

Research Administration at The University of Chicago



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Outline of JST Seminar



- Facts about the University of Chicago
- Administrative Structure
 - Central Administration
 - Models for Research Administration
- Objectives of Responsible University Research Administration

University of Chicago Facts – Founded as a Private “Research University”



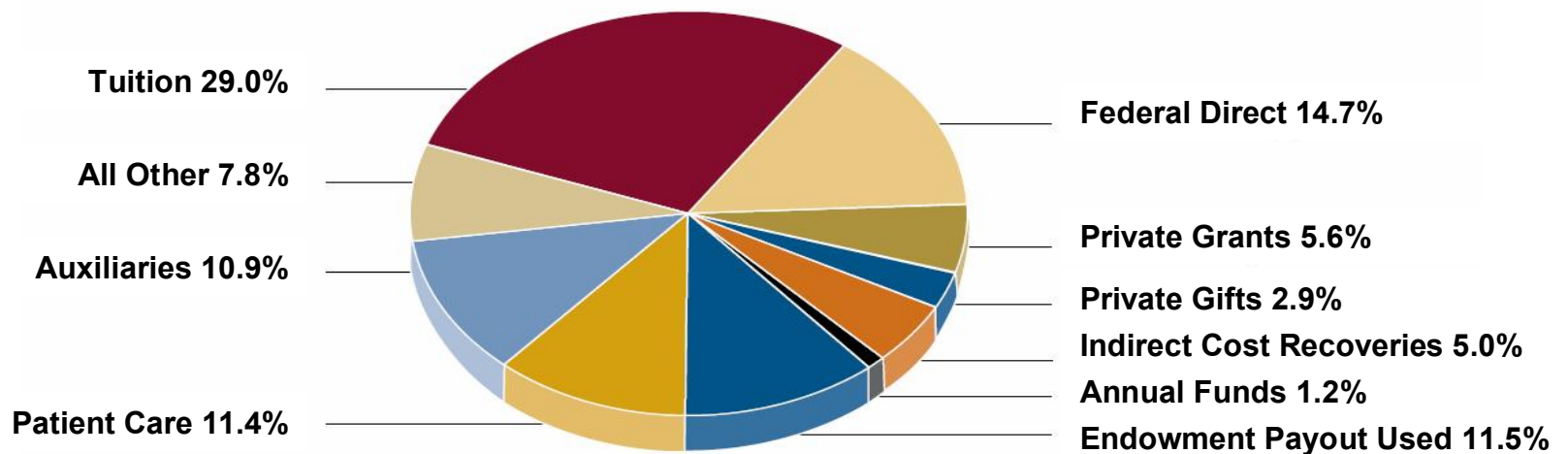
- ❑ First Courses – 1892
- ❑ Founded by John D. Rockefeller
- ❑ Current statistics:
 - 2,160 Faculty members
 - 4,400 Undergraduate students
 - 9,000 Graduate, Profession & other students
 - 12,460 Employees (including hospitals)

University of Chicago Academic Facts



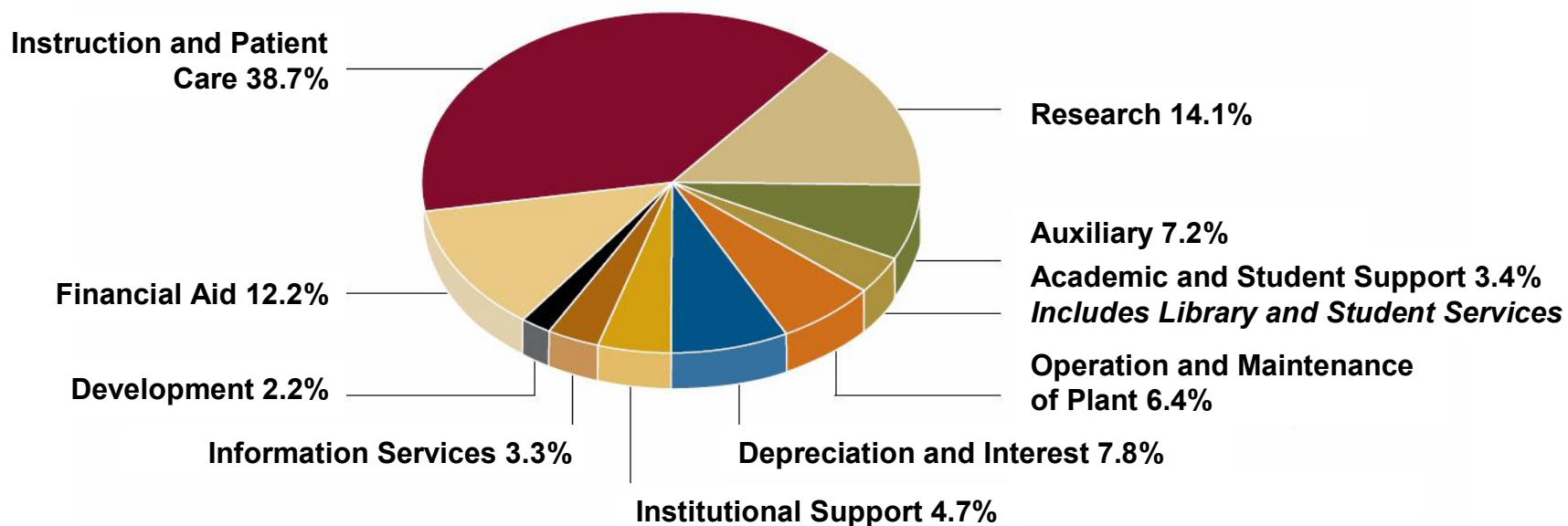
- UC Medical Center – Hospitals for patient care; and
 - Pritzker School of Medicine– training of doctors (M.D.s)
 - Division of Biological Sciences – training of Ph.D. students
- Division of Physical Sciences (including Mathematics & Computer Sciences) – Ph.D. students
- Division of Social Sciences – Ph.D. students
- Division of Humanities – Ph.D. students
- Professional Schools – Divinity, Law, Graduate School of Business, Social Service Administration
- “The College” – training undergraduate (B.A./B.S.) students

Fiscal Year (FY) 06 Budget Revenue



Total: \$1,539.4 million

FY 06 Operating Budget Expenditures



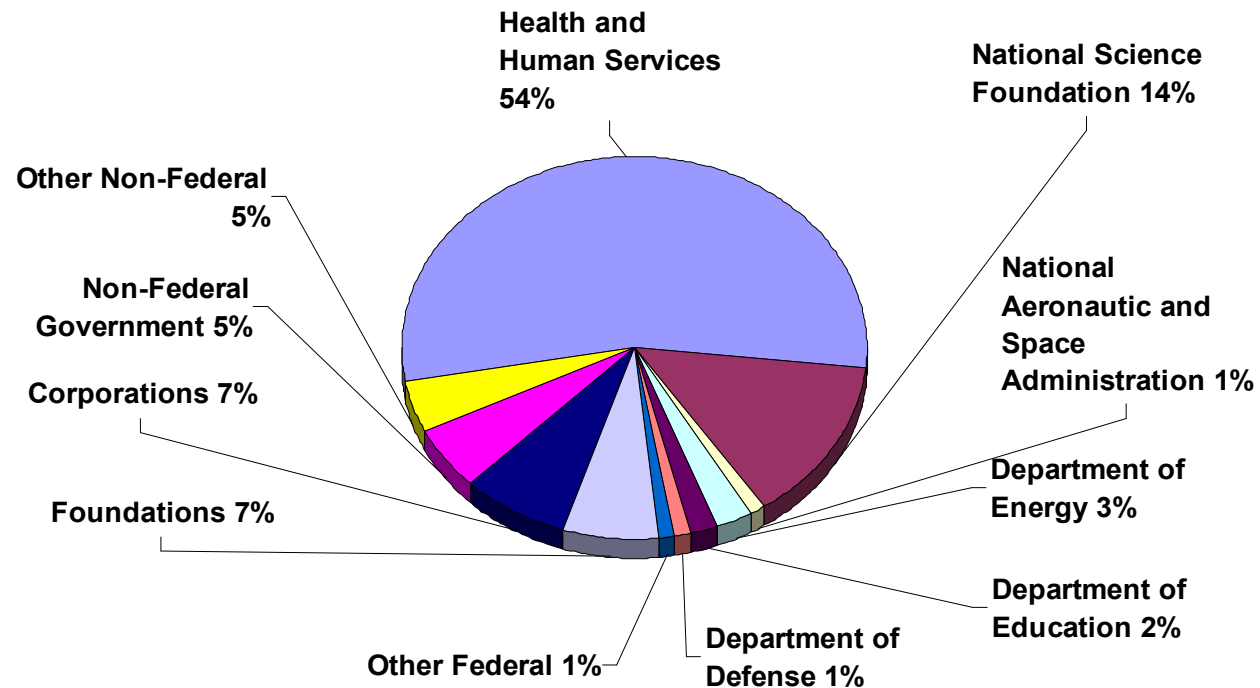
Total: \$1,538.8 million

FY06 Funding for Research Sponsored by External Sponsors



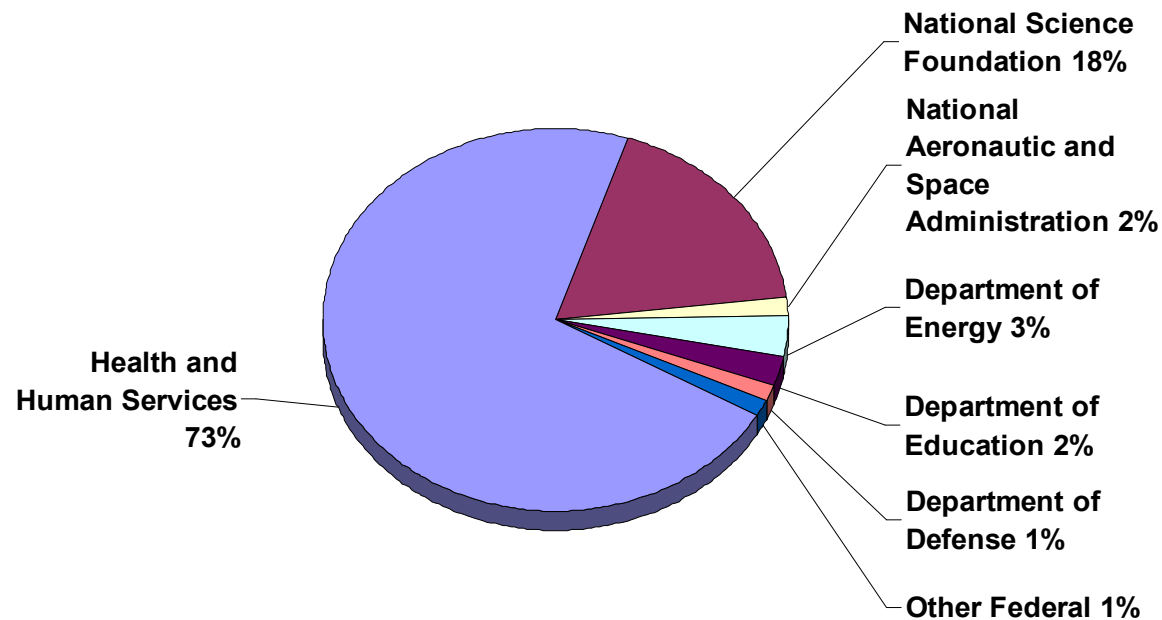
- 1,842 Separate Awards totaling \$391 Million
- Over 500 individual agencies as sources of sponsored awards
- June 2006 – 2169 project accounts
 - 1160 U.S./ government sponsors
 - 388 pharmaceutical-funded drug/device studies
 - 621 – miscellaneous private foundations, non-profit sponsors, state and local governments, other corporate research contracts

FY06 Awards by Agency



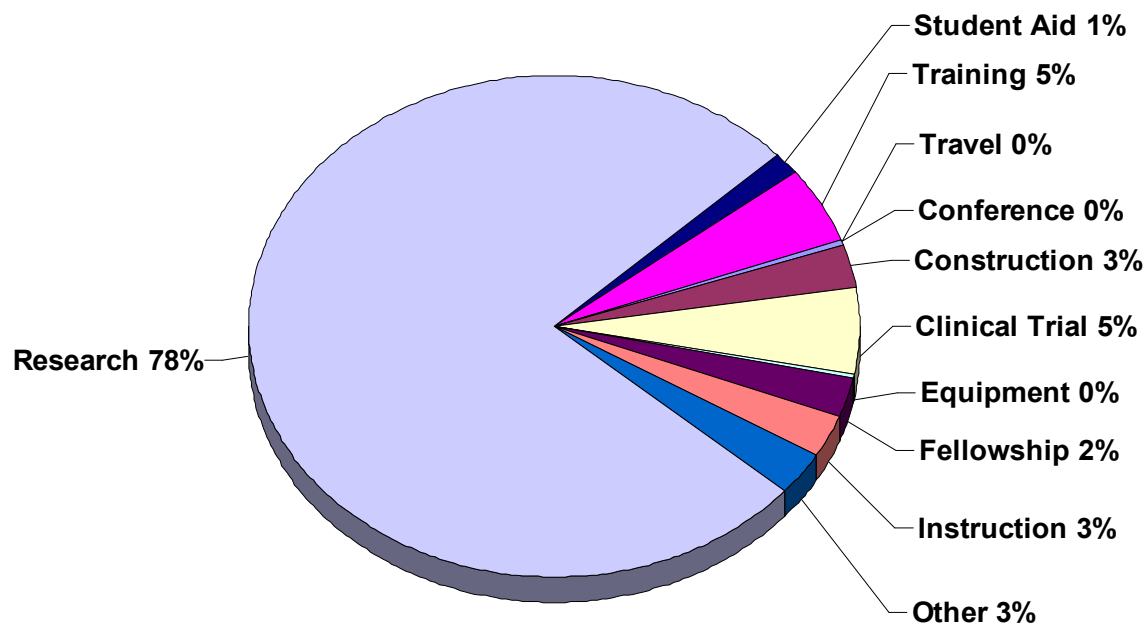
Total: \$391.7 million

FY06 Federal Awards by Agency



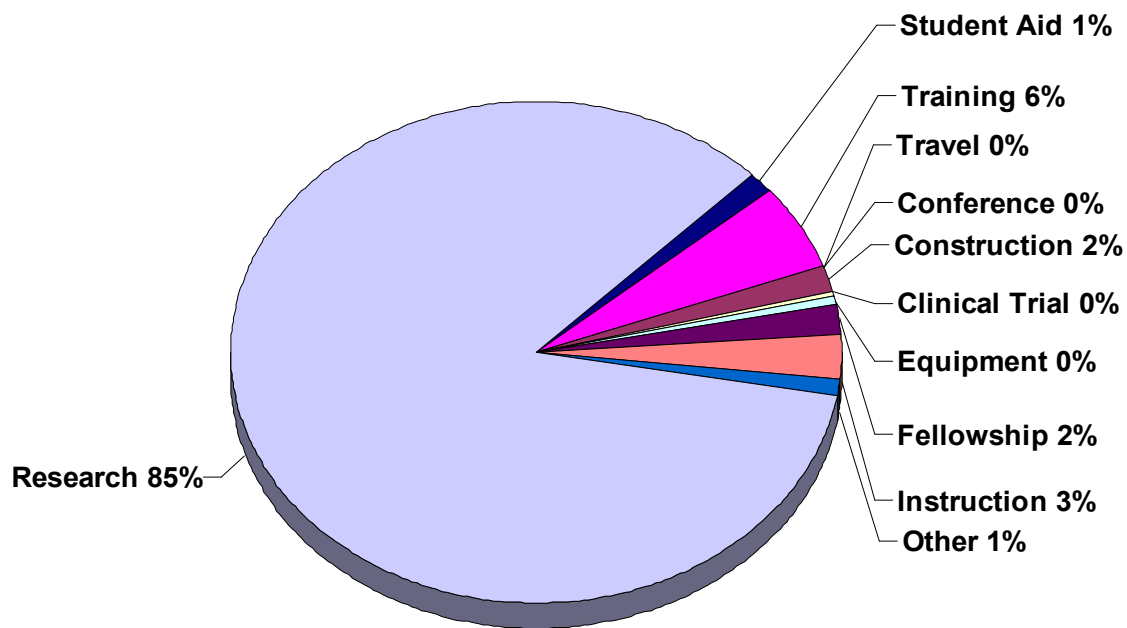
Total: \$297.7 million

FY06 Awards by Purpose



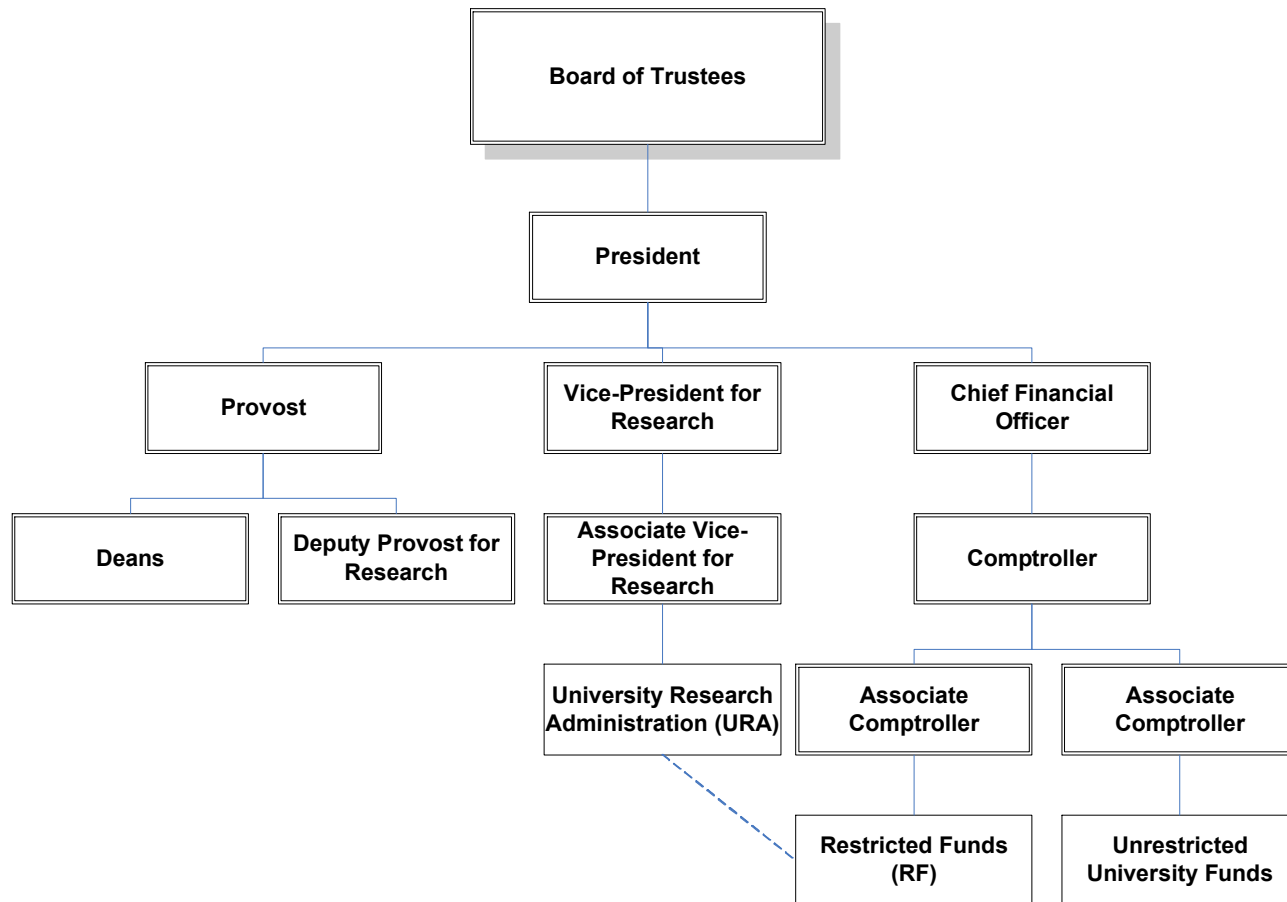
Total: \$391.7 million

FY06 Federal Awards by Purpose



Total: \$297.7 million

Organization Chart (partial)

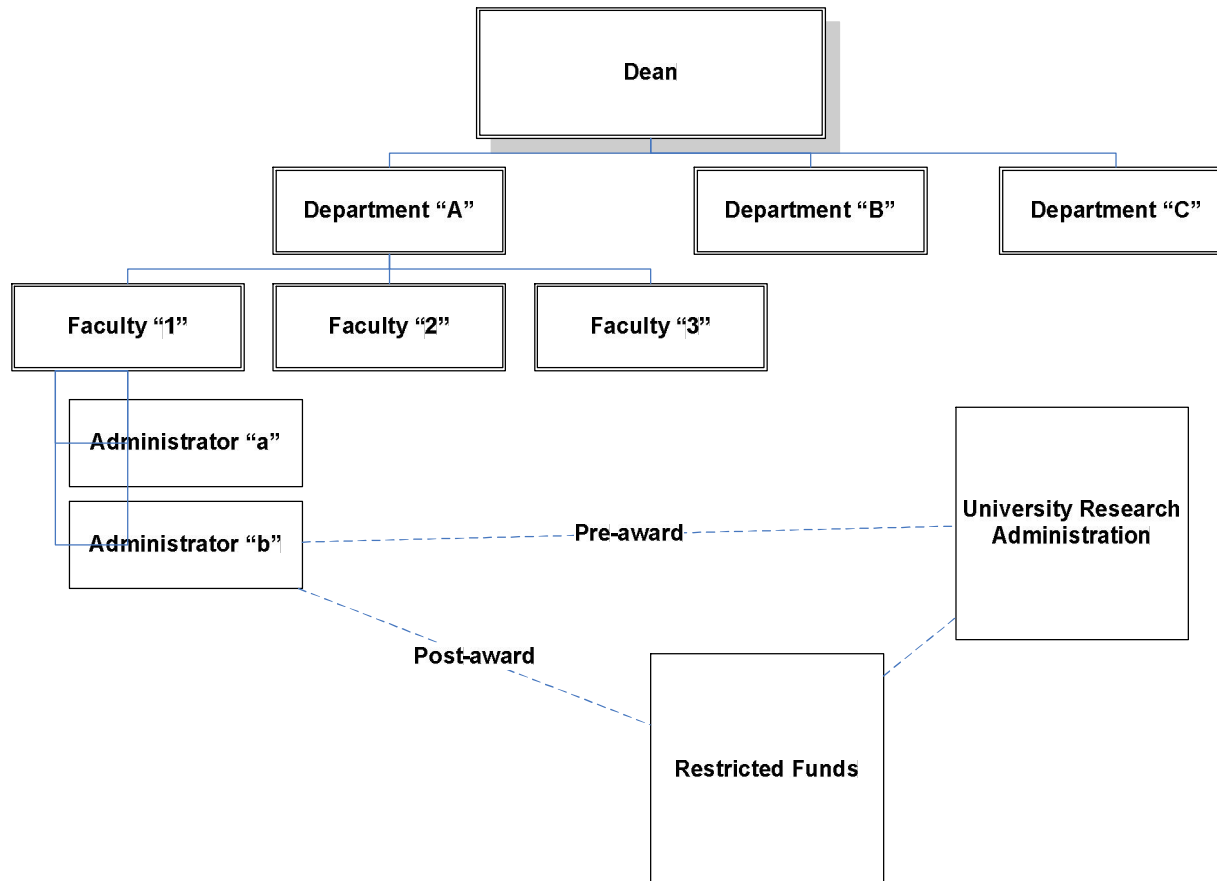


URA/Restricted Funds Roles at University of Chicago

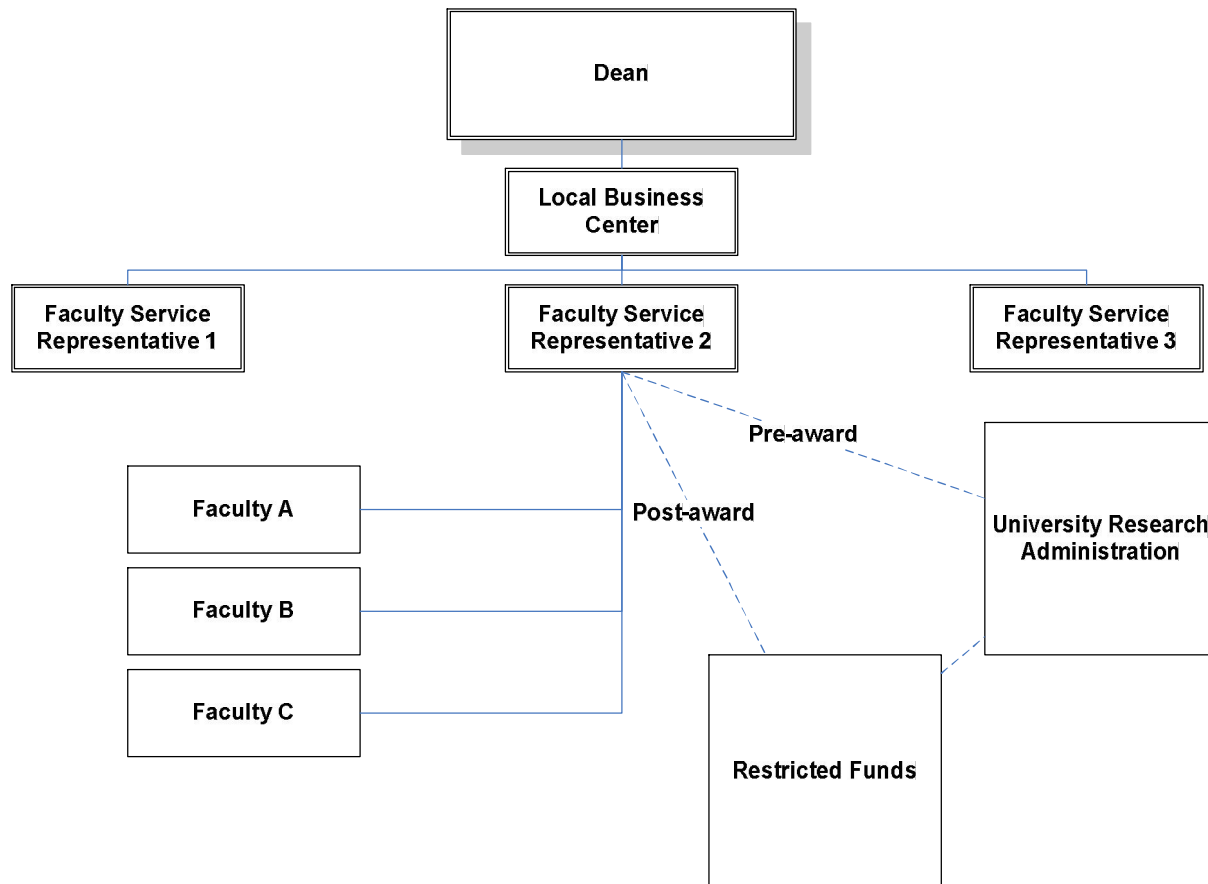


- URA – development of proposals, negotiation of awards, post-award nonfinancial management
- Restricted Funds (RF) – set up each new award in accounting system, assure that sponsor financial policies are followed, prepare and submit interim and final financial reports, audits
- Both URA and RF – small central offices which train and rely on large number of local administrators to assist investigators

Oversight of Research – Model A



Oversight of Research – Model B



Goals of University Research Administration/Restricted Funds



- ❑ Comply with US Government Policies, rules and regulations for grants, cooperative agreements and contracts (“stay of out of jail”)
- ❑ Comply with University policies (funds are in University accounts)
- ❑ Maximize interpretation of sponsor rules for flexibility of funding - encourage use of award funds to increase research productivity for investigators

Critical Measures of Success for University Research Administration



- ❑ Clear and well understood policies, terms and conditions from Sponsors, especially with Federal funds
- ❑ Continuing dialogue between investigators and sponsor program officers
- ❑ Continuing dialogue between university administrators and agency management
- ❑ Emphasis on flexible regulations that will increase research productivity
- ❑ Excellent communication between investigators and administrators on campus

Resources - useful web sites



Web links for University of Chicago/URA; Council on Governmental Relations (COGR); National Council of University Research Administrators (NCURA); and Federal Demonstration Partnership (FDP) , a university-U.S. agency partnership

- ❑ <http://researchadmin.uchicago.edu/>
- ❑ <http://cogr.edu/>
- ❑ <http://www.ncura.edu/>
- ❑ <http://thefdp.org/>