## Challenges to Development and Implementation of STI for SDGs Roadmap -Lessons learnt from trials and reference cases-

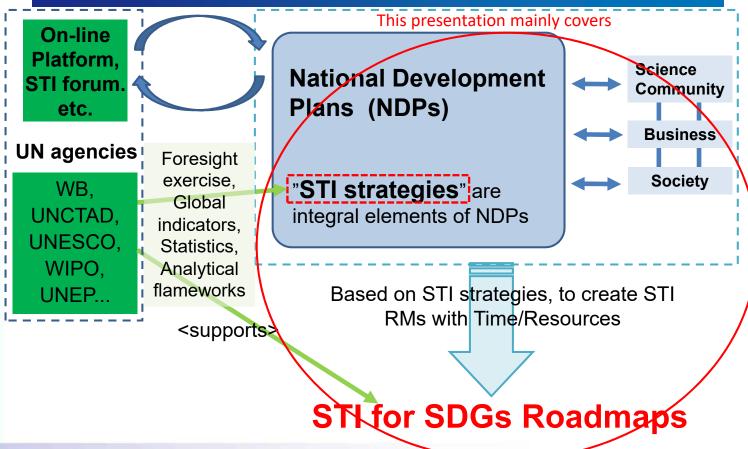
STI Roadmaps for SDGs – Expert Group Meeting May 8-9, 2018/Miraikan Tokyo

Kazuhito Oyamada Center for Research and Development Strategy, JST

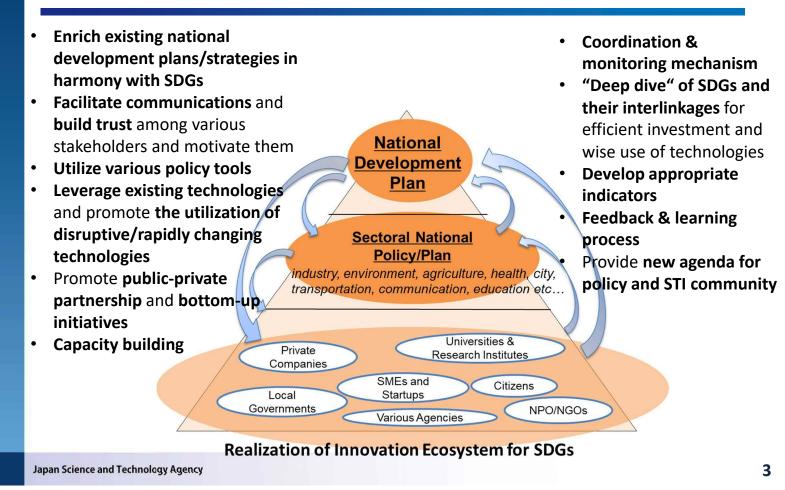
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### **National Development Plans and STI Strategies**



## Challenges to STI for SDGs Roadmap



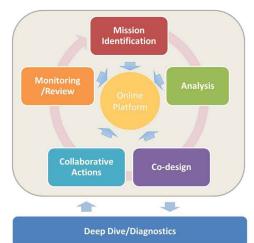
## **Trials to Develop STI Roadmap for SDGs**

- Japan Science and Technology Agency (JST) and New Energy and Industrial Technology Development Organization (NEDO) collaborated in trails to develop STI Roadmaps for SDGs
- Goal 7: Affordable and Clean Energy
- Goal 11: Sustainable Cities and Communities

### Cyclic process of 5+1 steps to develop and implement the RM

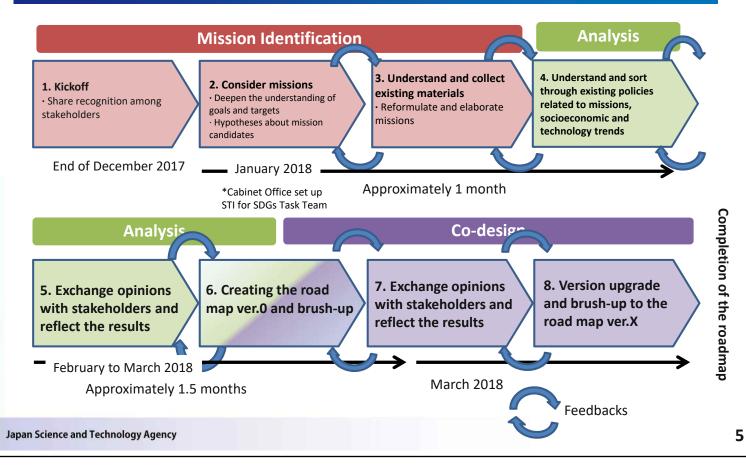
- 1. Mission Identification
- 2. Analysis
- 3. Co-design
- 4. Collaborative Action
- 5. Monitoring/Review
- + Deep Dive/Diagnostics

For details of the respective steps, see appendix.



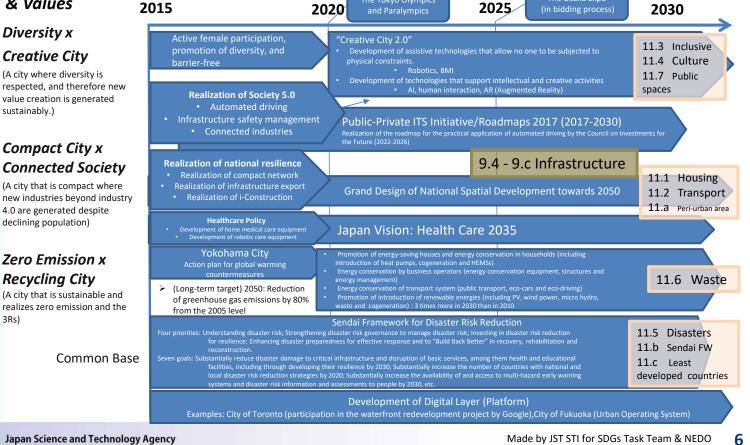


## Timeline of the trials



## **Roadmaps for Society 5.0 in urban cities**

#### **Common Visions** & Values



The Osaka Expo

### Items to be considered in the development of STI Roadmap for Future Cities

Visions &	Dimension	Elements	
Values			Ref: World Economic Forum Transformation Maps
<ul> <li>Diversity x Creative City</li> <li>Compact City x Connected Industries</li> <li>Zero Emission x Recycling City</li> </ul>	<ul> <li>Human Capital</li> <li>Business &amp; Innovation</li> <li>Society, Environment, Health &amp; Culture</li> <li>Infrastructure, Security &amp; Resilience</li> </ul>	<ul> <li>Infrastructure</li> <li>Electricity</li> <li>Water</li> <li>Telecommunication</li> <li>Health-care delivery system</li> <li>Real estate</li> <li>Recycling economy</li> <li>Engineering, construction</li> <li>Aging</li> <li>Chemical/materials industry</li> <li>Forest</li> <li>Future that lacks environment and natural resources</li> <li>Climate change</li> <li>Sustainable development</li> <li>Risk and resilience</li> <li>Biotechnology</li> <li>Social media</li> <li>Cybersecurity</li> <li>Behavioral change</li> <li>Information technology</li> </ul>	<ul> <li>Citizen participation</li> <li>Education, skills</li> <li>Social innovation</li> <li>Gender gap</li> <li>Labor power, employment</li> <li>Human rights</li> <li>Immigration</li> <li>Food security and future of agriculture</li> <li>Individual investor</li> <li>Innovation</li> <li>International trade and future of investment</li> <li>Entrepreneurship</li> <li>The fourth industrial revolution</li> <li>Digital economy and future of society</li> <li>Corruption</li> <li>Government finances, social protection system</li> <li>Future of government</li> <li>Global governance</li> <li>Future of corporations</li> </ul>
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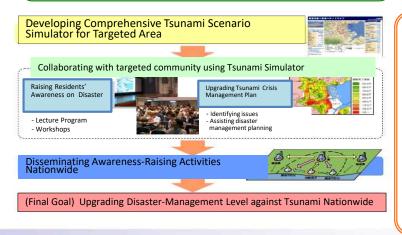
### CASE 1: Awareness-Raising Activities on Tsunami Disaster Saved 2,926 Pupils of Elementary & Secondary Schools

#### Establishing a Foothold for Nationwide Expansion of Tsunami Education Using a Comprehensive Tsunami Disaster Scenario Simulator

Led by Prof. Toshitaka KATADA, Disaster Research Center, Gunma Univ.\* Supported by JST's Mission-Oriented Research Program (FY2001-05) and Implementation-Support Program (FY2007-11)

#### Outline of implemented R&D Outputs

An education tool "Comprehensive Tsunami Disaster Scenario Simulator" was developed, which can simulate a damage caused by Tsunami, with condition-settings such as crisis-awareness level of local residents triggered by earthquake motion, whether evacuation is recommended or not, daily awareness level of residents on disaster crisis, experience of past Tsunami disaster, in addition to simulating physical reach and height of Tsunami based upon epicenter and magnitude of triggering earthquake.

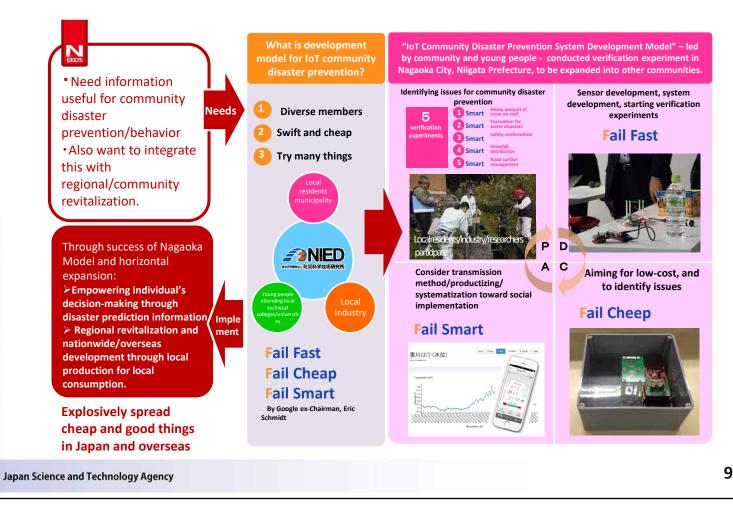




Children in Kamaishi, evacuating from designated facility to a safer hill by their own judgment on 11 March, 2011

#### Outcomes by Implementation

Continued efforts were made using the simulator, for raising local residents' disaster-awareness level and education in elementary and secondary schools, to create a robust community against Tsunami disaster. Consequently, in the wake of 2011 Great East Japan Earthquake in Kamaishi-City, senior-grade students have taken a leadership in evacuation, assisting junior-grade pupils and elderly persons, and made a further evacuation from a designated facility to a safer hill by their own judgment, not being trapped by initial prediction, thanks to their high awareness level. That has resulted in saving 2,926 students (99.8% of the total elementary and secondary schools) in Kamaishi (widely known as 'Kamaishi-Miracle'). CASE 2: Project to develop community disaster prevention system using IoT (National Research Institute for Earth Science and Disaster Resilience: NIED)

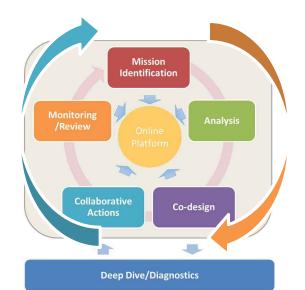


### **Challenge for further steps**

- Consistency with existing domestic governance systems, and embedment in the execution level of policies
- Participation of multi-stakeholders
- Coordination and monitoring of various stakeholders efforts at multiple levels while promoting bottom-up initiatives
- Set and improve appropriate indicators
- Feedback and learning mechanism

### **Two Reference Cases**

Public-Private ITS Initiative/Roadmap
 SDGs Guideline for Local Governments



### **Two reference cases**

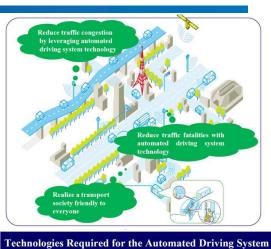
Case 1: Public-Private ITS <sup>*</sup> Initiative/Roadmap	*Intelligent Transport Systems
• Mission-oriented Roadmap: shared views, clear tar	rgets and timelines
<ul> <li>Communication Tools: government, industry, STI constakeholders.</li> </ul>	ommunity and other
<ul> <li>Embedded feedback process and learning mechan technology development and social changes</li> </ul>	<b>ism</b> to meet
<ul> <li>Promote public private partnership: identifying gap cooperation</li> </ul>	os and areas of
Case 2: SDGs Guideline for Local Governments	
<ul> <li>Aims to promote bottom-up initiatives of individual governments which have different problems and co</li> </ul>	
<ul> <li>Helps local governments to plan and implement the roadmaps and/or action plans for SDGs</li> </ul>	eir own strategies,
<ul> <li>Provides standardized procedures (5 steps) for the implementation, and check list and localized indica progress</li> </ul>	planning and <b>tors</b> for monitoring

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## **Case1: Public-Private ITS Initiative/Roadmap**

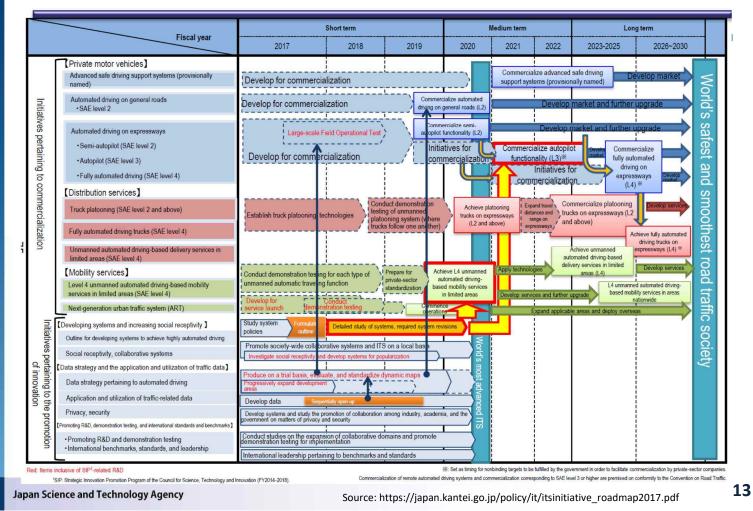
- Vision: "Japan aims to aims to build and maintain the world's best ITS and thereby contribute to its people and the world."
- Cross-Ministerial Initiative
- Time line: ~ 2030 (Target year of SDGs)
- Feedback and learning process is officially embedded: the Roadmap is revised every year
- Multi-stakeholder participation
- Identify gaps and promote public private partnership in the areas of cooperation (e.g. development of dynamic map, field operational tests, etc..)
- Regulatory reform in parallel with cross-ministerial R&D program and field operational tests
- Promote dialogues with public
- Global competition and destructive technology provide strong incentive





#### Source: http://en.sip-adus.go.jp/sip/file/sip\_en\_2016\_achievement.pdf

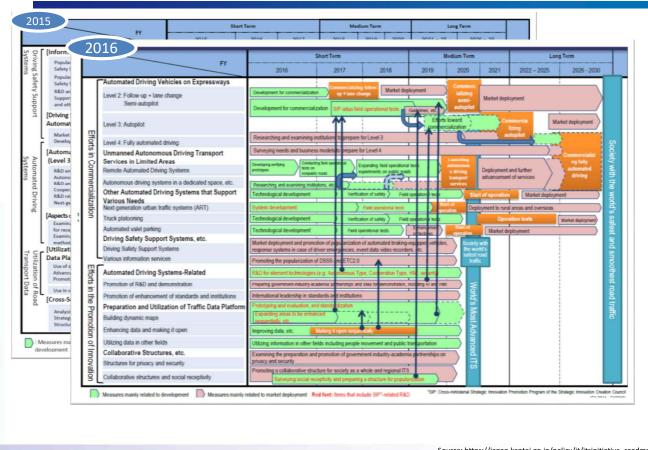
### Public-Private ITS Initiative/Roadmap 2017 (Roadmap Overview)



# Updating roadmap to meet technology development and social changes

20	FY		rt Term			tedium Term			Long Term	
		2015	2016	2017	2018	2019	2020	2021 - 25	2026 - 30	
Driving Safety Support	[Informational] Popularization of Autonomous Type Driving Safety Support Devices Popularization of Cooperative Type Driving Safety Support Devices B&D and popularization of Driving Safety Support Devices that can receptive potentianis and other elements [Driving Safety Support Systems (Level 1) Automated Driving System (Level 2)]		Driving Support deploying them s to the market a	1	making use	Cut down on traffic fatalities to	Society with the world's sa	Market deployme	Society with the world's	
	Market deployment of Level 1 Development and market deployment of Level 2	Market deployment and devel further advancement	opment for			2,500	fest			
A	[Automated Driving Systems (Level 3 and 4), etc.]	R&D and demonstration experimen				9	road tra		safest	
Automated Driving	R&D and demonstration experiments of Autonomous Type R&D and demonstration experiments of Cooperative Type R&D related to cross-sectional issues Next-generation traffic systems	R&D and demonstration experimen Cross-sectional issues including sec Developing next-generation traffic	unity measures	antive Type Applyin that is autom system	is a part of data valiable to ared driving s sequentially	Type	Start of genetice	TRED, dumon	loyment, etc.	
Bu	[Aspects of Social Receptivity and Institutions] Examination of human factors and measures for receptivity Examination of how society should be and	Examining human factors		Promoting meas	-	-		al aspect as necessary	smoothest road transport	
Util	[Utilization of Data and Preparation of Data Platform]	Preparing data and making it open		king it open seque		should be, and	Cong of	a aspect as necessary	Insport	
Utilization of Road	Use of data Advancement of information systems and data Promotion of making it open	Examining data sharing and the pre Making use of information in other	paration of the stru	cture		-	e traffic		2	
of Roa	Use in other fields [Cross-Sectional Issues]	public transportation Improving an environment for the u	se of automobile-re	elated information, cro	eating new service	is, etc.				
12	Analysis of KPIs, traffic accidents, etc. Strategy for the Olympic Games	Analysing KPL and fulfic accidents and implementing the PECK cycle Implement measures for the Dympic Games								
	Structure for international collaboration	Actively promoting international collaboration								

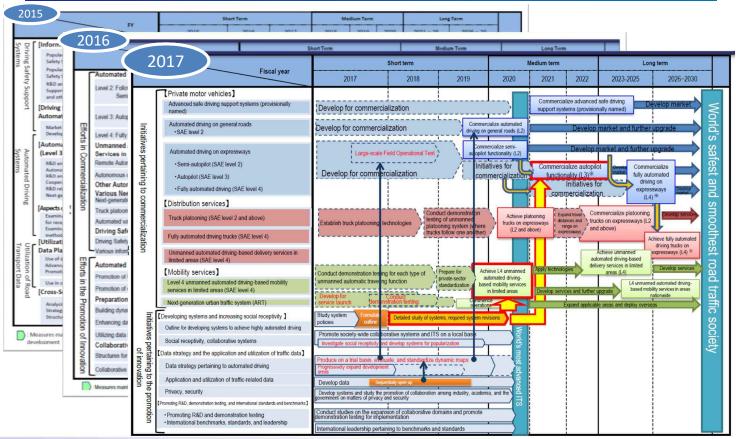
# Updating roadmap to meet technology development and social changes



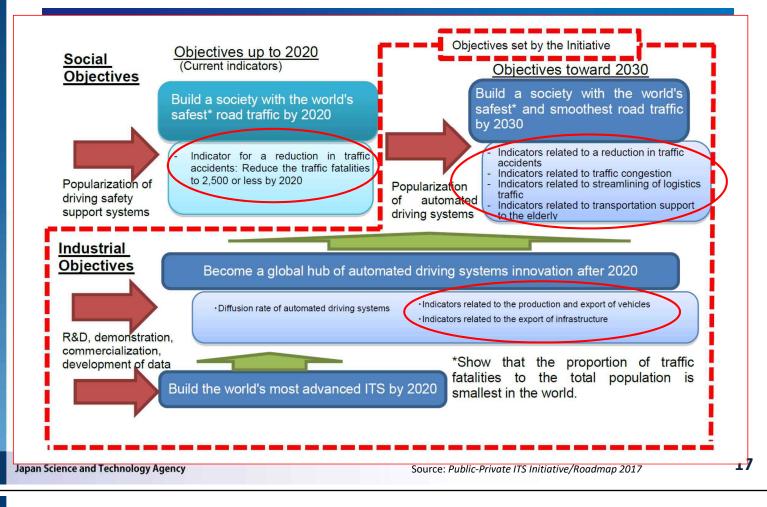
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Source: https://japan.kantei.go.jp/policy/it/itsinitiative\_roadmaps2015.pdf https://japan.kantei.go.jp/policy/it/2016/itsinitiative\_roadmaps2016.pdf https://japan.kantei.go.jp/policy/it/itsinitiative\_roadmap2017.pdf

### Updating roadmap to meet technology development and social changes

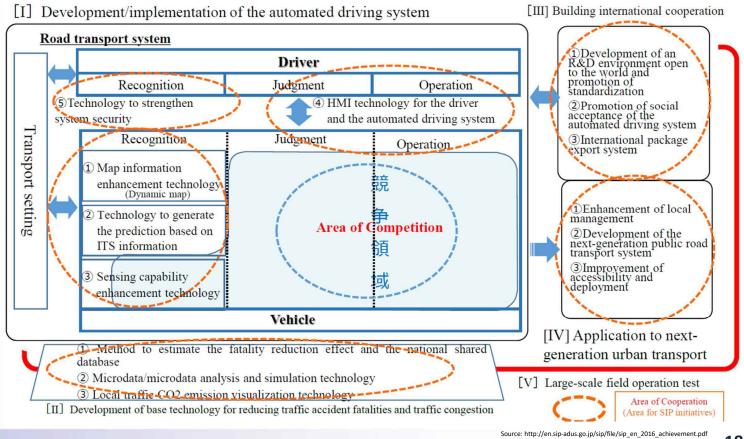


### Indicators for Public-Private ITS Initiative/Roadmap 2017



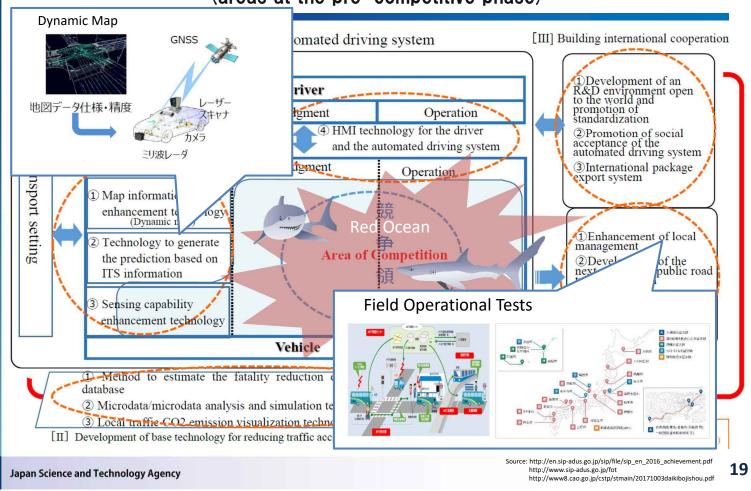
### Public private partnership in the areas of cooperation

(areas at the pre-competitive phase)



http://www.sip-adus.go.jp/fot http://www8.cao.go.jp/cstp/stmain/20171003daikibojishou.pdf

### Public private partnership in the areas of cooperation (areas at the pre-competitive phase)



### Case2: SDGs Guideline for Local Governments

SDGs for Our Cities and Communities -Introduction Guideline- (2<sup>nd</sup> Edition, March)\*

- Help local governments plan and implement their own strategies/roadmaps/action plans for SDGs while considering problems and contexts they face
- Identify 5 steps necessary for the local governments to take on **SDGs**
- Proposes
  - Check list for progress monitoring
  - Localized indicators
- Referring the SDSN<sup>™</sup>'s "Getting • Started with the SDGs in Cities" and other materials, **modified to meet** the local context in Japan

\* Downloadable from http://www.ibec.or.jp/sdgs/index.html \*\*Sustainable Development Solutions Network

#### Step 1: Understand SDGs

- 1-1: Understand the general outlines of SDGs
- 1-2: Understand the three-lavered structure in SDGs
- 1-3: Understand the relationship between SDGs and local government roles

#### Step 2: Structure to work towards SDGs

- 2-1: Understand the importance of vertical and horizontal
- integrations by local governments
- 2-2: Facilitate vertical integration at levels from niches to global scales 2-3: Clarify involved stakeholders and facilitate horizontal integration
- 2-4: Establish a structure to promote SDGs

#### Step 3: Policy goals, specific targets and indicators

3-1: Marshal projects at the local government level 3-1-1. Situating the local governments in the context of the SDGs Implementation Guiding Principles by the national government 3-1-2. Marshalling community-specific challenges 3-2: Set policy goals 3-2-1. Points to consider when setting policy goals and specific targets 3-2-2. How to set policy goals and specific targets 3-3: Organize indicators to measure the progress in policy goals and specific targets 3-3-1. Having community SDG indicators ready 3-2-2. Examples of existing indicators 3-2-3. Determination of specific targets Step 4: Action program 4-1: Create an action program for community SDGs 4-2: Execute the action program for community SDGs 4-2-1. Development of human resources in involved stakeholders 4-2-2. Acquisition of financing for making the project viable 4-2-3. Partnership between local governments

#### Step 5: Follow-up

- 5-1: Establish a follow-up system
- 5-2: Follow up the progress on a regular basis

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## **Check List for Monitoring Progress**

#### Table 1 Self-checklist for the assessment of progress in promoting local SDGs

No.	Relevant chapter	Charle II. Landa J CDC	P	rogre	ss
NO.	of the guideline	Step 1: Understand SDGs	×	Δ	0
1	1-1.(12)	Understand that SDGs constitute the core of the "2030 Agenda for Sustainable Development" and are development goals that are to be achieved by 2030.			
2	1-1.(12)	Understand the importance of integration of three dimensions (economy, society and environment) for sustainable development.			
3	1-1.(12)	Understand that both developed and developing countries need to take up the challenge for SDGs.			
4	1-2.(12)	Understand the three-layered structure in SDGs: 17 Goals, 169 Targets and nearly 230 Indicators.			
5	1-2.(12)	Understand each of the 17 Goals, in general.			
6	1-2.(12)	Have a look through the 169 Targets of SDGs and understand the general direction.			
7	1-2.(12)	Understand the necessity of measuring the progress in SDGs (17 Goals and 169 Targets) based on about 230 Indicators.			
8	1-2.(12)	Obtain the latest information on SDG Indicators through the UN Information Center or UN Statistical Commission websites.			
9	1-3.(17)	Understand the roles that the local government should fulfill to achieve 17 Goals of SDGs.			
10	1-3.(21)	Understand high expectations that are set for local governments contributing to achieving SDGs.			
11	1-3.(23)	Look through and understand the SDGs Implementation Guiding Principles, which were set forth by the Japanese government to promote working towards SDGs.			
12	1-3.(23)	Understand the importance of selecting, from the SDG Goals and Targets, the issues, goals and projects relevant to the situations particular to the community.			
13	1-3.(24)	Understand the possibility of producing positive effects on the community through proceeding with SDG projects, including QOL improvement for the residents, creation of a city with individuality, and promoting global partnerships.			
14	1-3.(25)	Understand the importance of developing an SDG project that can have a synergetic effect on several Goals.			
15	1-3.(25)	Understand SDGs as comprehensive goals ranging across multiple areas and the necessity of working cooperatively in a cross-sectoral manner with various stakeholders inside/outside the local government.			
16	1-3.(26)	Understand that working towards SDGs can lead to rebuilding local communities and contributing to society.			
17	1-3.(-)	Make sure that the above-mentioned issues are adequately understood by the staff in your own department.			
18	1-3.(-)	Make sure that the above-mentioned issues are adequately understood by those in different departments through holding workshops, etc.			

No	Relevant chapter				
40.	of the guideline	Step 2. Structure to work towards 52/05	×	Δ	C
19	2-1.(-)	Decide which department will lead the SDG project. Or find a consensus over the decision.			
20	2-1.(28)	To each of the departments or divisions of a local government: perform self-assessment regarding which goal(s) of the SDGs it can contribute to through its own services.			
21	2-1.(28)	Share the information on SDGs among different departments of the local government and carry out the project through the entire local government.			
22	2-2.(28)	To the SDG project leader (department): have interest in the global trends such as SDGs when setting up a project.			
23	2-2.(28)	To the SDG project leader (department): have an interest in the development of best practices or advanced/experimental operations that will be independently carried out within a community as part of the SDG project.			
24	2-3.(29)	Clarify the roles of each stakeholder involved in SDG promotion.			
25	2-4. (31)	Share the information on SDGs with stakeholders outside the local government and establish an organizational structure to enable collaboration.			
26	2-4. (31)	Share the information on SDGs among the top people (e.g., the head of the local government, and the director of each organization or department) and determine the project direction that each officer in charge will take.			
No.	Relevant chapter of the guideline	Step 3: Policy goals and specific targets		rogre	
27		To the SDC and in the law (deviation) and anti-community and if a invest	×	Δ	C
27	3-1.(38)	To the SDG project leader (department): sort out community-specific issues.			
28	3-1.(38)	Weigh these community-specific issues against each other to prioritize them for working on.			
29	3-2.(39)	To the SDG project leader (department): based on the current situations of the community, select the Goals and Targets relevant to local issues and put them together as policy goals. Or;			
30	3-2. (39)	Set long-term policy goals, while having a vision for the future of the community towards the year 2030 (or later years).			
31	3-2.(39)	Set specific targets according to the long-term policy goals.			
32	3-2. (40)	Set policy goals and specific targets which are not too broad to comprehend, and conduct selection and concentration in accordance with the size of the community.			
33	3-3.(42)	Have indicators ready to measure the progress in policy goals and specific targets.			
34	3-3.(42)	Make sure that the data necessary for the aforementioned indicators can be collected (discuss the data collectability).			
35	3-3.(42)	Some of the indicators can be compared with other communities because many local governments also collect the same data.			
36	3-3.(42)	Some of the indicators are unique and can represent the identity of the community.			
37	3-3.(48)	Express specific targets in a concrete manner (with the level or target to be reached in the future).			
38	3-3.(48)	Discuss whether it is better to have key performance indicators (KPIs).			
	Relevant chapter	Charles A Anting any and	P	rogre	ss
No.	of the guideline	Step 4: Action program	×		C

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Source: "Appendix 1. Self-checklist for promoting local SDGs" SDGs for Our Cities and Communities –Introduction Guideline-, 2<sup>nd</sup> Edition, 2018, pp.68-69.

## **Check List for Monitoring Progress**

#### Table 1 Self-checklist for the assessment of progress in promoting local SDGs

No.	Relevant chapter			Progress		
INO.	of the guideline			Δ	0	
1	1-1.(12)	Understand that SDGs constitute the core of the "2030 Agenda for Sustainable Development" and are development goals that are to be achieved by 2030.				
2	1-1.(12)	Understand the importance of integration of three dimensions (economy, society and environment) for sustainable development.				
3	1-1.(12)	Understand that both developed and developing countries need to take up the challenge for SDGs.				
4	1-2.(12)	Understand the three-layered structure in SDGs: 17 Goals, 169 Targets and nearly 230 Indicators.				
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Source: "Appendix 1. Self-checklist for promoting local SDGs" SDGs for Our Cities and Communities –Introduction Guideline-, 2<sup>nd</sup> Edition, 2018, pp.68-69.

## **Localized Indicators**

oal	Target	Indicator	Indicator		Data source	and other waste				National Institute
T			Number	Localized indicator	Ministry of Health, Labour and Welfare (Social Welfare and War	11.	.6.2 Annual mean levels of fine particulate matter (e.g., PM2.5 and PM10) in cities (population weighted)	11-8	Number of days in which the hourly concentration of photochemical oxidants (Ox) is 0.12 ppm or higher during the daytime	National Institute for Environmental Studies: "Environmental GIS"
	to adequate, safe and affordable housing and basic services and upgrade slums 11.2 By 2030, provide access to	11.1.1 Percentage of urban population living in slums or informal settlements	11-1	Percentage of homeless people	Victims' Relief Bureau): "National Survey on the Actual Conditions of the Homeless" Ministry of	- 11	disaoiines	11-9	(Number of libraries + Number of community centers) / Habitable area	Ministry of Internal Affairs an Communications (Statistics Bureau) "Statistical Observations of Shi, Ku, Machi.
	safe, affordable, accessible and sustainable transport systems for all, improving road safety, notably by expanding public transport, with special attention to the needs of those in vulnerable	11.2.1 Proportion of population that has convenient access to public transport, by sex, age and persons with disabilities	11-2	Proportion of population that uses railways, trains and buses to commute to school or work (excluding those working at home) and are 15 years of age or older	Internal Affairs and Communications (Statistics Bureau; Statistical Survey Department; Population Census Division);	11.7 By 2030, provide universal access to safe, inclusive and accessible, green and public spaces, in particular for women and children, older persons and persons with		11-10 11-11 11-12	(Total area of libraries + Total area of community centers) / Habitable area Number of parks / Total population Area of parks / Habitable area	Mina" Ministry of Internal Affairs and Communications: "A Comparison Table of Communal
	situations, women, children, persons with disabilities and older persons.				"National Population Census"	disabilities.		11-13	Area of parks / Population	Facilities over Time"
1	11.3 By 2030, enhance inclusive and sustainable urbanization and capacity for	11.3.1 Ratio of land consumption rate to population growth rate	11-3	Rate of population increase or decrease	Ministry of Internal Affairs and Communications (Statistics Bureau): "National Population	a	.7.2 Proportion of persons who are victims of physical or sexual harassment, by sex, age, disability status and place of occurrence, in the previous 12 months	11-14	Number of reported sexual crimes	National Policy Agency: "Crime Statistics"
	participatory, integrated and sustainable human settlement planning and management in all countries.	1.3.2 Proportion of cities with a direct participation structure of civil society in urban planning and management that operate regularly and democratically	11-4	(Number of births – Number of deaths) / Total population	Census" Ministry of Health, Labour and Welfare: "National Survey on Public Assistance Recipients"	11.a Support positive economic, 11. social and environmental links between urban, peri-urban and rural areas by strengthening national and regional development ubanning.	a.1 Proportion of population living in cities that implement urban and regional development plans integrating population projections and resource needs, by size of city	11-15	Whether demographic forecasts are made or not	National Institute of Population and Social Security Research: "Population Projection for Japan by
	11.4 Strengthen efforts to protect and safeguard the world's cultural and natural heritage.	11.4.1 Total expenditure (public and private) per capita spear on the preservation, protection and conservation of all cultural and natural heritage, by type of heritage (cultural, natural, mixed and World Heritage Center designation), level of government (national, regional and local-municipal), type of expenditure (operating expenditure (investment) and type of private funding (donations in kind, private non-profit sector and spontorship)				11.b By 2020, substantially increase the number of cities and human settlements adopting and implementing integrated policies and plans towards inclusion, resource efficiency, imigation and adaptation to climate change, resultance to disasters, and develop and implement, in line with the Sendal Transwork for Disaster Risk Reduction 2015-2030, holistic disaster	b. 1 Number of countries that adopt and implement national disaster risk reduction strategies in line with the Sendai Framework for Disaster Risk Reduction 2015-2030.     b. 2 Proportion of local governments that adopt and implement local disaster risk reduction strategies in line with the Sendai Framework for Disaster Risk Reduction	11-16	Whether local government disaster prevention planning is made or not	Prefectures" Ministry of Internal Affairs and Communications (Fire and Disaster Management Agency): "Disaster
1	11.5 By 2030, significantly reduce the number of deaths and the number of people affected and substantially decrease the direct economic losses relative to global gross domestic product caused by	persons and persons affected by disaster per 100,000 people 11.5.2 Economic loss owing to disasters in relation to global	11-5	Number of deaths by extrinsic causes such as natural disasters Local government expenditure	Ministry of Health, Labor and Welfare: "Vital Statistics" Ministry of Internal Affairs and Communications	Ilevels. 11.c Support least developed countries, including through financial and trachureal	2015-2030 .c.1 Proportion of financial support to the least developed countries that is allocated to the construction and retrofitting of sustainable,			Prevention Measures by Local Governments"
	donestic product caused by disasters, including water-related disasters, with a focus on protecting the poor and people in vulnerable situations.	GDP, including disaster damage to critical infrastructure and disruption of basic services	11-6	Local governmen expenditure breakdowa (disaster relief expenditure)	(Statistics Bureau): "Survey on Municipal Financial Status"	sustainable and resilient buildings utilizing local materials.	retrointing of sustainable, resilient and resource-efficient buildings utilizing local materials			
1		11.6.1 Proportion of urban solid waste regularly collected and with adequate final discharge out of total urban solid waste generated, by cities	11-7	(Final disposal / Total waste) x 100	Ministry of the Environment: "Information on Waste Disposal Technologies"					

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Source: "Table3: Examples of localized indicators to measure the progress in Japanese local SDGs projects" *SDGs for Our Cities and Communities –Introduction Guideline-,* 2<sup>nd</sup> Edition, 2018, pp.81-82.

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## **Localized Indicators**

Goal	Target	Indicator	Indicator Number		Data source
	11.1 By 2030, ensure access for all to adequate, safe and affordable housing and basic services and upgrade slums	11.1.1 Percentage of urban population living in slums or informal settlements	11-1	Percentage of homeless people	Ministry of Health, Labour and Welfare (Social Welfare and War Victims' Relief Bureau): "National Survey on the Actual Conditions of the Homeless"
11. Ma	11.2 By 2030, provide access to safe, affordable, accessible and sustainable transport systems for all, improving road safety, notably by expanding public transport, with special attention to the needs of those in vulnerable situations, women, children, persons with disabilities and older persons.	11.2.1 Proportion of population that has convenient access to public transport, by sex, age and persons with disabilities	11-2	Proportion of population that uses railways, trains and buses to commute to school or work (excluding those working at home) and are 15 years of age or older	Ministry of Internal Affairs and Communications (Statistics Bureau; Statistical Survey Department; Population Census Division): "National Population Census"
ke cities and huma	11.3 By 2030, enhance inclusive and sustainable urbanization and capacity for participatory, integrated and custorinable human	ole urbanization for		Rate of population increase or decrease	Ministry of Internal Affairs an Communications (Statistics Bureau) "National Population Census"
11. Make cities and human settlements incl		1.3.2 Proportion of cities with a direct participation structure of civil society in urban planning and management that operate regularly and democratically	11-4	(Number of births – Number of deaths) / Total population	Ministry of Health Labour and Welfare: "National Survey on Public Assistance Recipients"

Source: "Table3: Examples of localized indicators to measure the progress in Japanese local SDGs projects" *SDGs for Our Cities and Communities –Introduction Guideline-*, 2<sup>nd</sup> Edition, 2018, pp.81-82.

### Summary: Toward the effective implementation of STI for SDGs roadmaps

- Mechanism of coordination and monitoring of cross-ministerial/cross-sectoral initiatives: Strong political leadership is necessary to beak silos
- > Competition and collaboration / private investment / incentive for voluntary initiatives
- Monitoring by indicators is still progressing
  - Many initiatives/strategies/action plans set indicators for monitoring progress. However, most of them are set separately from SDGs and do not fully reflect them.
  - Upgrading indicators and developing localized indicators in line with SDGs are necessary\*.
- Promote the bottom-up initiatives: standardized procedures\* (e.g. guidelines), which are flexible enough to allow localization and customization, may be helpful.
- Feedback and learning mechanism\*:
  - Integration into the official process is desirable.
  - Deepening understanding of interlinkages of SDGs and diagnostics of effectiveness roadmaps and policy tools provide a foundation.
- Developed countries, UN family and other international organization can support least developed countries in addressing these challenges (especially in the points with \*)
- Developed countries (including Japan) also can learn lessons from international community so that they can avoid being trapped in the existing systems and overcome institutional inertia.

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## Thank you



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### Appendix: Steps to Develop and Implement STI for SDGs Roadmap

## Targets, Time Frames and Other Considerations

### Targets and Time Frame

- Targets: Initiatives at the national and local government and sector levels: Goal/Mission-oriented Roadmaps
- Time frames: 2030 and beyond

### Other considerations

- STI roadmap and its making process should be based on methods, processes, and technologies that can be utilized in other countries and regions.
- Initiatives leading to achieving SDGs should be realized based on and incorporated with existing national development plans (e.g. long-term plans, strategies, and action plans, etc.) of each country.

## **Mission Identification**

### Analyses of Goals and Targets of SDGs

Deepen the understanding of goals and targets of SDGs and the synergies and trade-offs among them

Deep dive of SDGs and diagnostics by UN families and other international organizations can provide useful information and insights to this process.

### Mission Setting

■Set missions to be addressed for achieving SDGs.

□Stok taking

- Existing needs, policy challenges, constraint factors, etc.
- Existing strategies, roadmaps, and action plans
- Other policy documents, analysis reports, etc.

Consider beyond the boundaries of goals and targets

□Also consider Interlinkages between goals and targets

■Set it based on contexts and priorities of each country

"No one size fits all" - methodologies/procedures according to each circumstance should be adopted.

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## Analysis

### Review and analyze existing policies

Collect policy documents related to the missions (government's medium to long term plans, strategies, white papers, action plans, local government/municipality plans, etc.)

Analyze the correspondence relationship with each missions, timeframe, and targets of policies, etc.

# Understand socioeconomic trends, and review future prospects

□Understand trends related to socioeconomic aspects: policy, markets, regulations, and finance, etc.

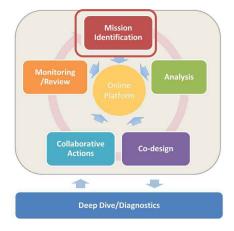
□ Review various future prospects, etc.

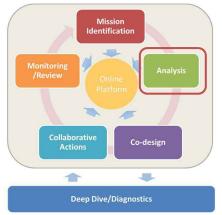
### Understand trends in technological aspects, and review various forecasts

Collect and analyze information on technological trends and forecasts(e.g. Technology Foresight/Forecast, Technology Roadmaps, Bibliometrics, Scenario Analysis, etc. )
 Hearing of experts etc.

Identify key drivers and key technologies

• Collect and analyze related indicators, statistics and other data.





## Co-design

### • Create a prototype roadmap based on analysis results

Consider milestones and outcomes

Consider data sets and indicators for monitoring and reviewing progress

Consider and describe various policy measures

### and expected outcomes

□[Example] Strategies/plans, funding, government R&D, procurement, education/human resource development, taxes, regulations/rules, subsidies/policy finance, promotion of private investments/finance, infrastructure, market development, standards, etc.

□Pay attention to correspondence relationship between missions –measures – outcomes

### Brush-up through dialogues with various stakeholders

Consideration by expert teams

Exchange opinions with government officials, related organizations, various stakeholders etc.

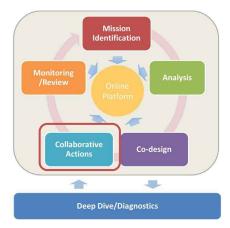
As appropriate, return to the previous phase (Mission Identification and Analysis)

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## **Collaborative Actions**

- Implementation based on the roadmap
   Both of top-down and bottom-up approaches
   Embed the approach in individual measures
- Roadmap as a communication tool
  - Disseminate and spread knowledge/information
  - □Promote cross-sectoral cooperation
  - Promote bottom-up and voluntary initiatives and activities
  - Learning and feedback
  - □Interaction between layers
- Utilize networks of various stakeholders





## **Monitoring and Review**

## Ensuring the reliability, transparency and accessibility of indicators and related data

Integration of learning and feedback mechanism into the official process

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## Deep Dive / Diagnostics

Deepen the understanding of interlinkages between goals and targets

Monitoring and review the progress

By indicators, check list, expert panels, etc....

Making indicators and data open so that various stakeholders can use them for their own efforts

Improve indicators and propose new

Propose the indicators to be utilized globally

Based on the circumstances of each country, improve or

appropriate indicators

Analysis based on scientific knowledge

□ At Global/Regional/National/Sub-national (Local) leves □By sector and field

- Understand innovative technologies and consider their applicability
- Identify areas and problems requiring additional policy intervention
- Reflect it in the contents of the roadmap and in the design for the creation and entire implementation process
- In collaboration with the efforts by UN family and international organizations



