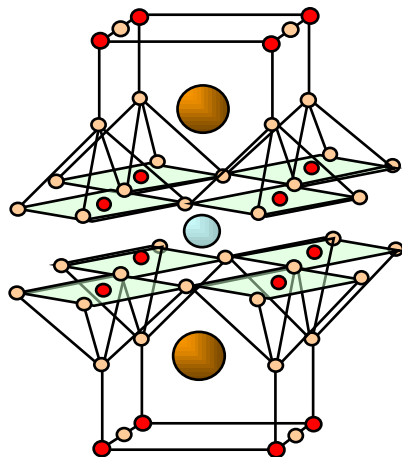
(4) Interstitial cations

- Zn_{1+x}O

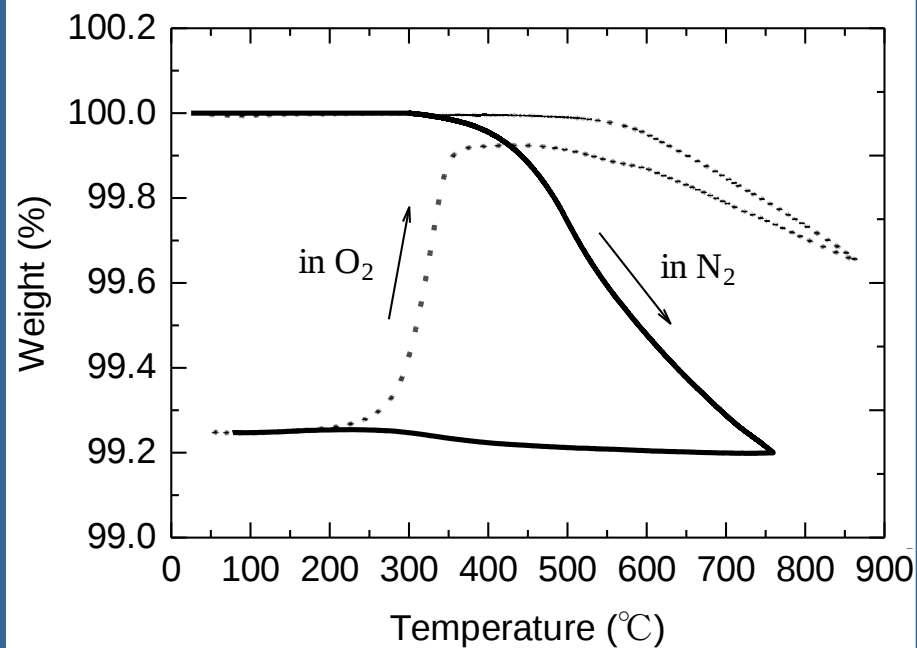
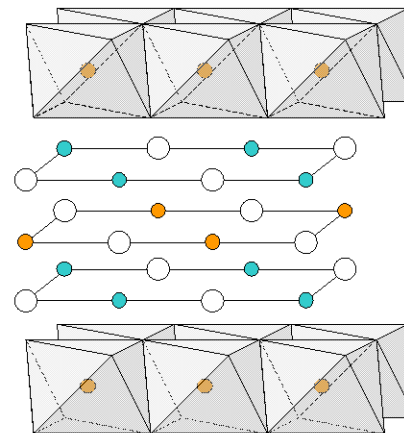


Oxygen Engineering!

SUPERCONDUCTORS



THERMOELECTRICS



OXYGEN ENGINEERING

- Precise Control of the Oxygen Content
- Accurate Determination of the Oxygen Content



DEVELOPMENT OF
A VERSATILE ARSENAL OF TECHNIQUES OF
CHEMICAL ANALYSIS AND MANIPULATION



M. Karppinen & H. Yamauchi,

Oxygen engineering for functional oxide materials,

In: International Book Series: Studies of High Temperature Superconductors,
Vol. **37**, A.V. Narlikar (Ed.), Nova Science Publishers, New York 2001, pp. 109-143.

M. Karppinen & H. Yamauchi,

Chemical design of copper-oxide superconductors: Homologous series and oxygen engineering,

In: Frontiers in Superconducting Materials,
A.V. Narlikar (Ed), Springer Verlag, Berlin 2005, pp. 255-294.

DISCOVERY OF NEW FUNCTIONS

- e.g. unique oxygen absorption/desorption properties for $\text{YBaCo}_4\text{O}_{7+\delta}$

→ New Oxygen-Storage Material



Oxygen-Storage Materials

- $\text{CeO}_{2-\delta}$: $\text{Ce}^{\text{III/IV}}$
- $(\text{Ce},M)\text{O}_{2-\delta}$: $M = \text{Zr, Ti, Bi, etc.}$ (commercial)
- $(\text{Ce}_{2/3}\text{Cr}_{1/3})\text{O}_{2+\delta}$: $\text{Ce}^{\text{III/IV}}$ and $\text{Cr}^{\text{III/VI}}$
[P. Singh, M.S. Hegde & J. Gopalakrishnan, *Chem. Mater.* **20**, 7268 (2008)]
- $\text{R}_2\text{O}_2\text{SO}_4$: $\text{S}^{-\text{II/VI}}$
 - large OSC, but too high operation temperature ($> 600^\circ\text{C}$)
[M. Machida *et al.*, *Chem. Mater.* **17**, 1487 (2005); **19**, 954 (2007); **20**, 6697 (2008)]
- $\text{RBaCo}_4\text{O}_{7+\delta}$: $\text{Co}^{\text{II/III}}$
 - large OSC, low operation temperature ($250 \sim 400^\circ\text{C}$)
[M. Karppinen *et al.*, *Chem. Mater.* **18**, 490 (2006);
Int. Patent Appl. PCT/JP2006313436, filed June 6, 2006]
- $\text{Pb}_2\text{Sr}_2\text{RCu}_3\text{O}_{8+\delta}$: $\text{Cu}^{\text{II/III}}$ and $\text{Pb}^{\text{II/IV}}$
 - resembles $\text{RBa}_2\text{Co}_4\text{O}_{7+\delta}$, but less attractive OSC characteristics
[M. Lehtimäki, H. Yamauchi & M. Karppinen, manuscript (2009)]

OSC (oxygen-storage capacity): $\mu\text{mol O/g}$

Examples of Applications

$P(\text{O}_2)$ sensitivity



Redox catalyst for autoexhaust
TOKYO ROKI Co. Ltd.

Gas selectivity



H_2/O_2 separator for photo-catalysts
Domen Lab. (Univ. of Tokyo) website

Oxygen storage capability



Oxygen enrichment
National (Panasonic)

Fast oxygen diffusion



Oxygen selective membrane in SOFC
NISSAN Motor Co. Ltd.

YBaCo_4O_7

- discovered in 2002 in Sweden

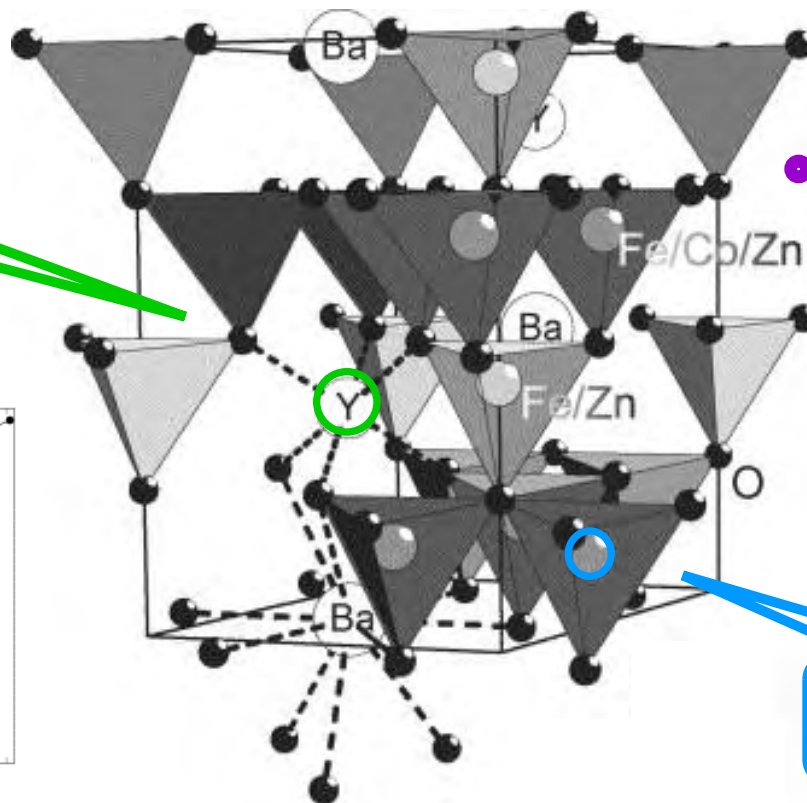
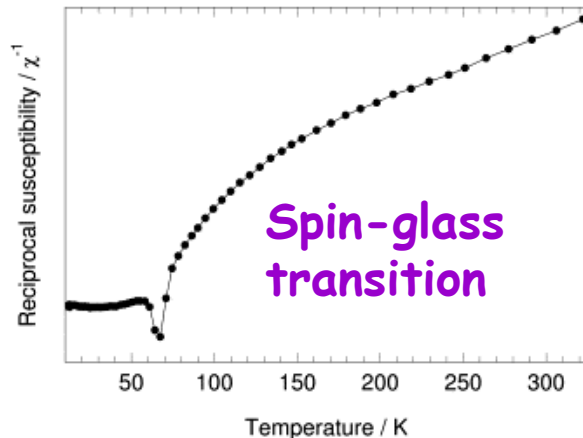
[M. Valldor & M. Andersson, *Solid State Sci.* 4, 923 (2002).]

- investigated for TE properties (hexagonal cobalt oxide)

- investigated for magnetic properties (frustrated Kagome-lattice)

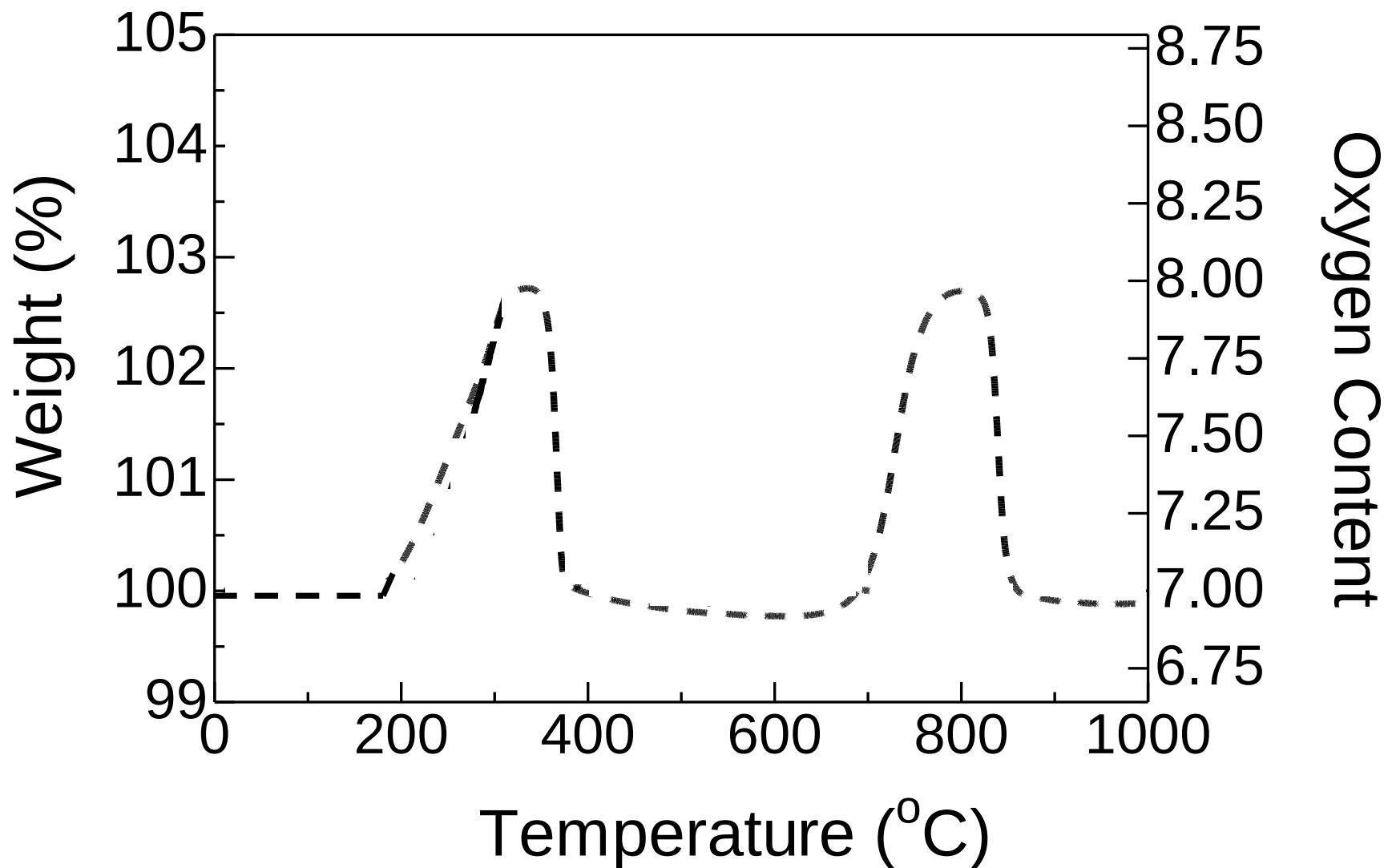
OXYGEN
???

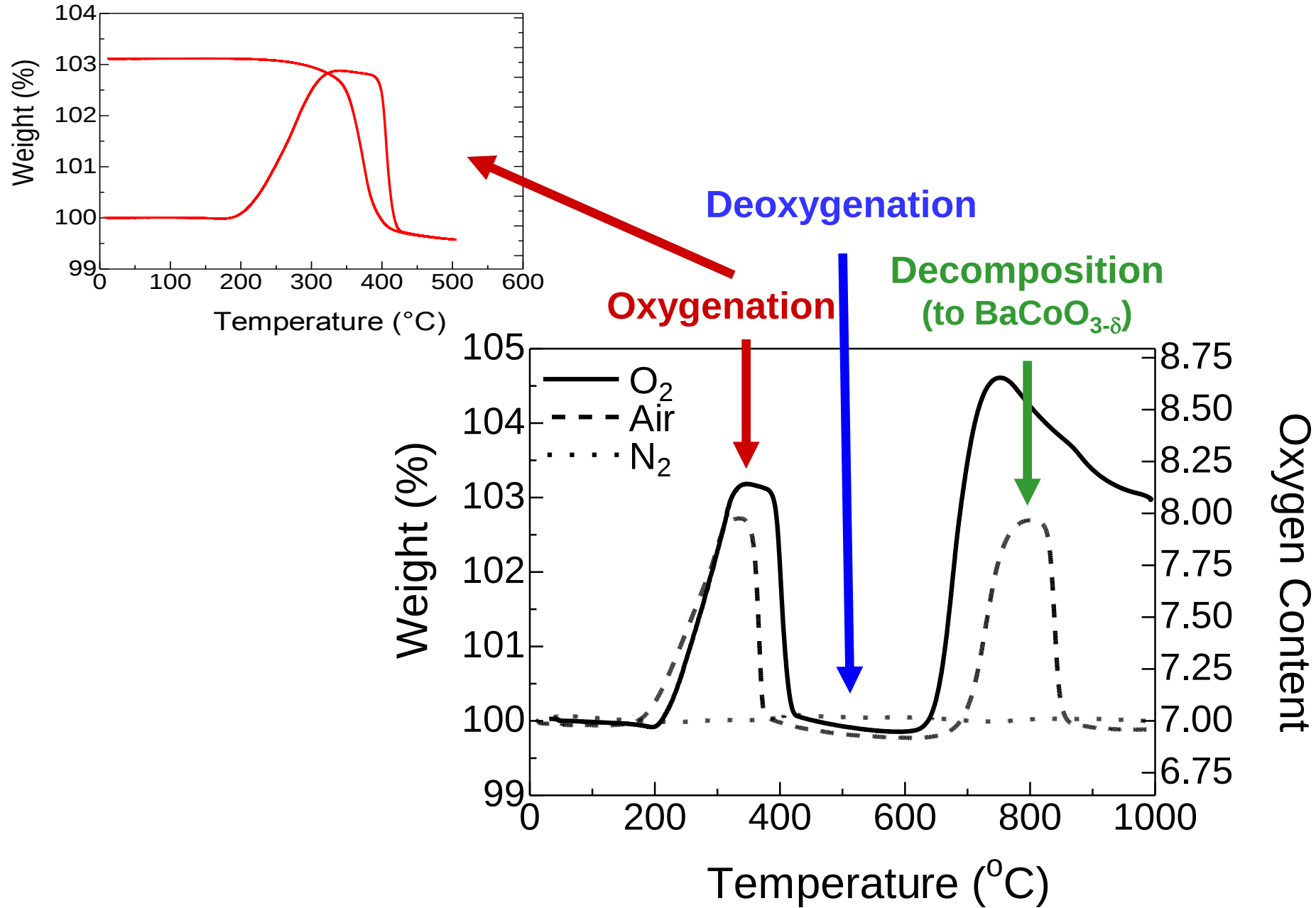
Y, Dy ~ Lu, Ca, In



Co, Al, Zn, Fe

YBaCo₄O₇: heating in air in a thermobalance





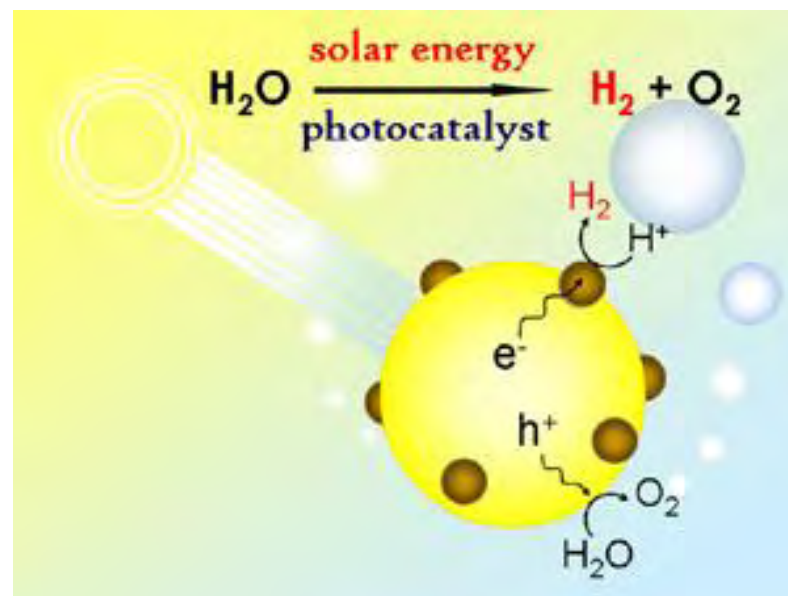


Sample	δ	OSC ($\mu\text{mol-O/g}$)	Co valence
N ₂ , 1 atm, 500 °C	0.03		2.265
as-synthesized	0.13		2.315
Br ₂ /H ₂ O, 25 °C	0.38	660	2.44
air, 1 atm, 340 °C	1.01	1760	2.755
O ₂ , 1 atm, 340 °C	1.19	2070	2.845
O ₂ , 10 atm, 340 °C	1.32	2300	2.91
O ₂ , 100 atm, 340 °C	1.46	2540	2.98
KClO ₃ , 2·10 ⁴ atm, 500 °C	1.56	2720	3.03



could be used for **separation of H_2 from O_2**
yielded through **photocatalytic water splitting** ?

- Prof. K. Domen, University of Tokyo
- Mitsubishi Chemical Corporation



SOLID OXIDE FUEL CELL

■ ELECTROLYTE

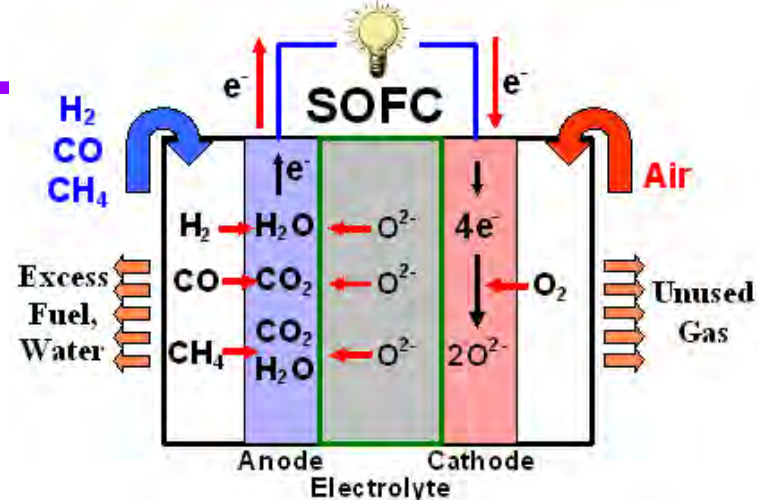
- oxide-ion conductor
- $(\text{Zr}, \text{Y})\text{O}_2$ (= YSZ)
(works well only at high operation temperatures)
- $(\text{La}_{0.2}\text{Sr}_{0.8})(\text{Ga}_{0.3}\text{Mg}_{0.7})\text{O}_{3-\delta}$ (Ga is expensive)
- $\text{YBaCo}_4\text{O}_{7+\delta}$ [M. Karppinen, et al., *Chem. Mater.* **18**, 490 (2006)]

■ ANODE

- mixed-conductor (MIEC: mixed ionic & electronic conductor)
- Ni/YSZ composite
(works with H_2 , but not for C- and S-containing fuels)
- $(\text{La}, \text{Sr})_{0.9}(\text{Cr}_{0.5}\text{Mn}_{0.5})\text{O}_{3-\delta}$ [S.W. Tao & J.T.S. Irvine, *Nature Mater.* **2**, 320 (2003)]
- $\text{Sr}_2(\text{Mg}, \text{Mn})\text{MoO}_{6-\delta}$ [Y.H. Huang, J.B. Goodenough, et al., *Science* **312**, 254 (2006)]

■ CATHODE

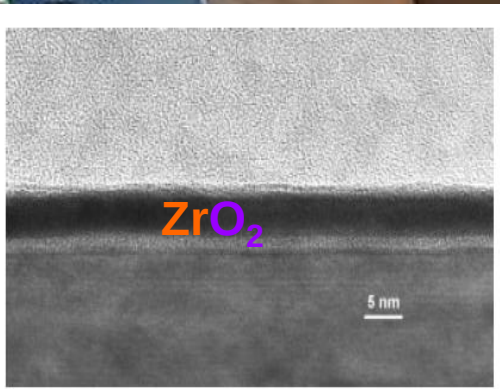
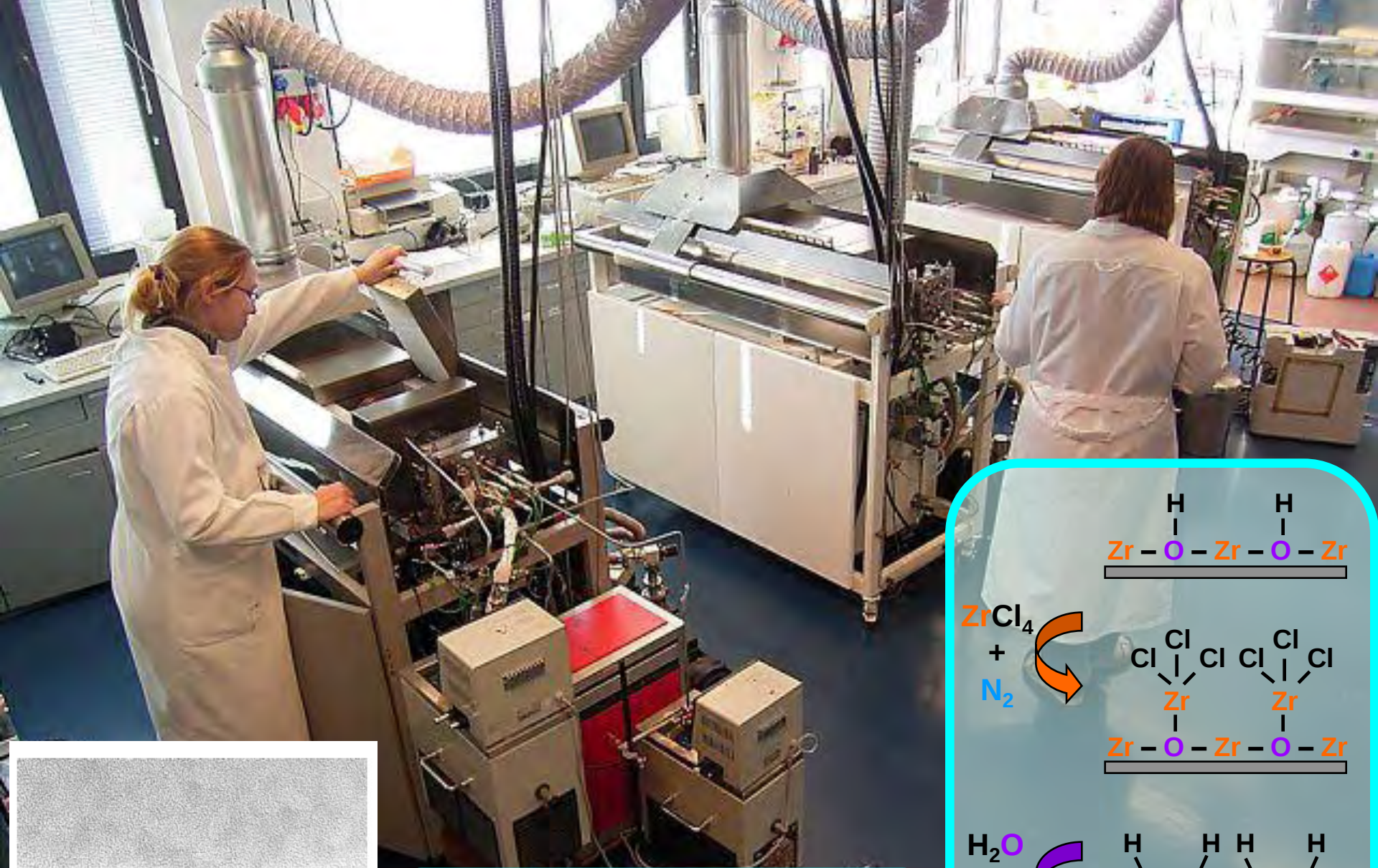
- MIEC
- $(\text{La}, \text{Sr})\text{MnO}_{3-\delta}$ (reacts with the electrolyte)
- $(\text{Sr}, \text{Ba})(\text{Co}, \text{Fe})\text{O}_{3-\delta}$ [Z.P. Shao & S. Haile, *Nature* **431**, 170 (2004)]



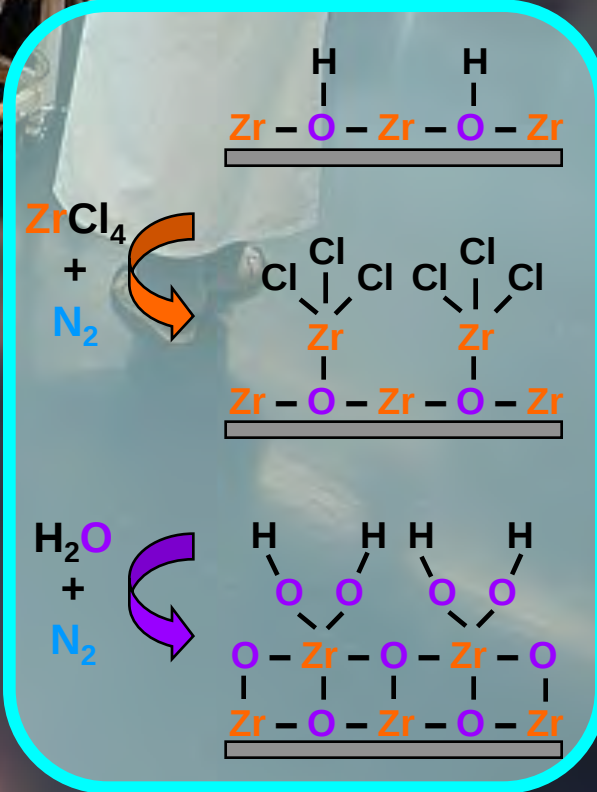
ATOMIC LAYER DEPOSITION (ALD)

- To produce a known compound in a **new form**:
 - thin films of (complex) oxide materials, polymers, etc.
- To find a **new way to combine** existing materials:
 - oxide coatings on graphene, biomaterials, paper, polymers, etc.
 - inorganic/organic hybrid materials





ALD (atomic layer deposition):
the overall reaction is broken
into **two half-reactions**,



SUBSTRATE

Reactant 1

N₂ Purge

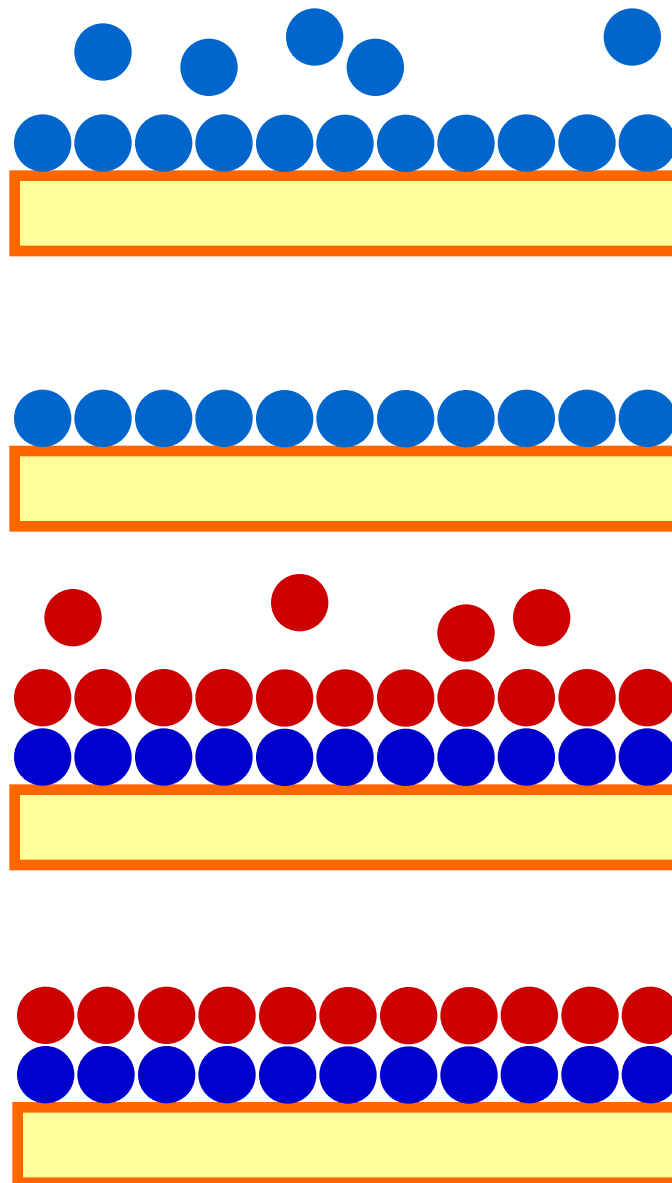
Reactant 2

N₂ Purge

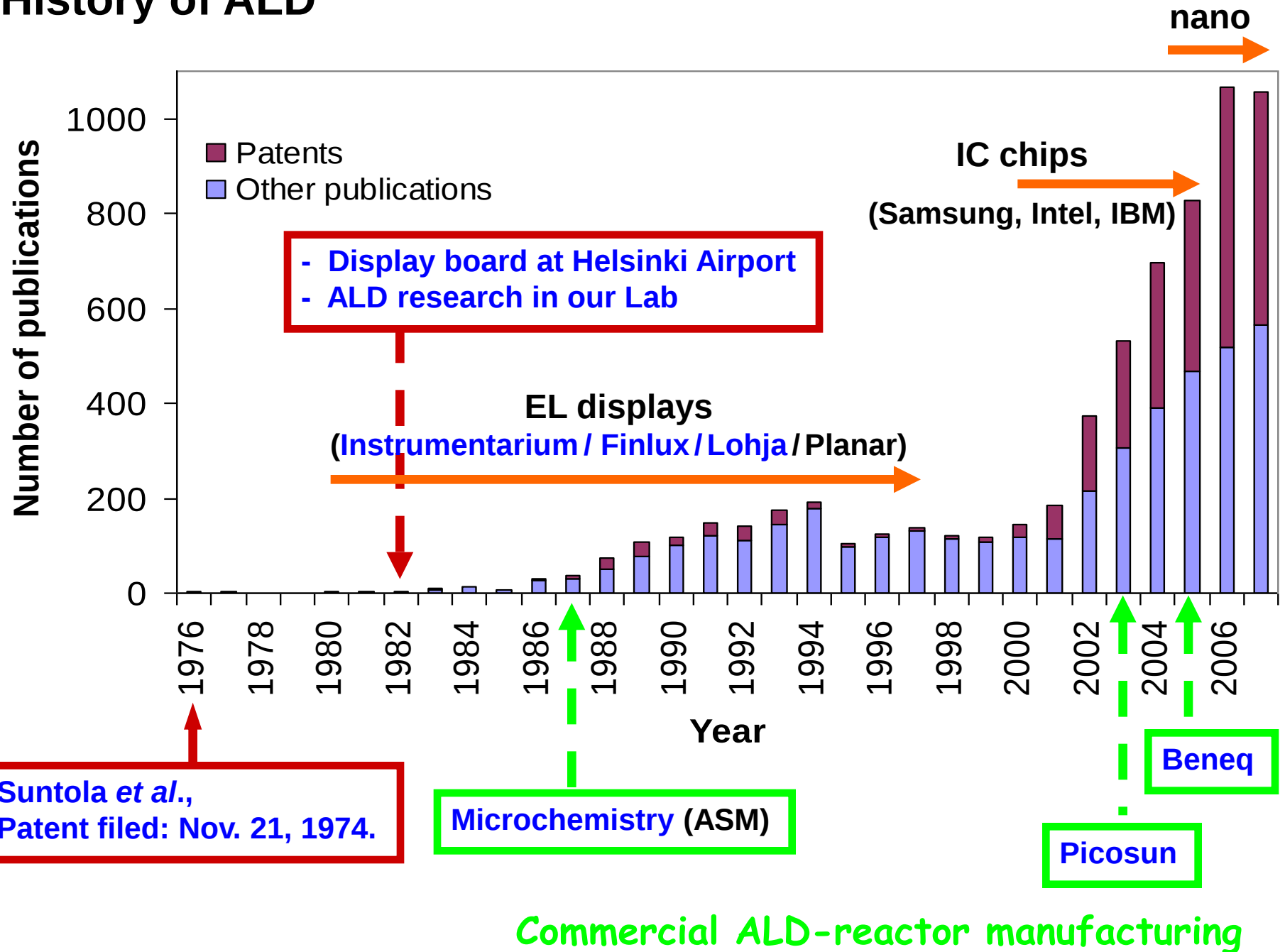
ALD
(Atomic
Layer
Deposition)

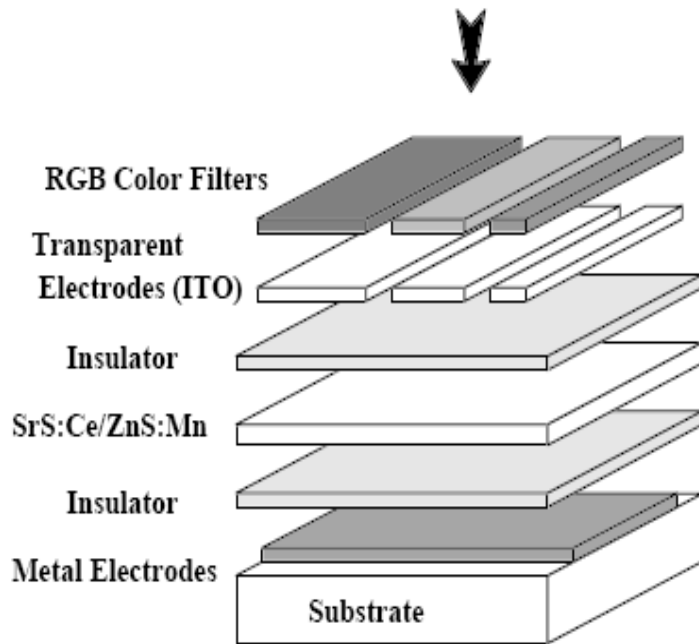
cycle

(which ideally
results in
a monolayer
of the target
compound)



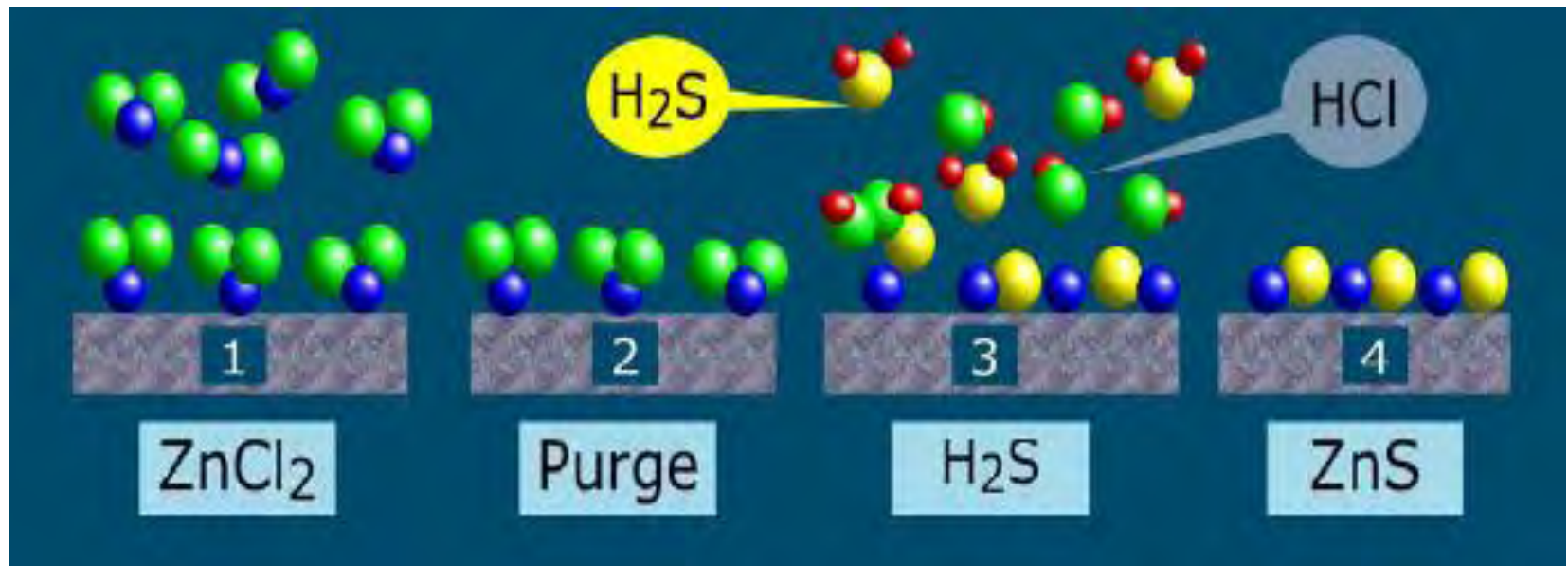
History of ALD





Electroluminescent display

Instrumentarium/Finlux/Lohja/Planar



Kalevala Koru (Finland):

- traditional
(silver)
jewelry



Beneq (Finland):
- Al_2O_3 coating by ALD



uncoated



Al_2O_3 -coated



BEFORE



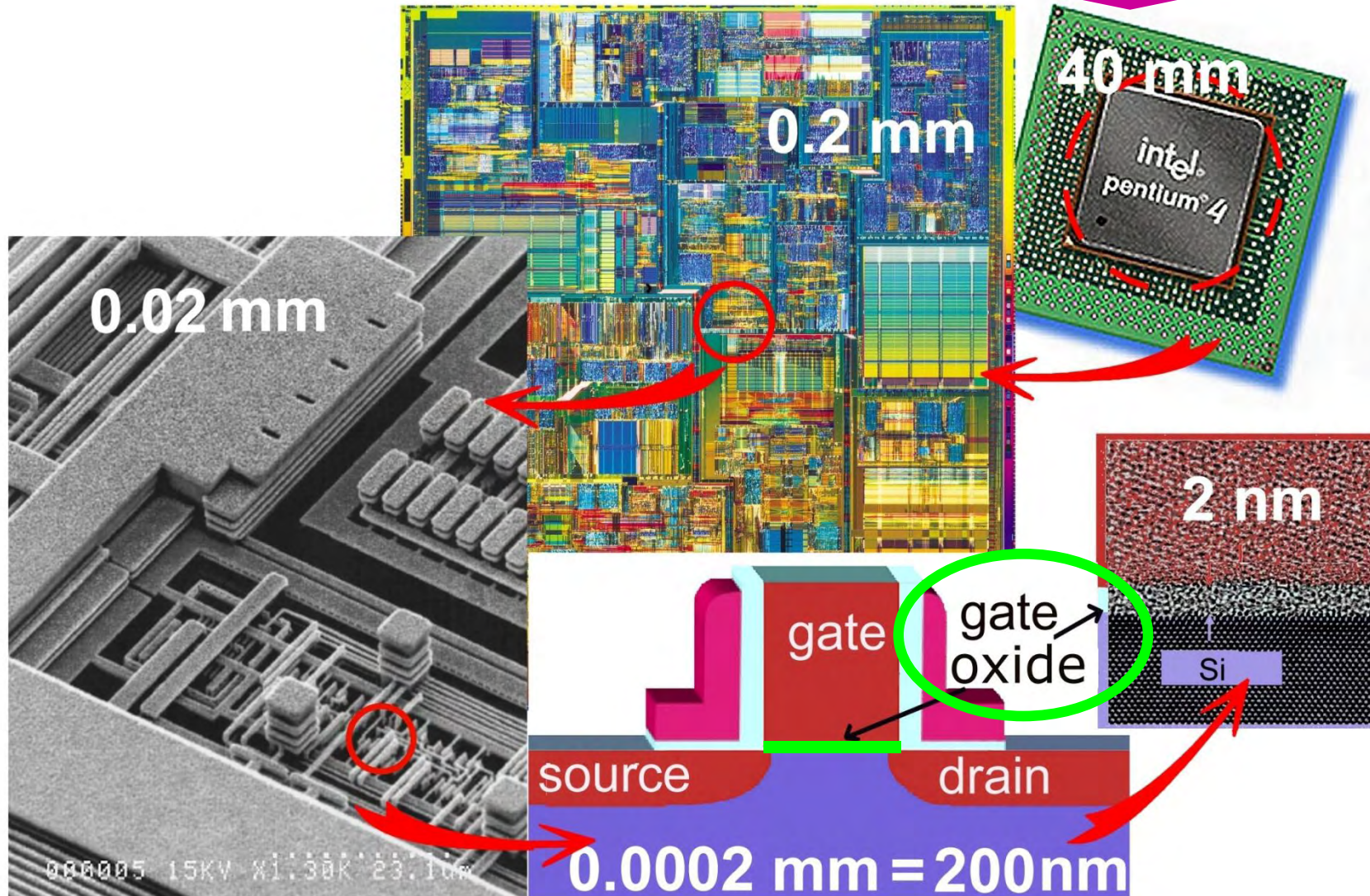
AFTER TARNISHING TEST



Dense, pinhole-free
& highly conformal
 Al_2O_3 -nanocoating
efficiently protects
silver jewelries
from tarnishing

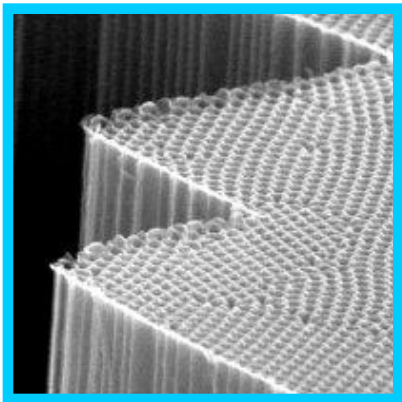
CMOS transistor

smaller transistors → lower gate voltage
same electric fields → thinner dielectric
 $\text{SiO}_2 \rightarrow$ **HIGH- k DIELECTRICS**

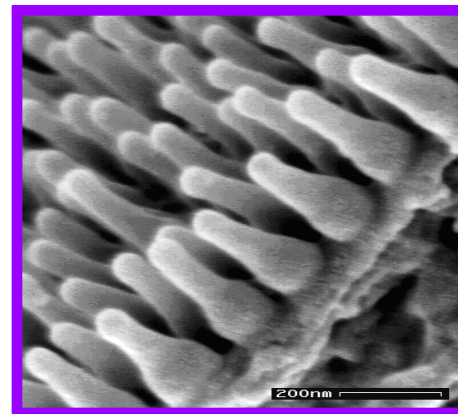
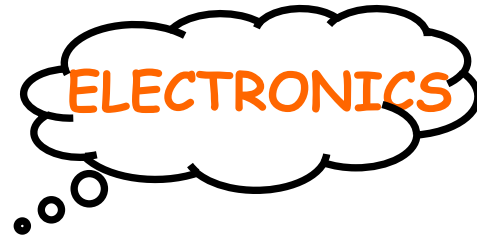


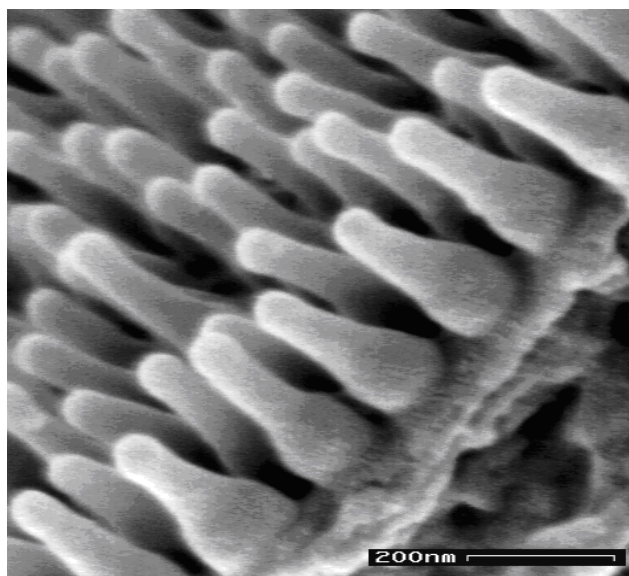
Advantages of ALD

- Inexpensive method
- Excellent repeatability
- Dense and pinhole-free films
- Accurate and simple thickness control
- Doping easily achieved
- Large area uniformity
- Excellent conformality



- Low deposition temperature
- Gentle deposition process





CICADA WING

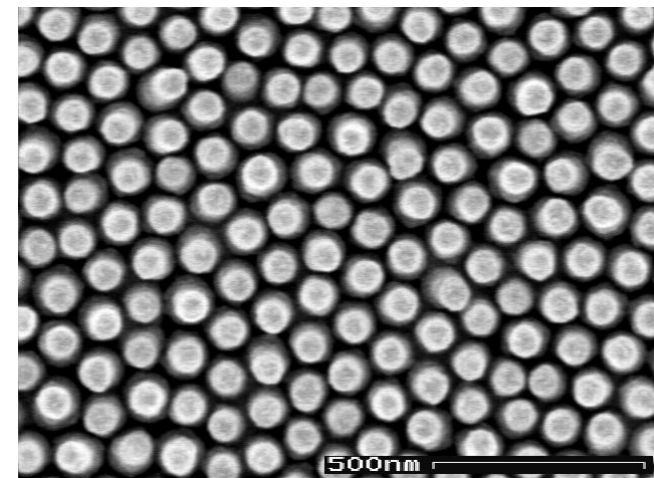
- Peculiar surface-nanostructure
200-nm high nanopillars coated with a waxy layer
- superhydrophobic

ZnO

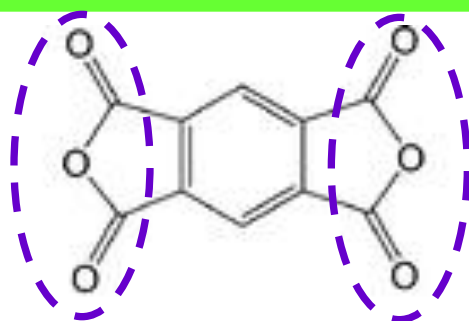
- Reversible change from hydrophobic to hydrophilic upon UV-radiation

CICADA WING + ZnO

- Conformal coating of the wing by a thin layer of ZnO (~10 nm) by means of ALD
- Reversible change from superhydrophobic to hydrophilic upon UV-radiation



Sahramo, Malm, Raula, Ras & Karppinen, manuscript (2009).

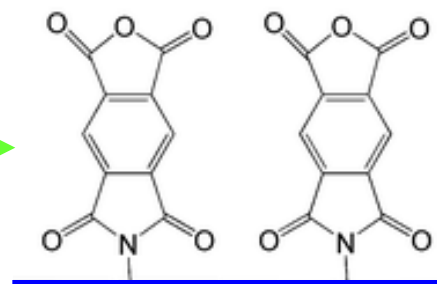


1,2,4,5-Benzenetetracarboxylic anhydride
(Pyromellitic dianhydride, PMDA)

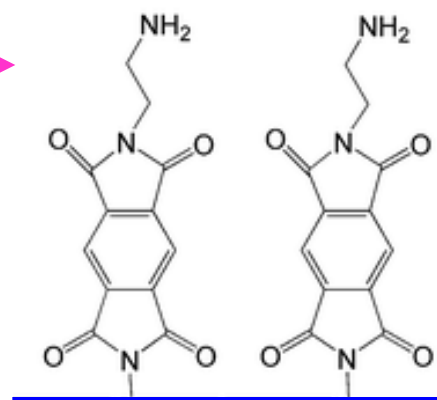
PMDA



PMDA →

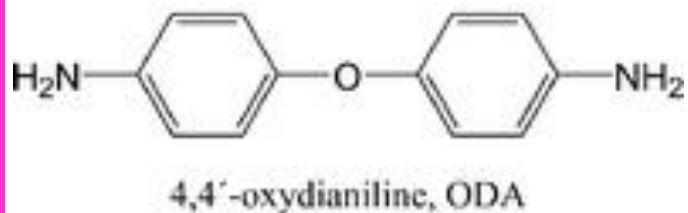
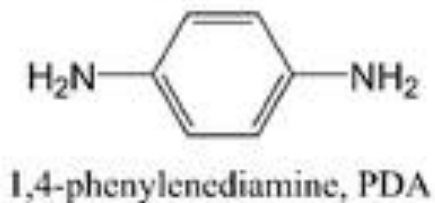
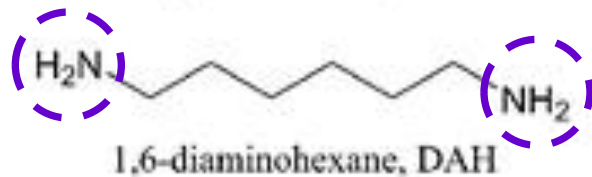
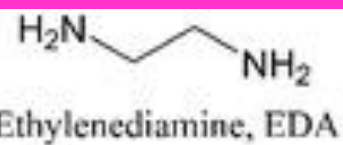


EDA →



POLYIMIDE ↑

DIAMINES

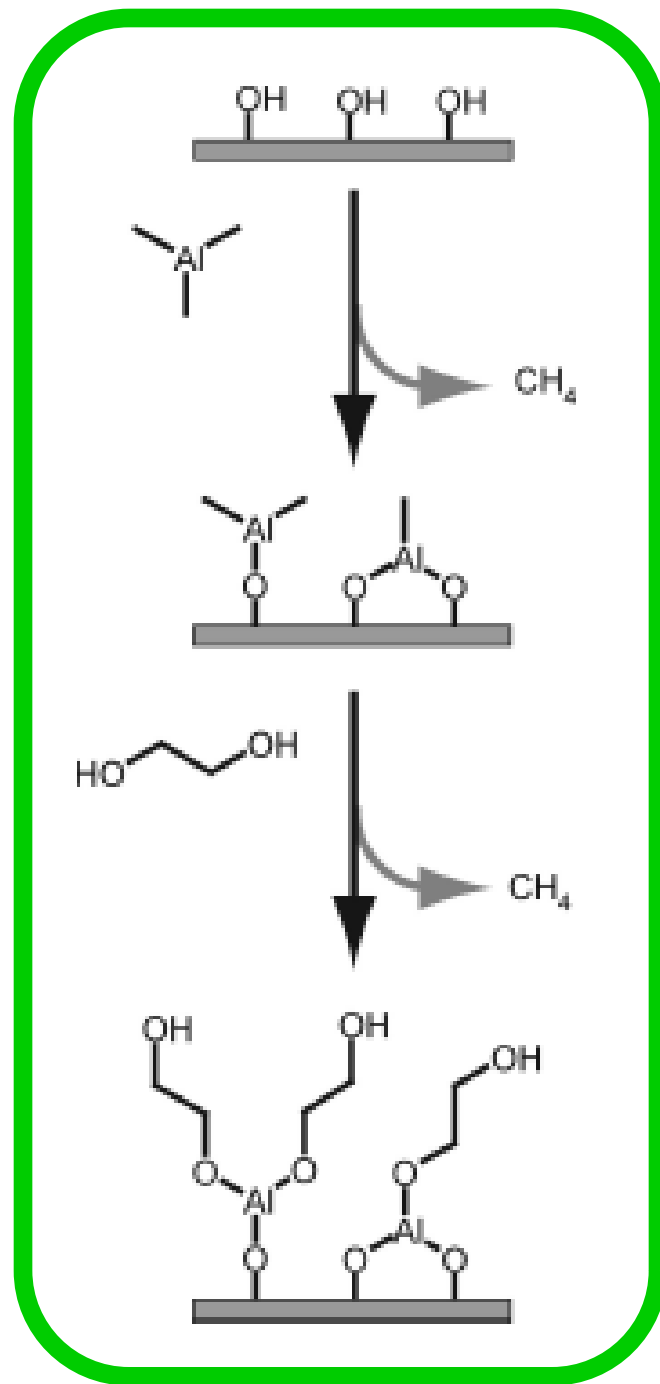
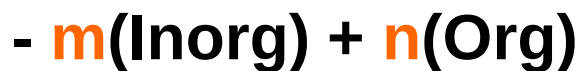


Inorganic-Organic Hybrids

■ Proof-of-Concept:



■ Future Challenges:



TOP-11 in Japan

- Semicond Leading Edge Technol

Univ Tokyo

Tohoku Univ

Hiroshima Univ

Semicond Res Inst

Univ Shizuoka

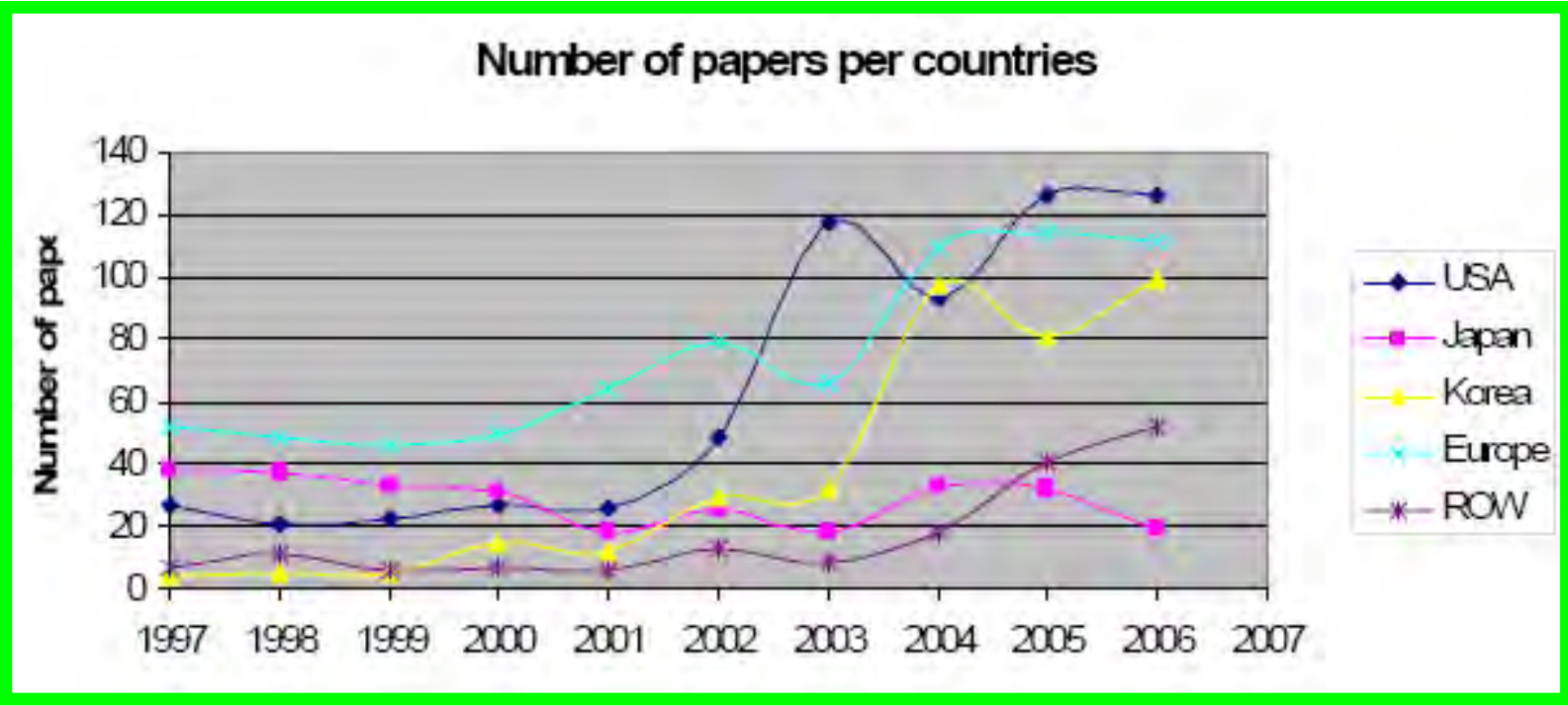
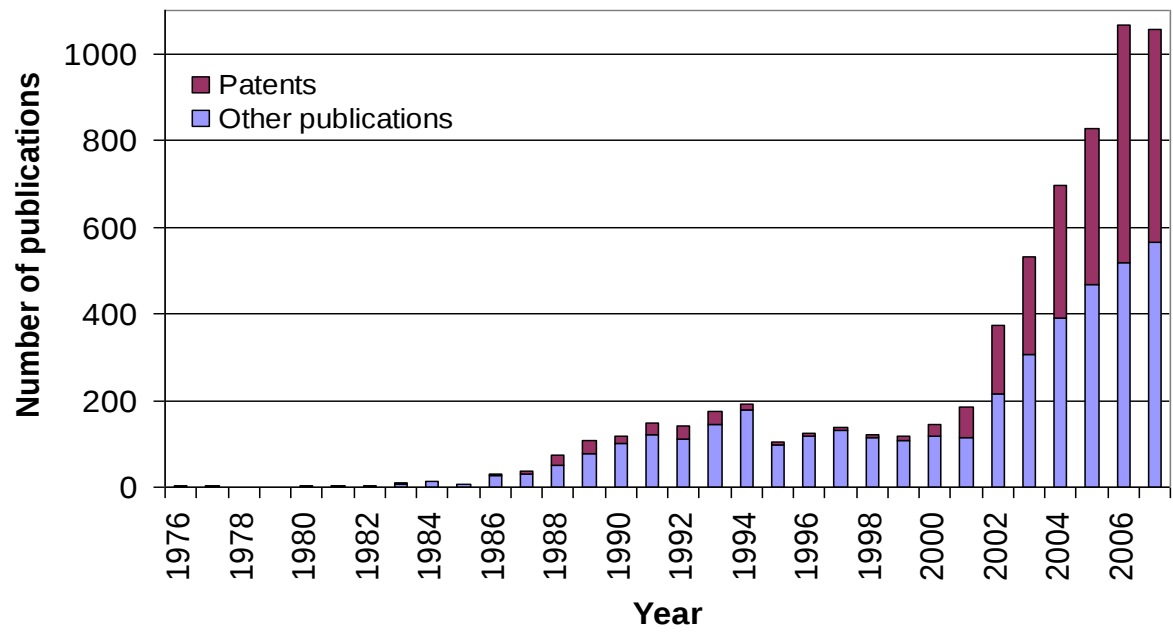
Natl Inst Adv Ind Sci & Technol

Kobe Univ

Univ Tsukuba

NEC Elect Corp

Tokyo Inst Technol



SUMMARY

*Non-Accidental
Expansion of the
Material Frontier*

NEW MATERIALS
NEW KNOWLEDGE
NEW FUNCTIONS

Layer-by-Layer Design

- additional layers
- the simplest “zero phases”

Layer-by-Layer Deposition

- polymers
- inorganic-organic hybrids
- inorganic/bio-nano combinations

Material-Property Tailoring

- oxygen-engineering
- nanoengineering

