



# **Role of Rare Metals in Material Technology and the Way to Substitute them**

**Kohmei HALADA**

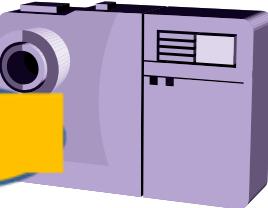
*National Institute for Materials Science  
(NIMS)  
Tsukuba, 305-0047, Japan*

# How many products are damaged by the lack of 1kg of rare metal

laptopPC  
3700



Digital camera  
200,000



Co

laptopPC  
430



Digital camera  
3600



LiB  
1200



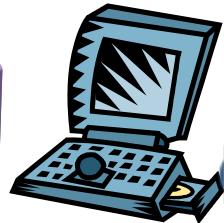
cell phone  
5900



Digital camera  
90,000



W



laptopPC  
6000  
7100



cellphone



laptopPC  
100,000



LED  
2.6million

Cell Phone  
630



laptopPC  
5900



Nd

laptopPC  
1100



Cell phone  
710,000



In

LED  
120,000



ユーロピウム



# National Institute for Materials Science

under the control of MEXT

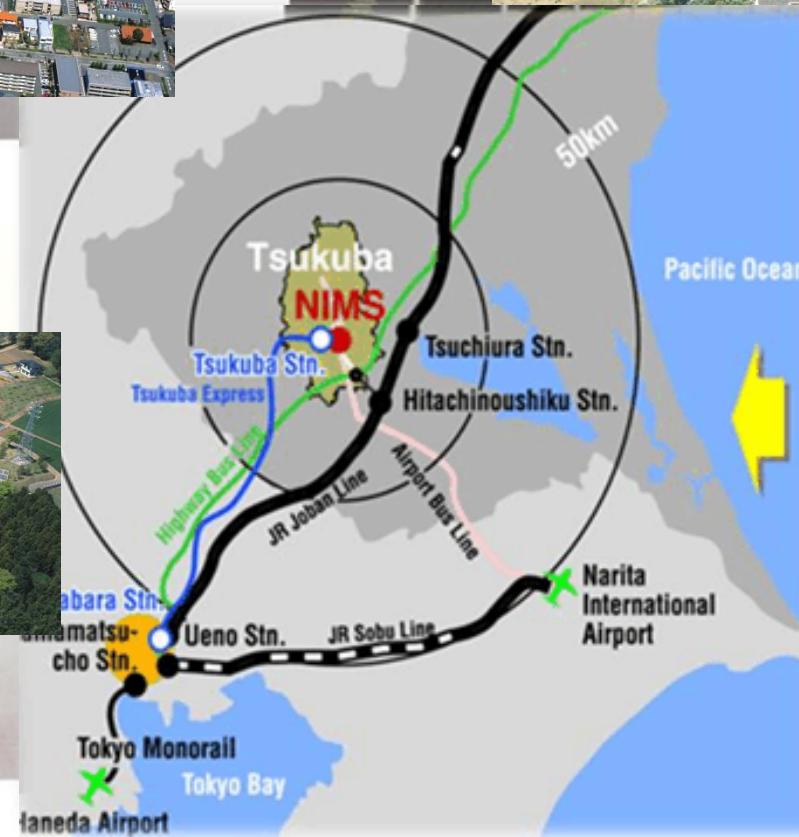
Sengen Site



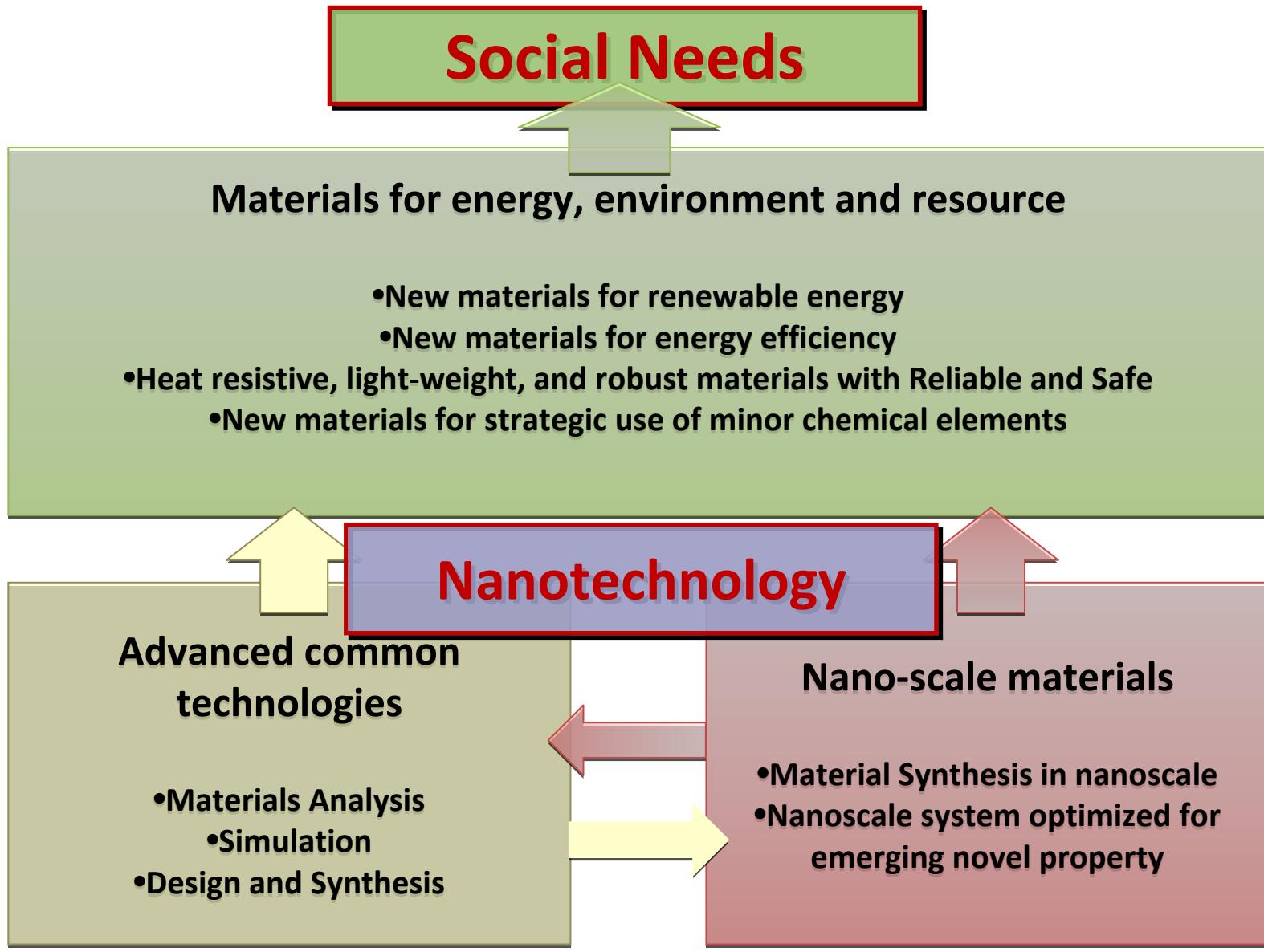
Namiki Site



Sakura Site

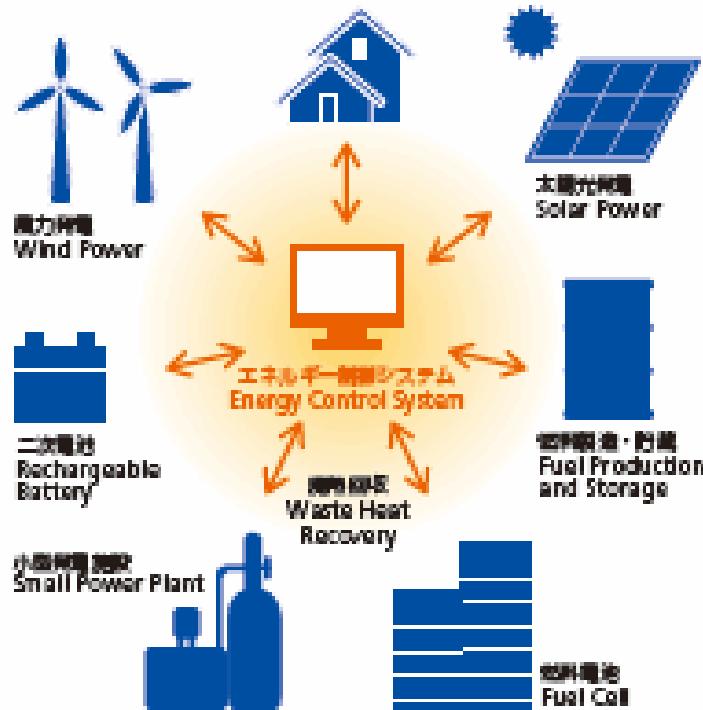


# Relationship among the three research field in the 3rd Five-year plan

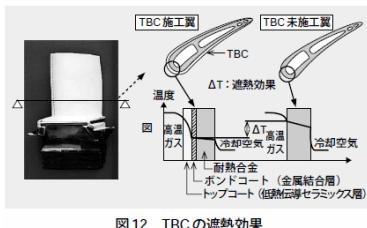
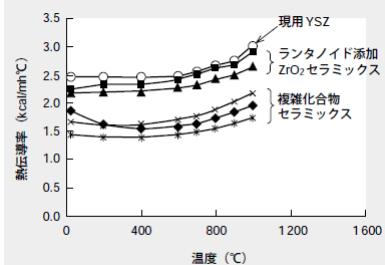


# Material for Power Generation and Storage

Nd,Dy

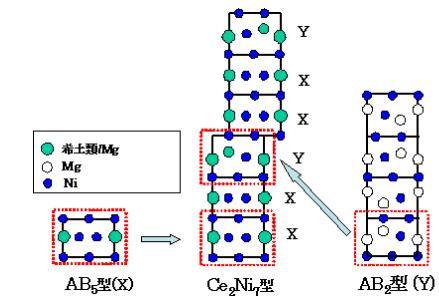


La,Ce,Pr



Y,La,Gd

Ce,Gd



La, Ce

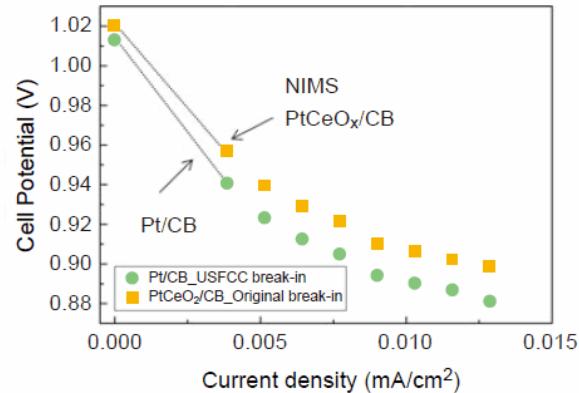
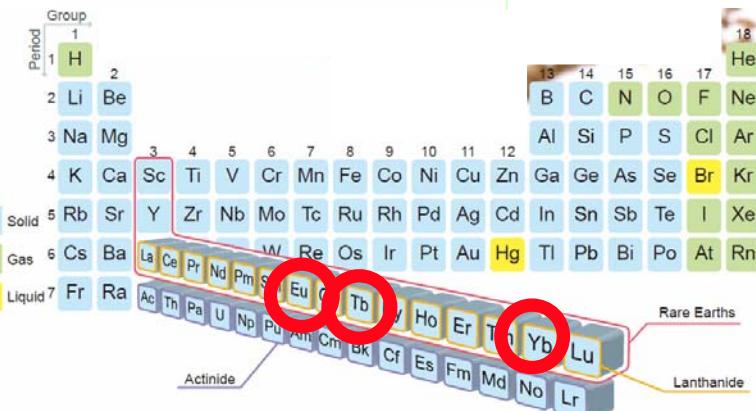
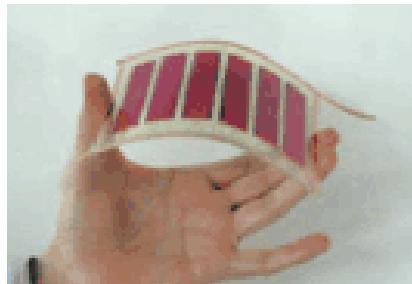
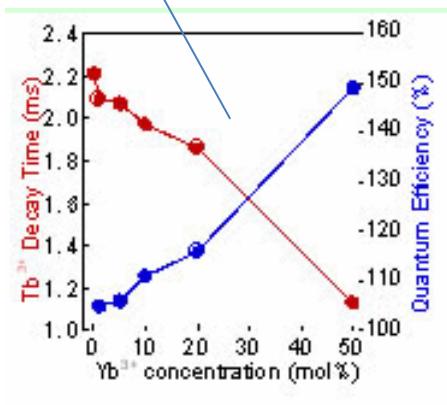
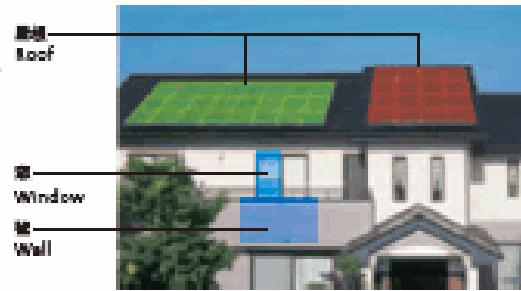
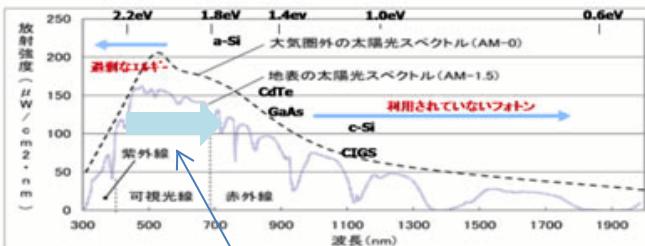
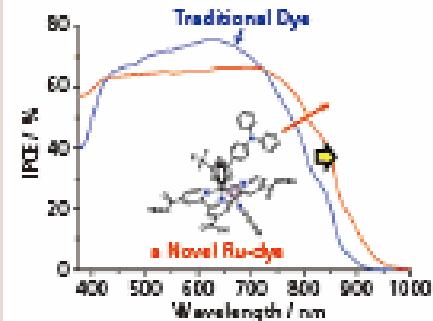
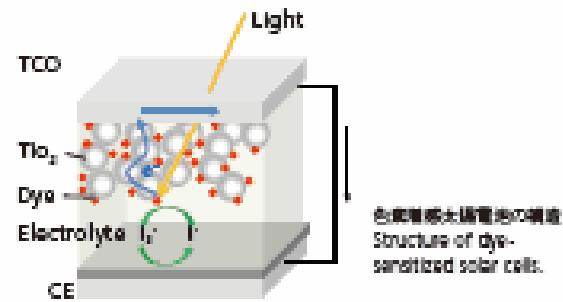


Fig.1 Comparison of generating performance using a NIMS-developed Pt-ceria cathode and an commercial Pt cathode.

# Next generation photovoltaics

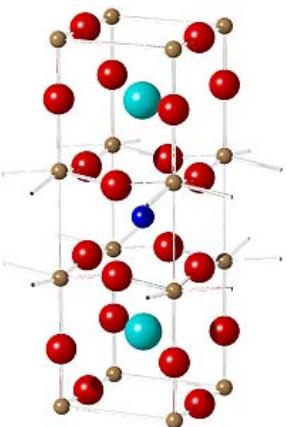


近赤外線領域に感度の高い色素の開発  
Development of novel dyes with high near-Infrared absorption

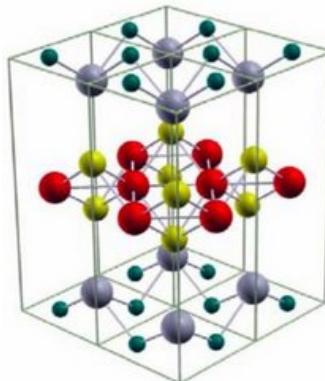


新規Ru錯体色素とその色素を用いた太陽電池の光電流アクションスペクトル  
Incident photon-to-current conversion efficiency (IPCE) spectra of dye-sensitized solar cells based on novel Ru-dyes.

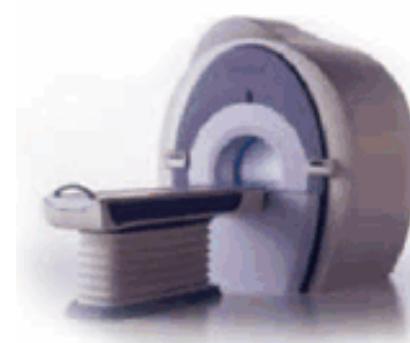
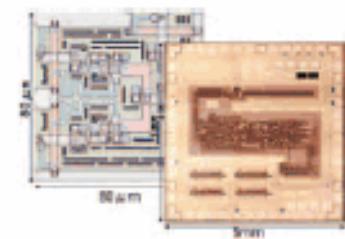
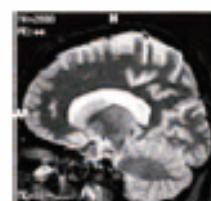
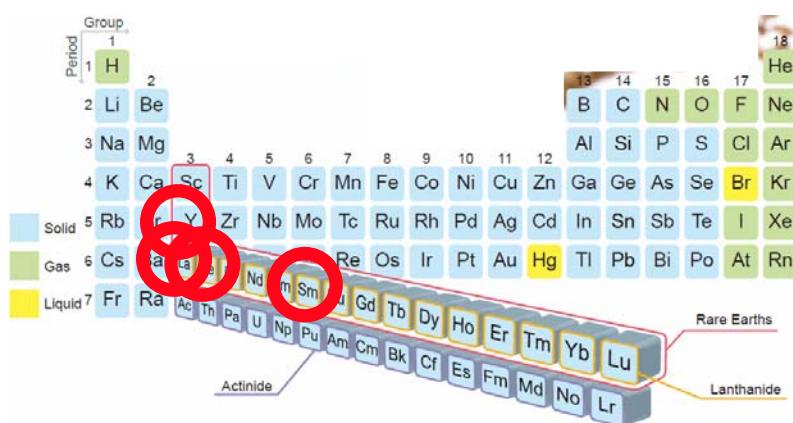
# Basic Research on Superconductive towards energy saving



Ba  
O  
Y  
Cu



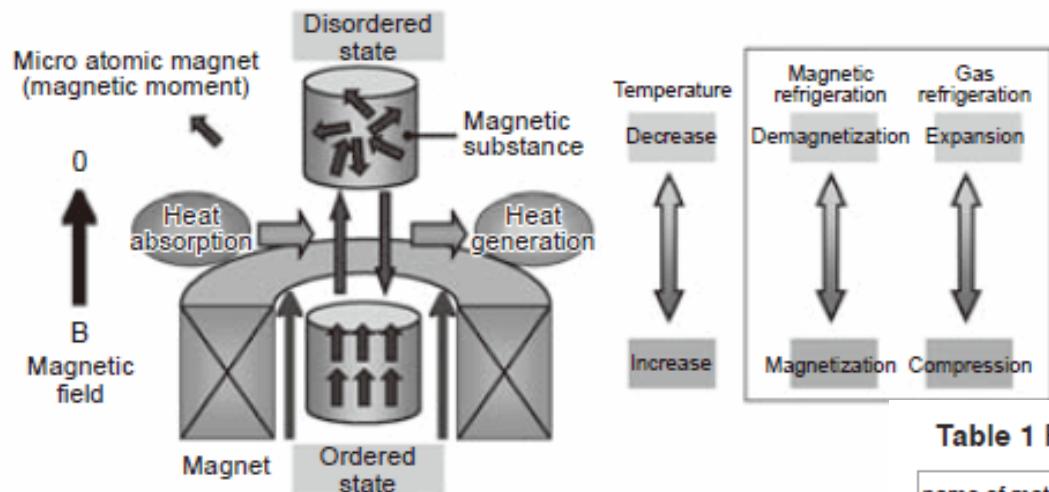
Fe  
As  
La,Sm,Ce  
O



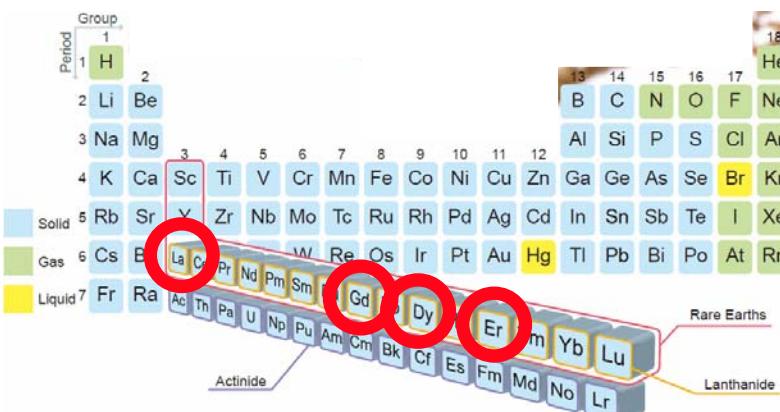
# Next-Generation Refrigeration “Magnetic Refrigeration”

Magnetocaloric effect → Magnetic refrigeration

Changes in temperature and heating value  
(heat absorption/heat generation)  
induced by external magnetic field.



**Fig.1** Principle of the magnetocaloric effect



**Table 1** Representative examples of magnetic refrigeration materials.

name of material (= Abbreviation)	Magnetic transition temperature	Refrigeration cycle
$\text{CrK}(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O} = \text{CPA}$	0.009	Carot
$\text{FeNH}_4(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O} = \text{FAA}$	0.026	Carot
$\text{Gd}_3\text{Ga}_5\text{O}_{12} = \text{GGG}$	0.85*	Carot
$\text{Dy}_3\text{Al}_5\text{O}_{12} = \text{DAG}$	2.4	Carot
$\text{ErAl}_2$	12	AMR
$\text{GdPd}$	38	AMR
$\text{DyAl}_2$	63	AMR
$\text{GdNi}_2$	71	AMR
$\text{Gd}_5\text{Si}_{1.9}\text{Ge}_{3.1}$	120	AMR
$\text{Gd}_5\text{Si}_2\text{Ge}_2$	260	AMR
$\text{Gd}$	293	AMR
$\text{La}(\text{Fe}_{x}\text{Si}_{0.1-x})_{13}\text{Hy}$	280	AMR
$\text{MnAs}$	315	AMR

# New materials enable more efficient use of thermal energy

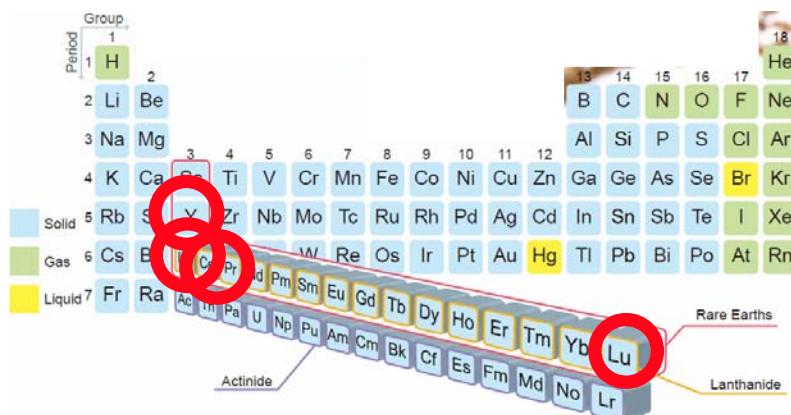
Outokumpu steel name	International steel No	EN	ASTM/UNS	Typical chemical composition %					Maximum service temperature in dry air, °C
				C max	N	Cr	Ni	Si	
4948	1.4948	304H		0.05	-	18.1	8.3	-	-
4878	1.4878	321H		0.05	-	17.3	9.1	-	Ti
153 MA™	1.4818	530415		0.05	0.15	18.5	9.5	1.3	Ce
4828	1.4828	-		0.04	-	20	12	2	-
4833	1.4833	3095		0.06	-	22.3	12.6	-	-
253 MA®	1.4835	530615		0.09	0.17	21	11	1.6	Ce
4841	1.4841	314		0.07	-	25	20	1.7	-
4845	1.4845	3105		0.05	-	25	20	-	-
353 MA®	1.4854	535315		0.05	0.17	25	35	1.3	Ce



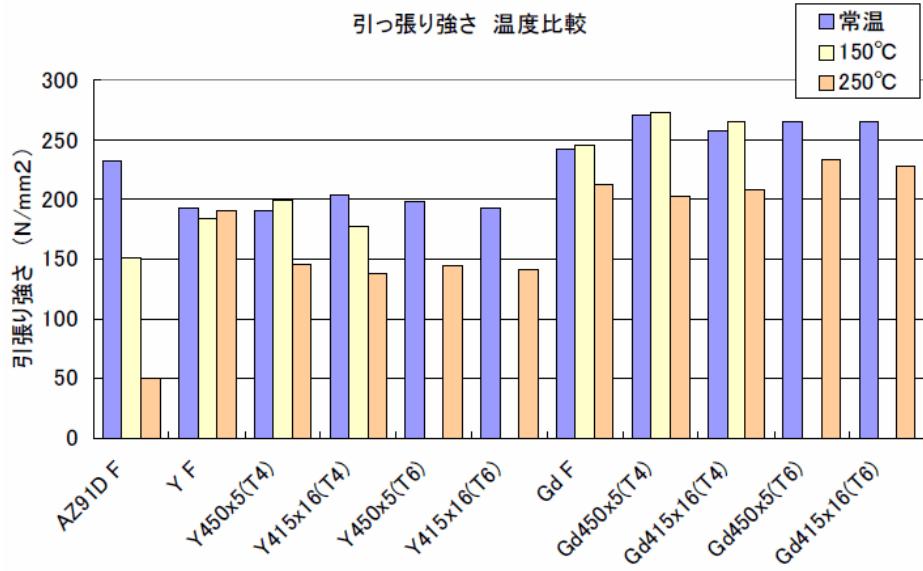
航空機用ジェットエンジン  
Jet engine



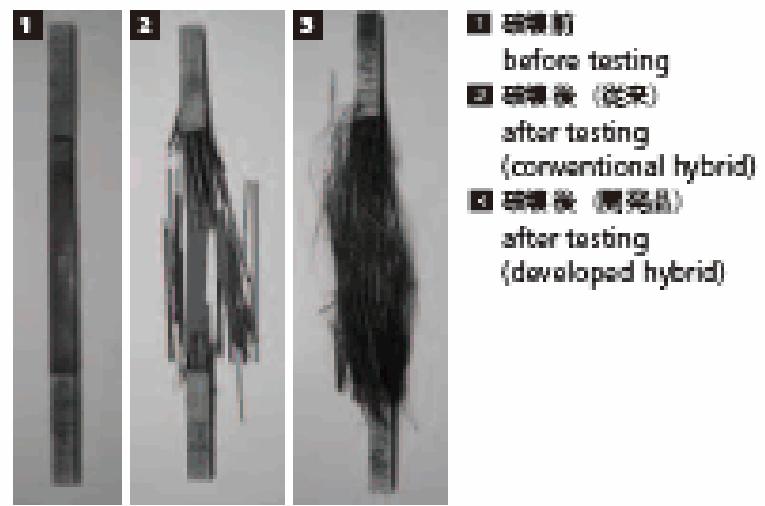
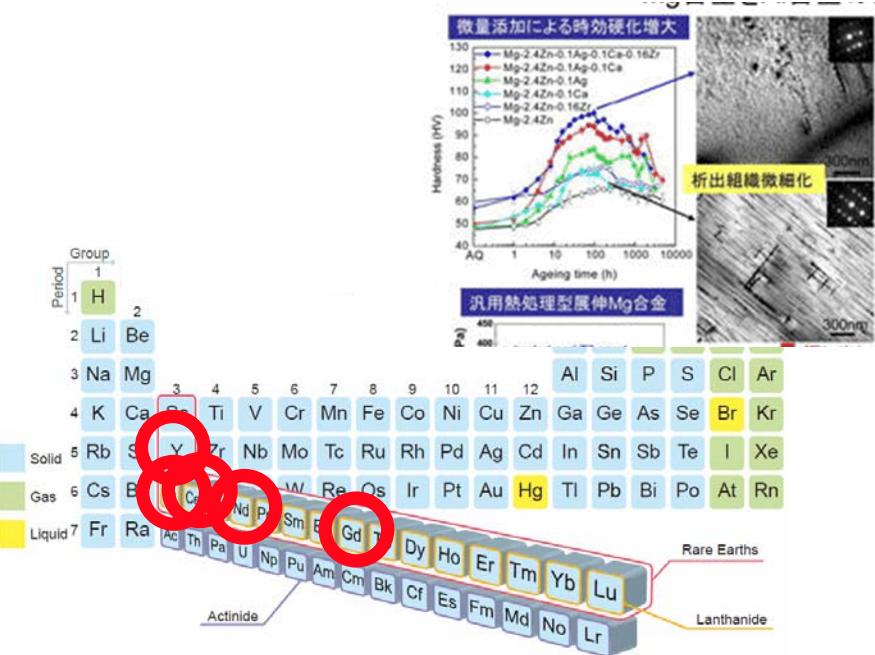
発電プラント  
Power Plant



# Light-weight high-performance hybrid materials



新しいコンセプトに基づく高信頼性自動車  
New concept for high reliable automobiles



界面性制御により、破壊(衝撃)エネルギー吸収能力の高い複合材料を実現  
Composite materials with high fracture (impact) energy absorbing will be developed by the interface (strength/shape, etc.) control.

# Wide-band-gap materials for optics and electronics

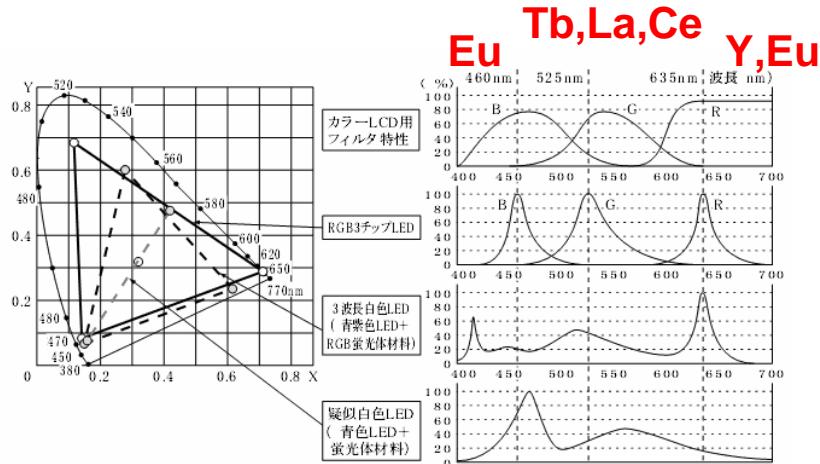
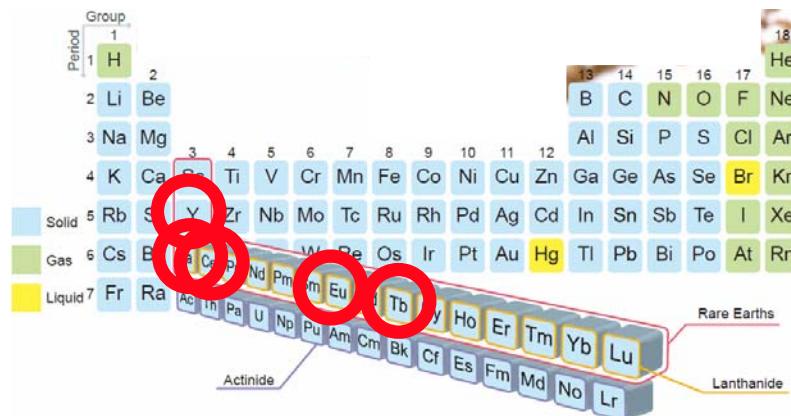
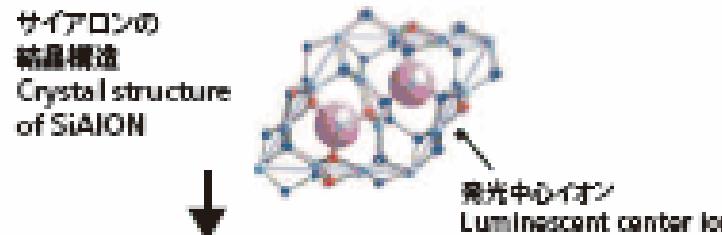


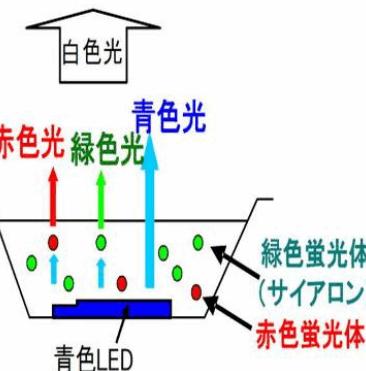
図1-A 色度図とLEDのタイプ別スペクトル特性



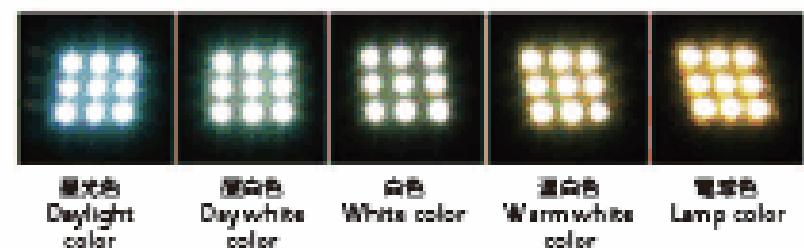
## サイアロン蛍光体の作製 Fabrication of SiAlON Phosphor



### 開発したサイアロン蛍光体 SiAlON phosphors developed

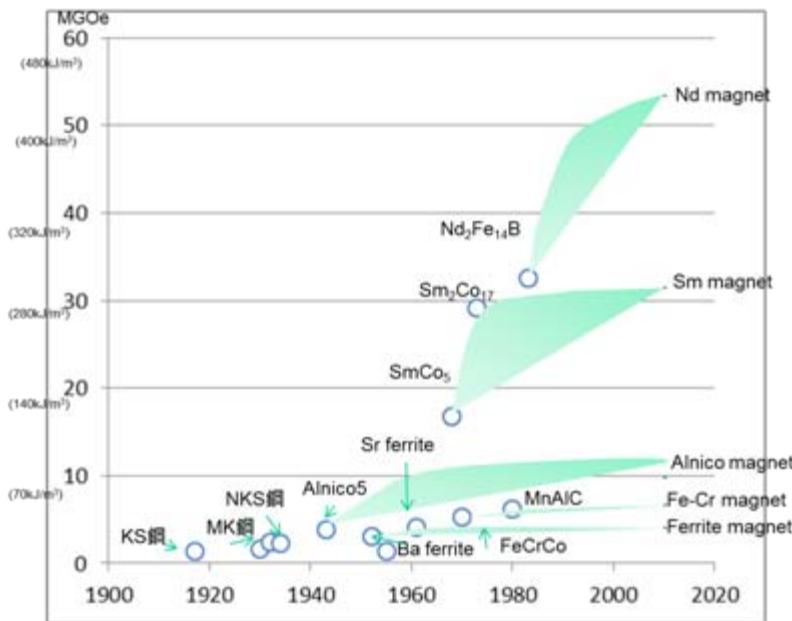


### 多彩な白色LED照明 Various white LED illuminations

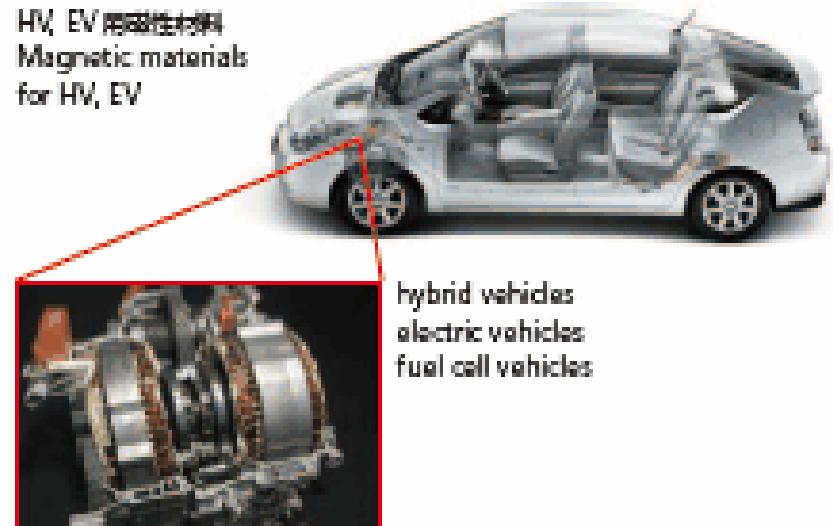


高輝度・高効率サイアロン蛍光体の開発と応用  
Development and application of high brightness,  
high efficiency SiAlON phosphor

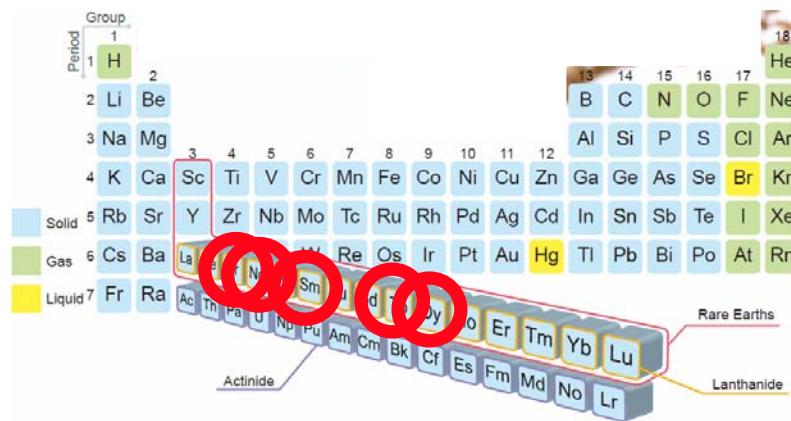
# Energy efficient Magnetic Material



HV, EV 磁性材料  
Magnetic materials  
for HV, EV



HDD



# Major Metal

established global market

metal

Iron  
steel

Fe

1,500,000,000 ton

Light metal

Al, Mg

200,000,000 ton

Base metal

Cu,Pb,Zn,  
Sn,NI

30,000,000 ton

Precious  
metal

Au,Ag,PGM

25 ton

alcaline  
earth

Ca, K, Na etc.

Only several  
hundred ppm  
of metal

## Minor Metal

small market size  
economically unstable

Non-ferous  
metals

Rare metal

Small amount  
but great  
impact

others

REE

150,000 ton

Co,Ta,Li etc.

100,000 ton

Circulate with  
Cu

,Cd,Bi,Se,Te,  
Ga,Ge,In

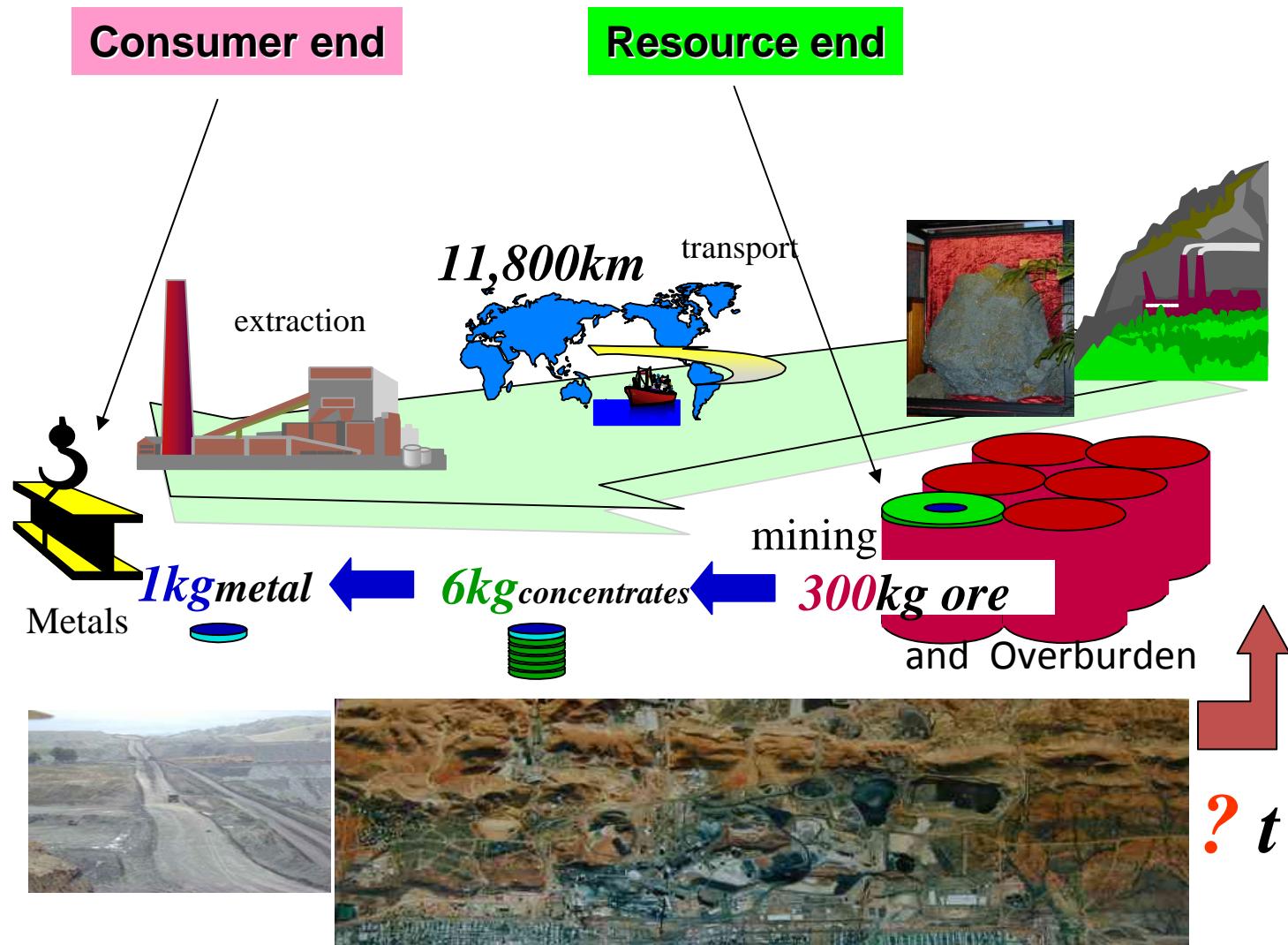
200,000 ton

Circulate with  
Fe

Mn,Cr,Mo,  
V,W,Nb

Resource-view Weight is important to  
discuss Rare Metals

# Resource(-end)-view weight

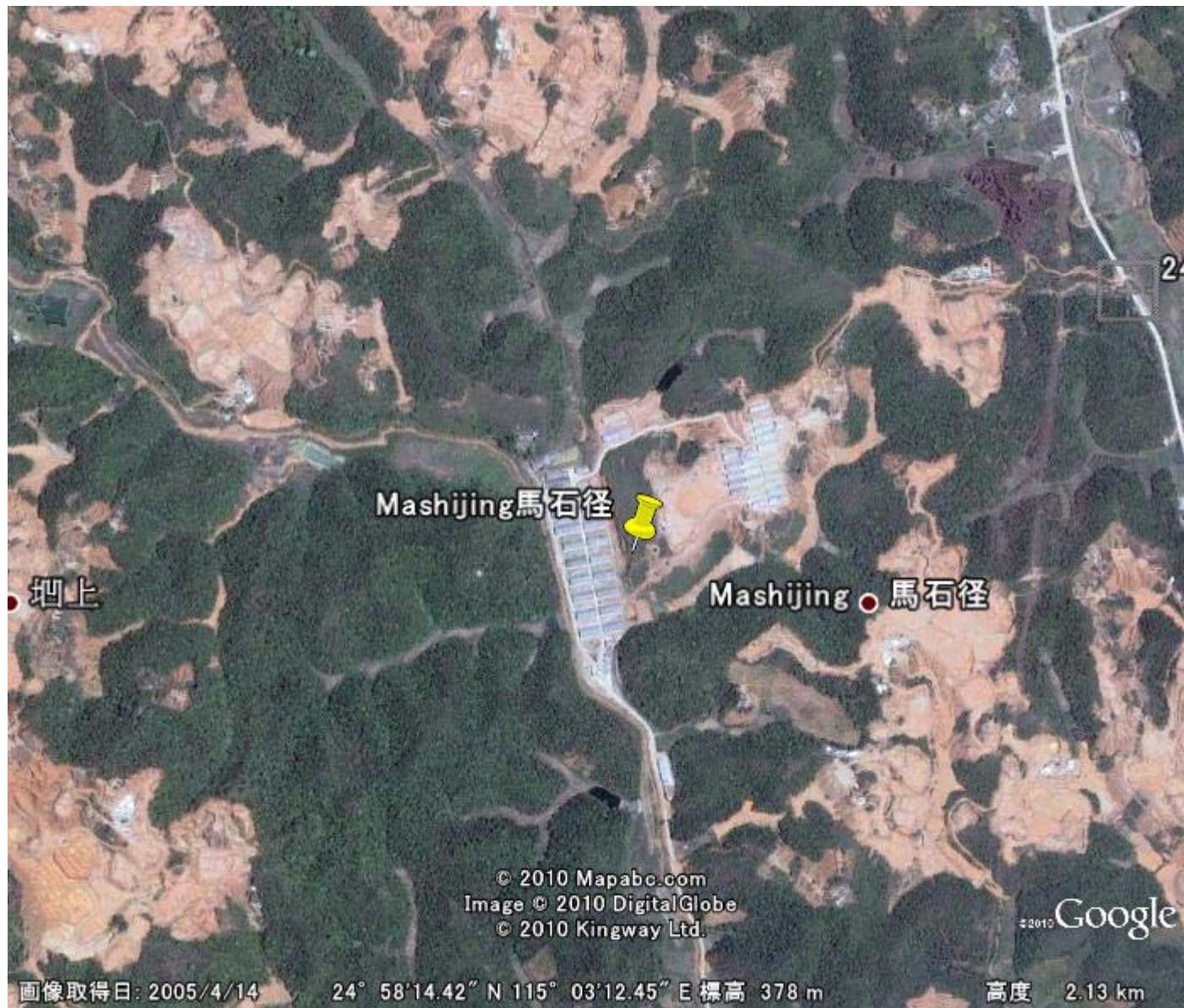


TMR: Total Materials Requirements, or Ecological rucksacks

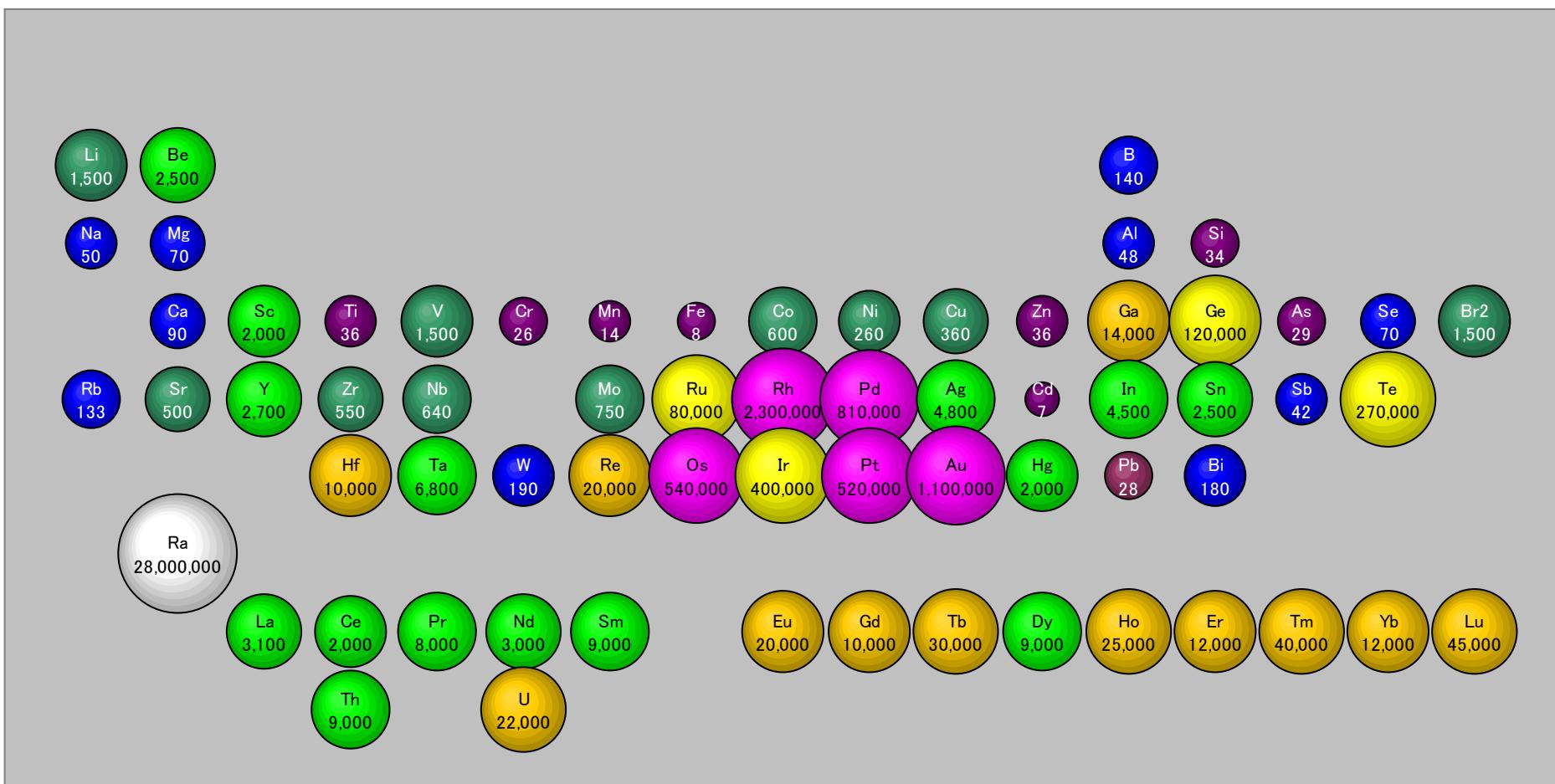


Photo by Taniguchi

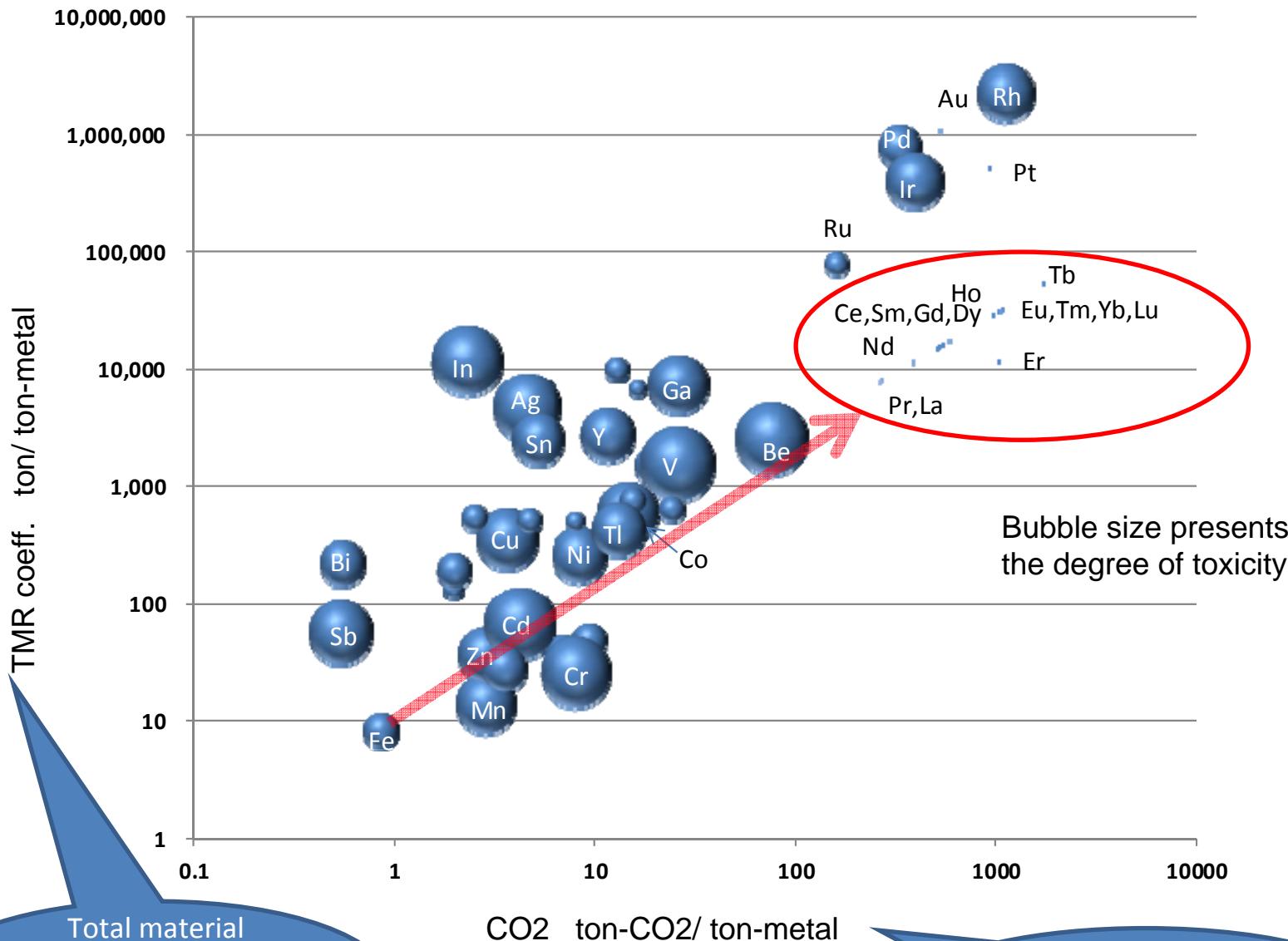




# TMR coefficients of metals (size of the bubble is proportional to the digit number)



# 1kg R.E.E. is nearly equivalent to 1 ton Fe by environmental view



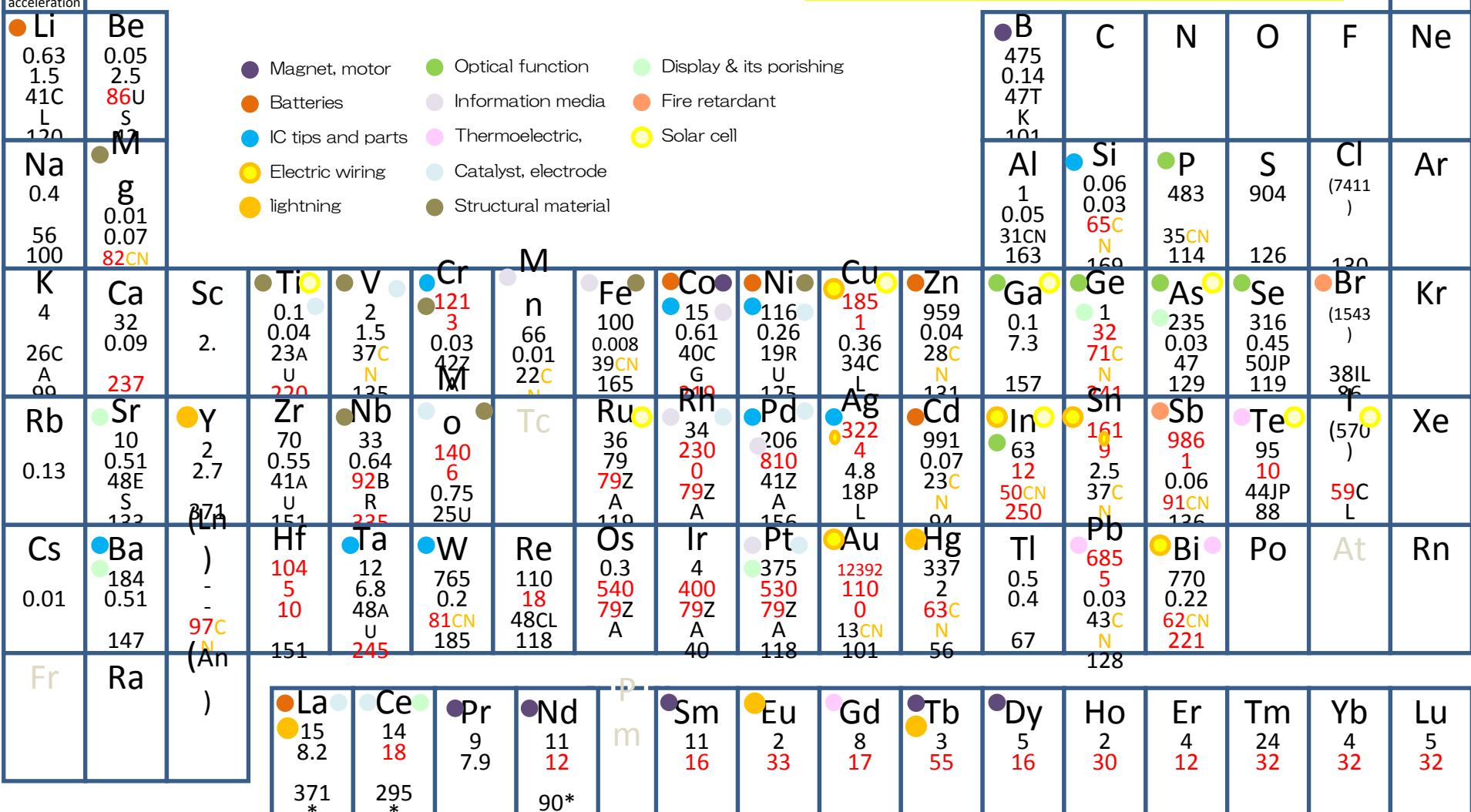
Total material requirement  
≈  
Waist from mining

CO<sub>2</sub> ton-CO<sub>2</sub>/ton-metal

CO<sub>2</sub> emission during mining and extraction

# The Elements with sustainability parameters

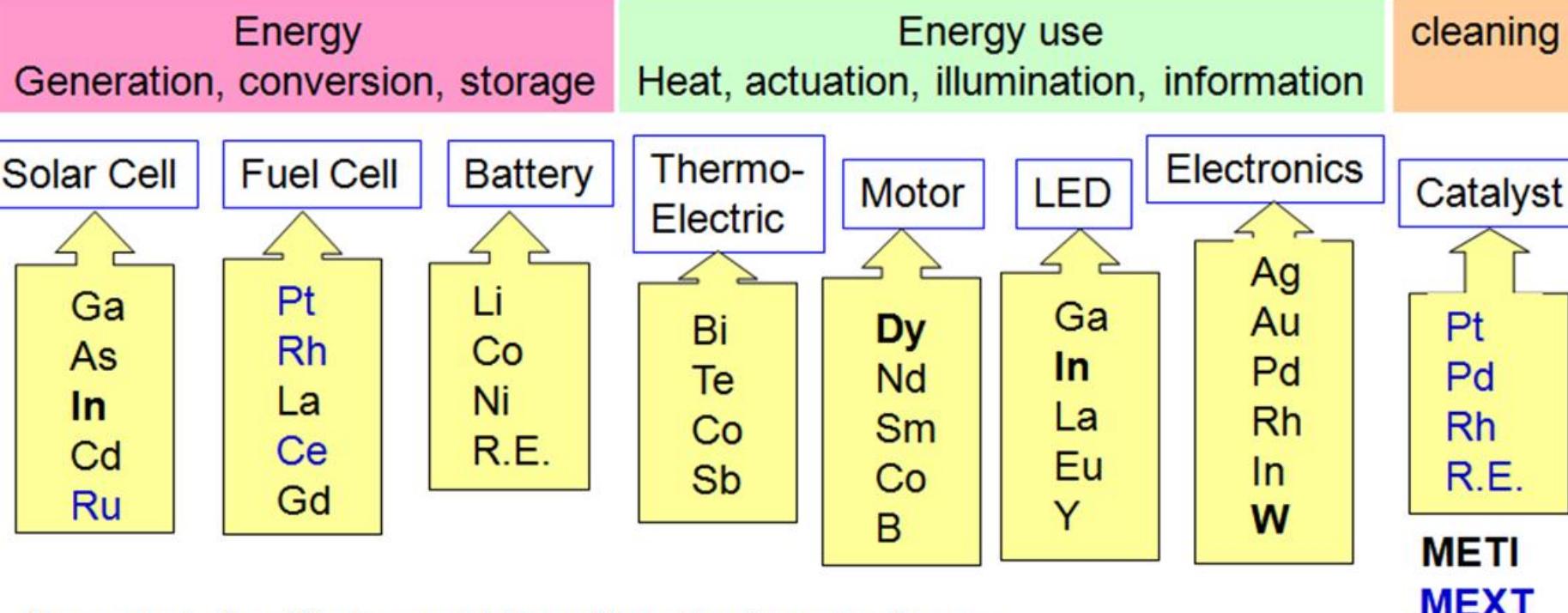
- $\{(annual\ production)/(crust\ exist\ ion)\}$  normalized by Fe as 100
- Resource-view weight: tons of TMR for 1kg of metal production
- Share % of top country of production, country code
- Increase of production from 1999 to 2009, (%)



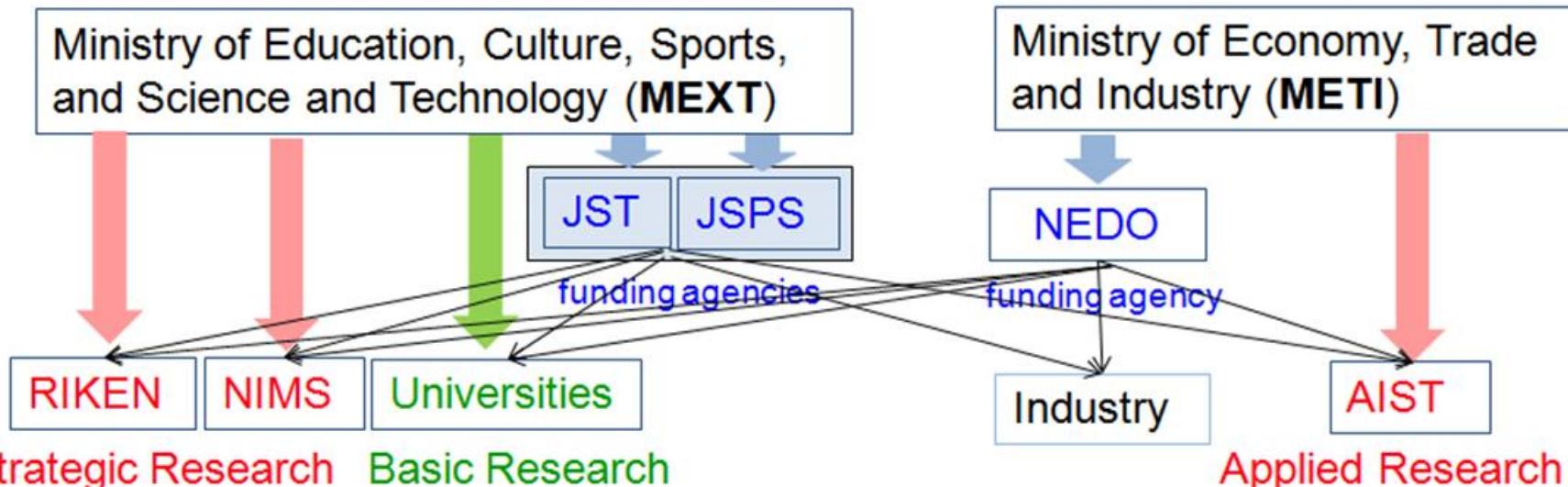
\* Estimated by import of Japan, ( ) amount in crust is less than in sea water

Data form 米国鉱山局データ USGS minerals information  
工業レアメタル (Kogyo rare metal) Japanese journal  
「概説 資源端重量」 NIMS-EMC data on mat. & env. No.18  
Halada, Katagiri, Proc. of EcoBalance 2010 p609

# Critical Elements for Energy and Environment



## Research Institutes and Funding System in Japan



- Designing Material Functions through Fundamental Research on Elements' Roles

GENSO SENRYAKU

started 2007

An elemental strategy project

## Background

**Rare earths** and other **rare metals** utilized for electronics, automotives, information technologies, and robotics are facing their price increase and tight supply due to the rapid increase of their consumptions and export policies of producing countries.

## Project Outline

Establish sciences on the roles of critical elements in materials to use alternative elements

## R&D Aspects on Research Subjects

1. Alternative materials composed of ubiquitous and nonhazardous elements
2. Advanced utilization of functions stemming from strategic elements
3. Practical material design for the effective use of strategic elements

METI also started **Rare Metal Substitution Project** in 2007

# **THREE APPROACHES OF ELEMENTS SCIENCE AND TECHNOLOGY PROJECT**

started 2007

## **Approach of Minimization:**

Material design of higher resource efficiency, namely reduction in quantity per function, is expected as immediate measure. Nano-technology is powerful in this approach

## **Approach of Substitution** to more abundant element:

Material design with nano-technology has the possibility of functional design with other chemicals and elements. Band gap design electron orbit design with nano-technology give us various possibility

## **Approach of Circulation:**

Japan has a great possibility of urban mining. Nano-technologies such as molecular identification expected to provide new tool to selective concentration from waste,

# Sialon Fluorescent Material with High Brightness and High Efficiency

Research impact

Durable phosphors have been developed by introducing the luminescent ions such as Eu into the crystal of SiAlONs.

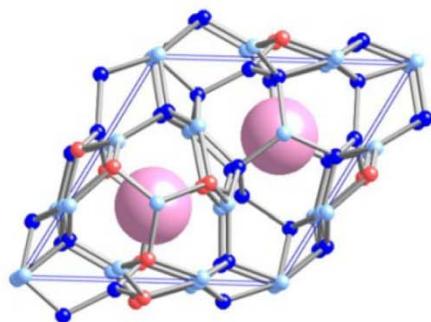


Fig.1 SiAlON crystals



Fig.2 SiAlON Phosphor

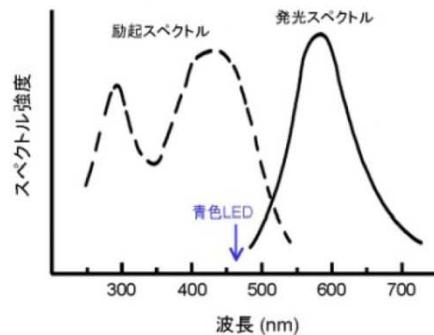


Fig. 3 Luminescence property

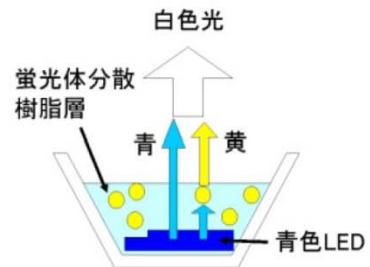


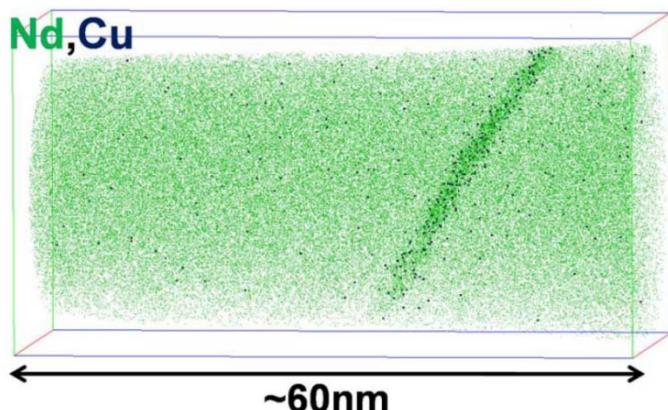
Fig.4 Principle of white LED

- Superior to durability and high temperature stability
  - Excitation by blue LED

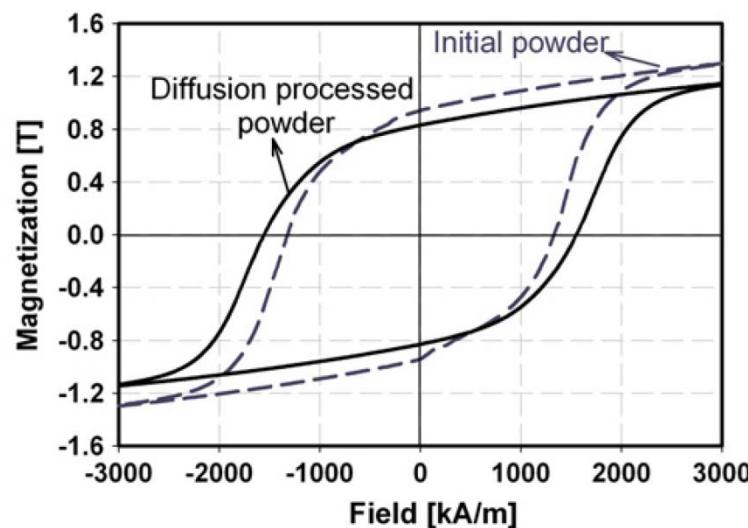
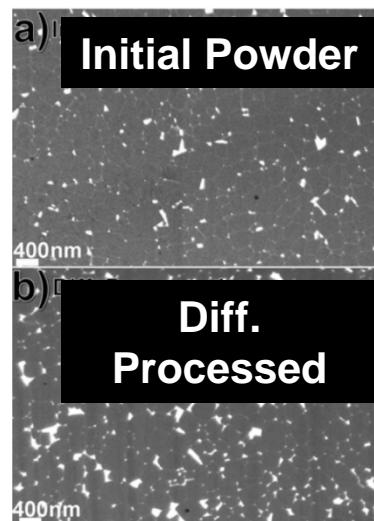
# Neodymium Magnet without Dysprosium

Research  
impact

- A method for increasing the coercivity of neodymium magnet powder without using dysprosium
- Thickening of the Nd-rich grain boundary phase could be attributed to the coercivity enhancement.



**3DAP map of Nd and Cu of the diffusion processed sample**

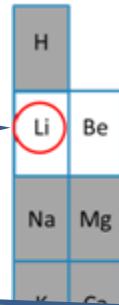


- The systematic nanostructure analysis of existing neodymium magnets using 3D Atom Probe reveals that the coercivity can be improved by decoupling the ferromagnetic interactions between the crystal grains.

MEXT  
project

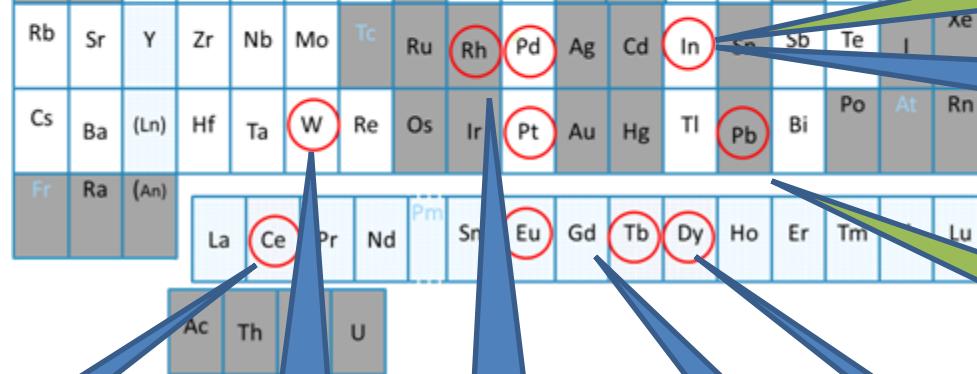
METI  
project

Li→  
Secondary battery  
polymer



METI's rare metal 31

Co,Ni→  
Secondary battery  
Fe,P



Zn→  
plating  
Al<sub>2</sub>O<sub>3</sub>

critical metals→  
memory  
Al<sub>2</sub>O<sub>3</sub>

critical metals  
→  
electrode  
P, Ca

In→  
Transparent  
electrode  
TiO<sub>2</sub>

In→  
Transparent  
electrode  
ZnO

Pb→  
piezo  
Ba

CeO<sub>2</sub>→  
abrading  
ZrO<sub>2</sub>

W→  
hard tool  
metal  
TiCN

PGM→  
catalyst  
transition metal

Eu,Tb→  
fluorescent  
P

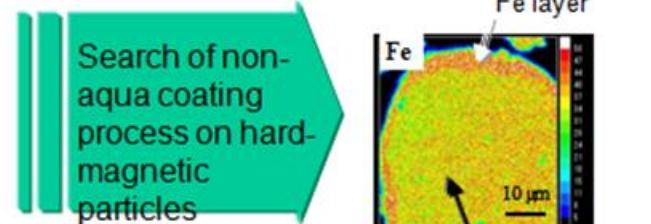
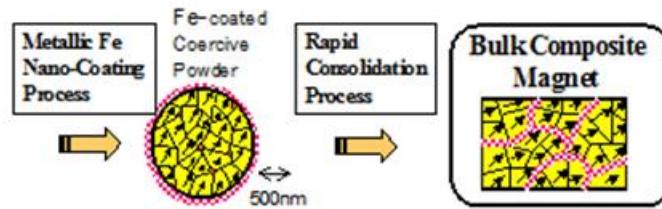
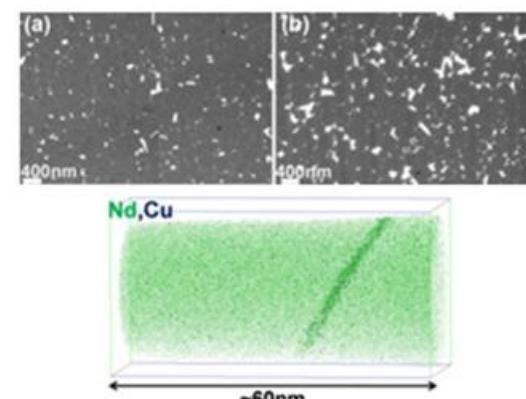
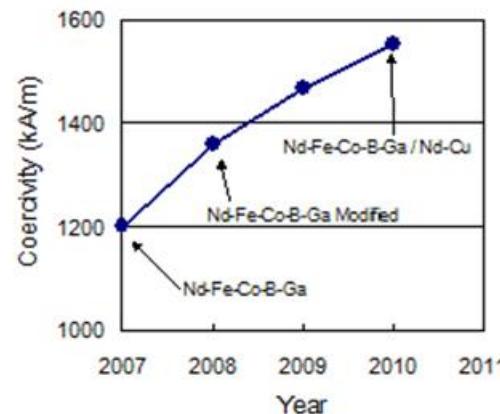
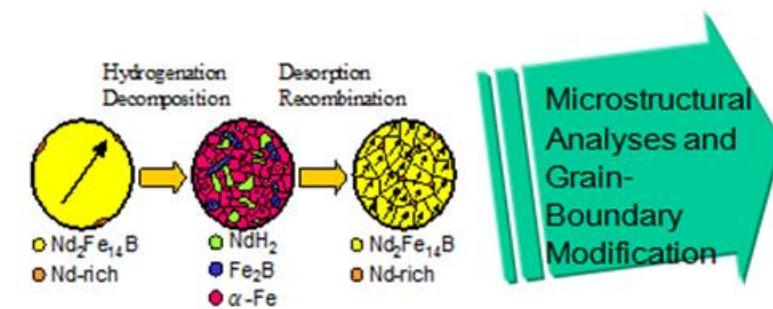
Dy→  
magnet

## High Performance Anisotropic Nanocomposite Permanent Magnets with Low Rare Earth Content

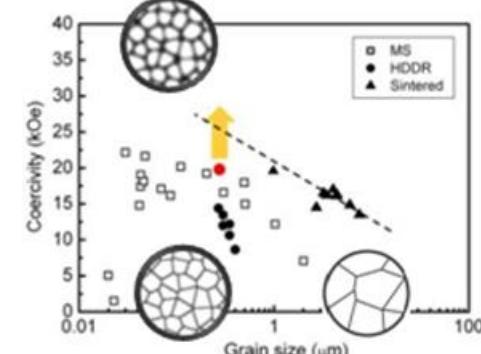
S. Hirosawa, Hitachi Metals., Ltd.,

Hitachi Metals, NIMS, Ehime Univ., Kitakyushuu Univ.

A new category of permanent magnet materials with no Dy and less Nd with comparable magnetic properties with those of current (Nd,Dy)-Fe-B sintered magnets using HDDR (Hydrogenation-Decomposition-Desorption-Recombination) and Fe-nanocoating processes.



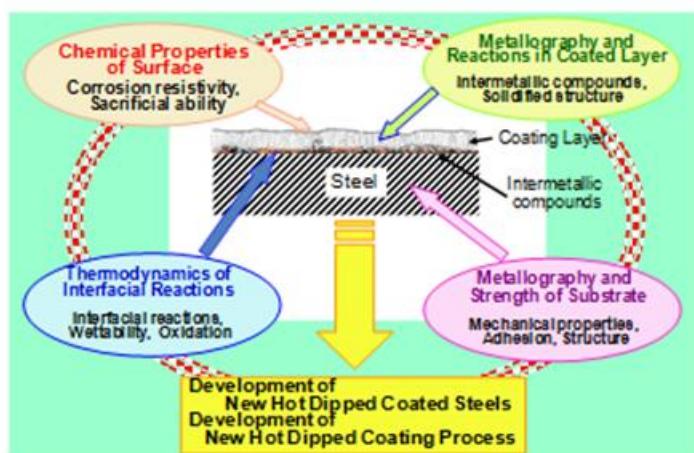
Concept of the Subjects



## Development of Hot-dipped Aluminum Alloy Coated Steels

T. Tsuru, Tokyo Institute of Technology

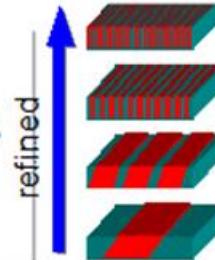
Substitution of Zn with Al on Zn coated steel



## Development of Barium-based New Lead-free Piezoelectric Materials with Ultrahigh Piezoelectric Property for Piezoelectric Frontier

S. Wada, Yamanashi University Domain control

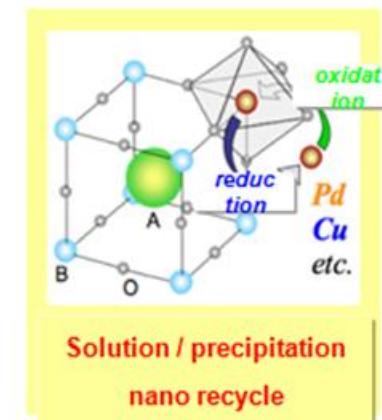
Ba-based new Pb-free piezoelectric materials with ultrahigh piezoelectric properties for future automobile MEMS applications.



## Self-forming Nano-particle Catalyst without Precious Metals

Y. Nishihata, Japan Atomic Energy Agency

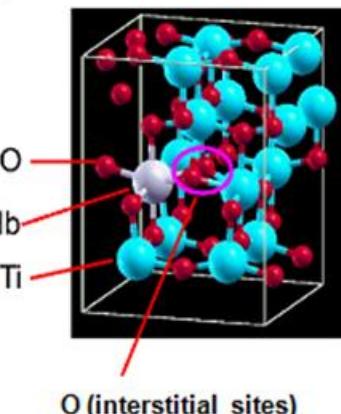
Substantial reduction and/or total substitution of the **precious metals** in the automotive catalyst for gasoline engine.



## Development of TiO<sub>2</sub>-based Transparent Electrode

T. Hasegawa, Kanagawa Academy of Science and Technology

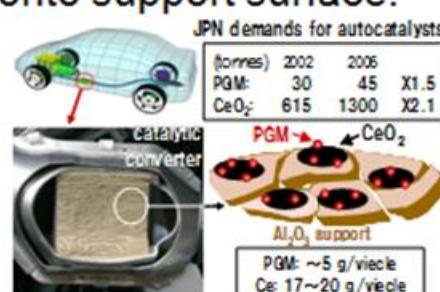
As an ITO (Indium Tin Oxide) alternative, the sputtering- and CVD (Chemical Vapor Deposition) -based practical processes for fabrication of indium-free TNO (niobium-doped titanium dioxide) transparent conducting thin films will be developed.



## Material Design and Processing of Highly-dispersed Catalysts with Minimum Precious Metal Loadings

M. Machida, Kumamoto University

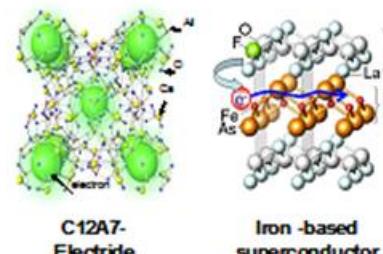
Minimizing the loading of precious metals (PGM=Rh, Pt, Pd) and rare earth elements (Ce) in automotive catalysts by realizing thermally stable and highly dispersed PGM nano-particles anchored onto support surface.



## Ubiquitous Element Strategy for Function Emergence

H. Hosono, Tokyo Institute of Technology

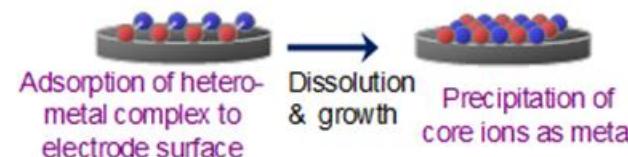
Novel functionality based on abundant elements utilizing built-in nanostructures, interface/surface and/or defects.



## Nano-hybridized Precious-metal-free Catalysts for Chemical Energy Conversion

K. Uosaki, Hokkaido University

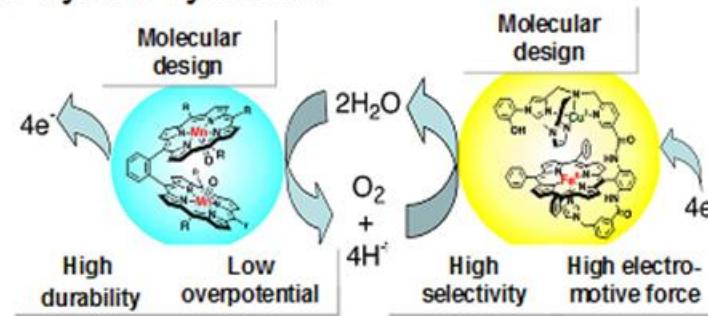
Nano-hybridized **precious-metal-free catalysts** for the innovation of fuel cell and photo-electrochemical cell.



## Development of Innovative Energy Conversion Systems with Molecular Catalysts Replacing Precious Metals

Y. Naruta, Kyushu University

Development of **molecular catalysts w/o precious metal ions** for water decomposition to H<sub>2</sub>/O<sub>2</sub> as well as O<sub>2</sub> reduction using organic-inorganic hybrid systems.

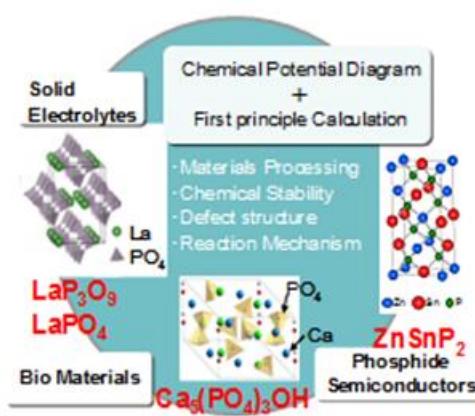


# MEXT Elements Science and Technolgy: Granted in FY2009

## Design and Processing of Functional Materials with Multi-elements Based on Chemical Potential Diagrams

T. Uda, Kyoto University

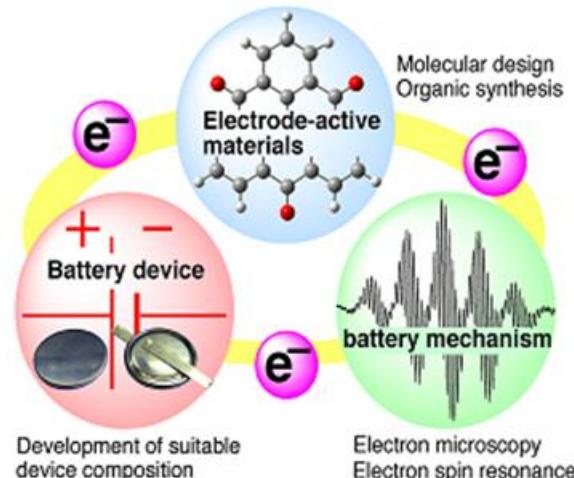
The development of new **phosphate electrolytes** for fuel cells, **phosphide semiconductors** for photovoltaic cells, and **calcium hydroxyapatite** for bio-materials by computational thermodynamics and the first principle calculations.



## Organic Molecular Approach to High-performance Rechargeable Batteries and Mechanistic Elucidation of Charge-discharge Processes

Y. Morita, Osaka University

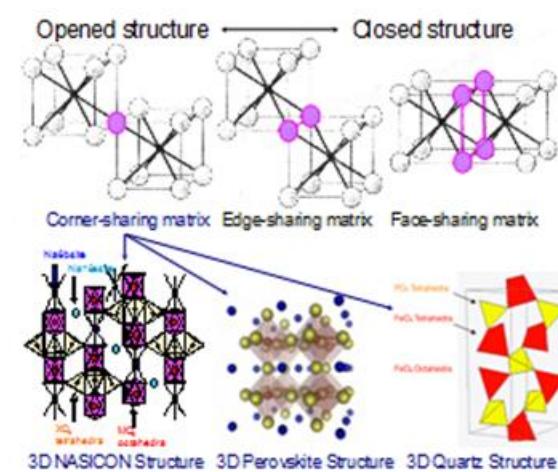
Organic molecule high performance rechargeable batteries by using the multi-stage redox ability.



## Development of Eco-friendly Post Lithium-ion Batteries

S. Okada, Kyushu University

Replacement of the rare-metal elements massively used in anode and cathode active materials of **lithium-ion battery** with economically and ecologically friendly elements such as sodium and iron.



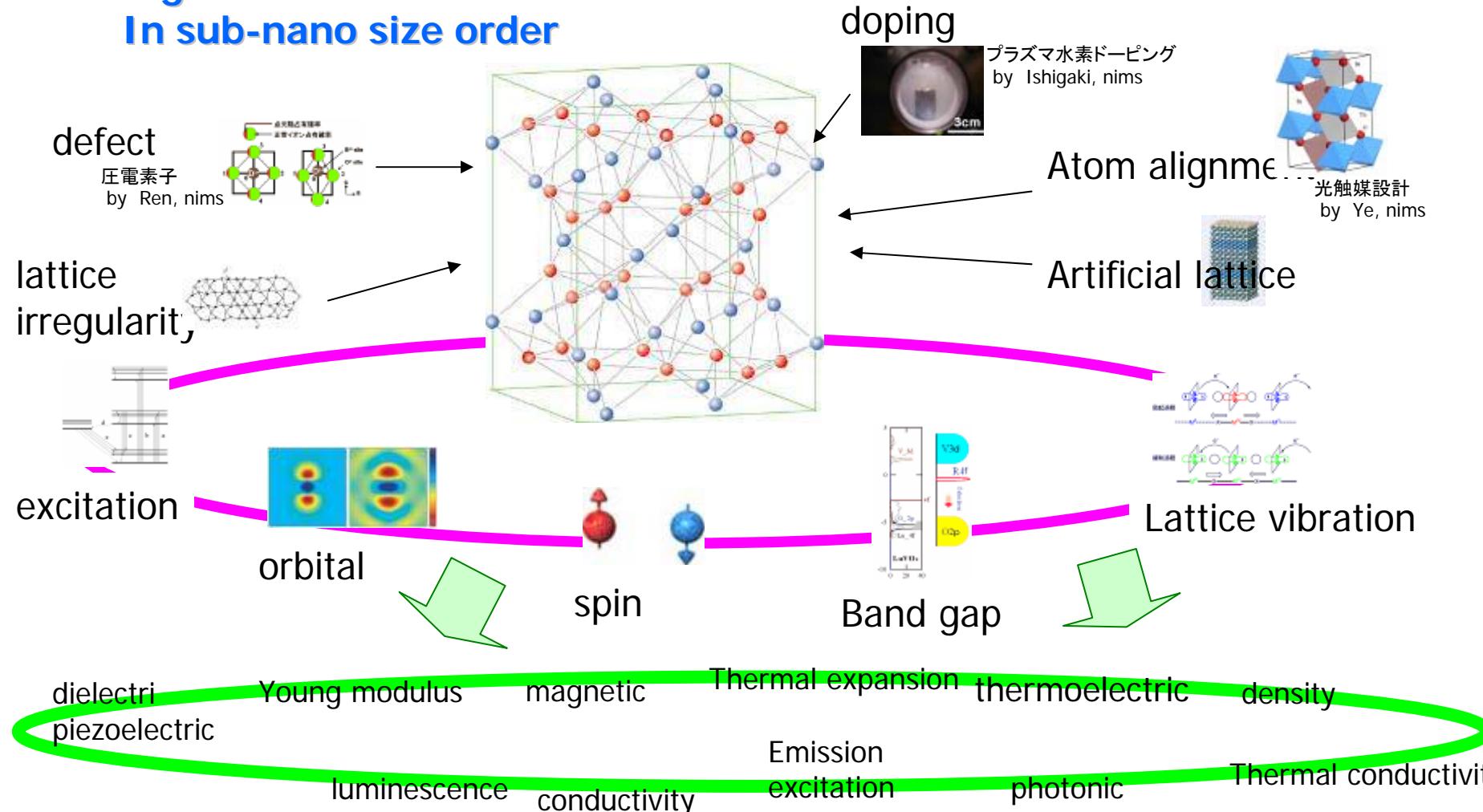
# Electron structure Engineering (= atoms re-arrangement)

*Considering function units not as the kind of elements*

*but its arrangement and consequently generated electron status.*

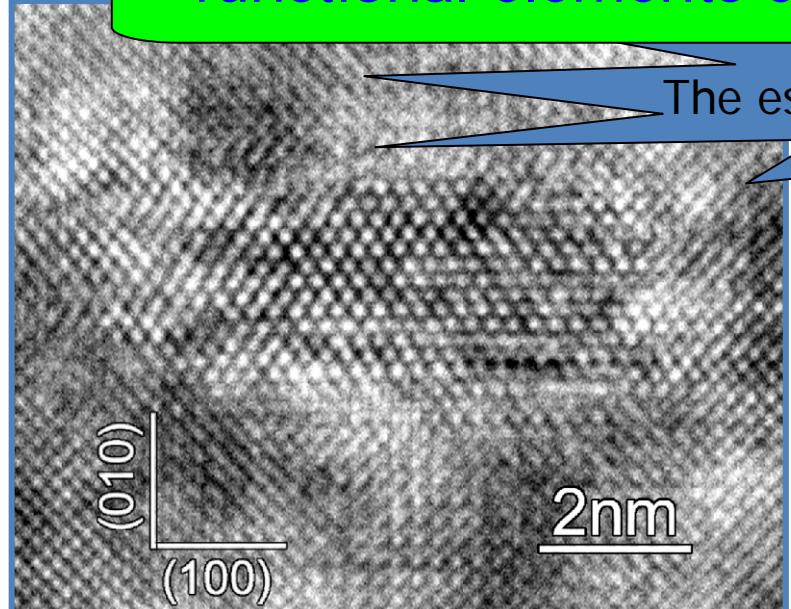
Design as lattice's structure

In sub-nano size order

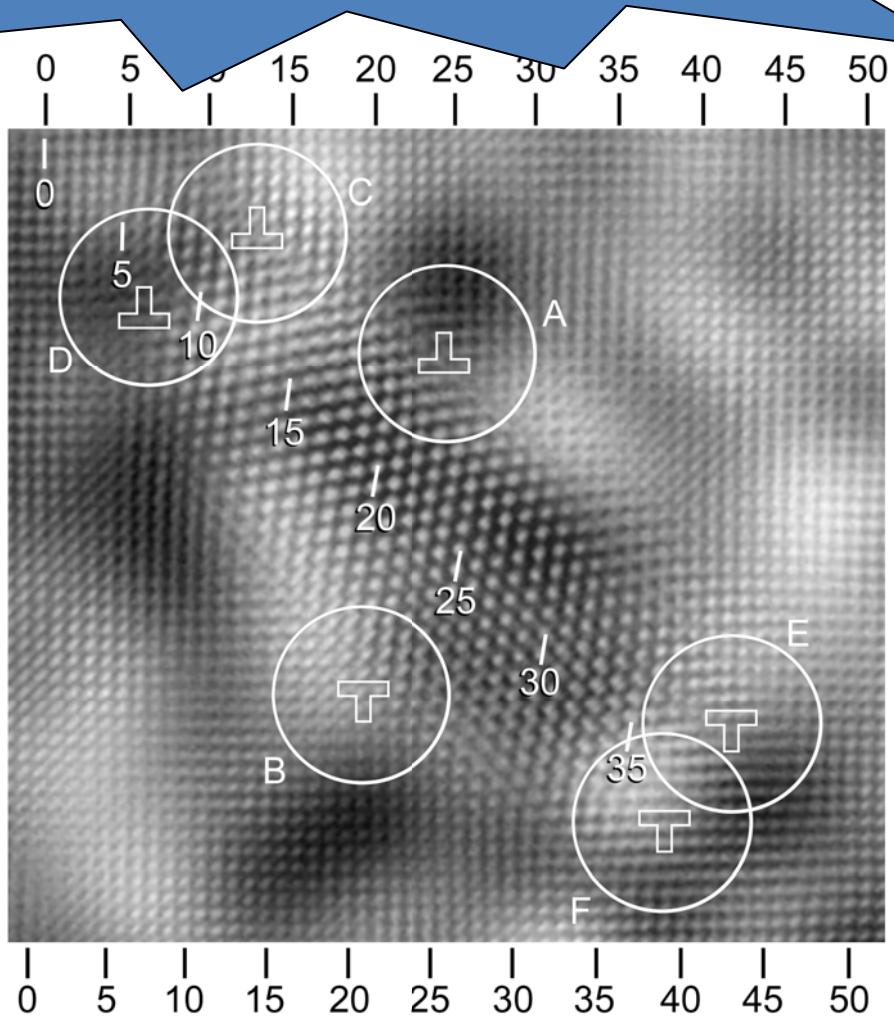
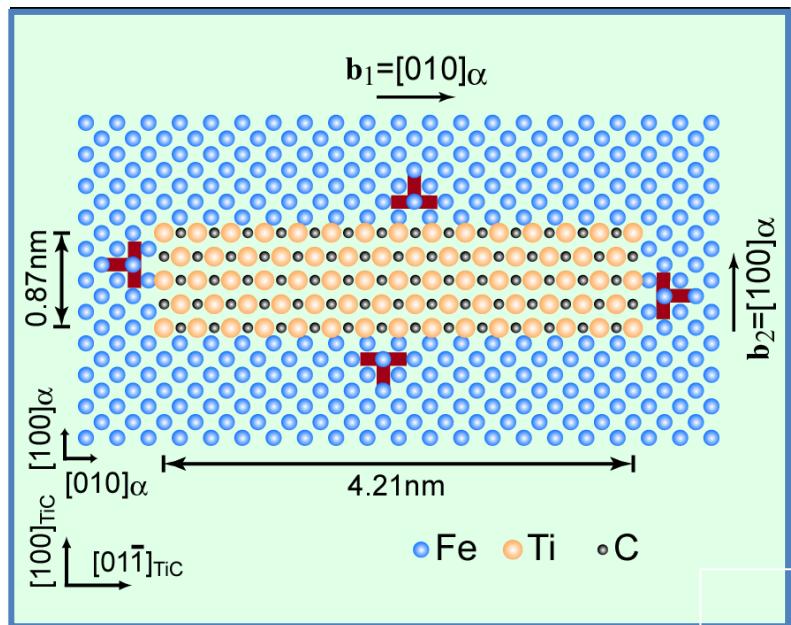


to enrich the Possibility of Element Selection from common resources, Fe, Si, Al, Ca

functional elements can be observed in nano order

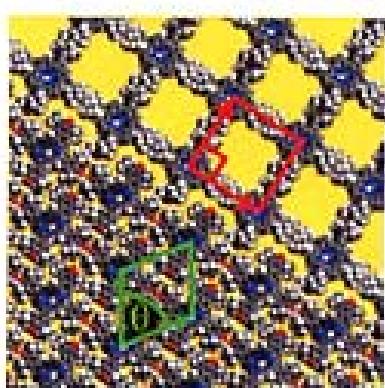
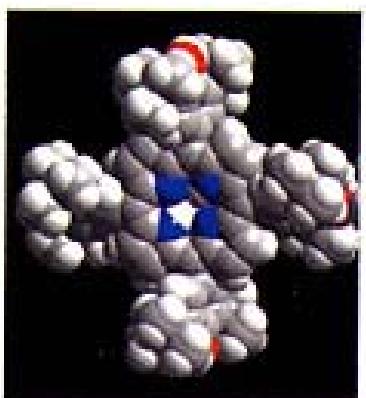
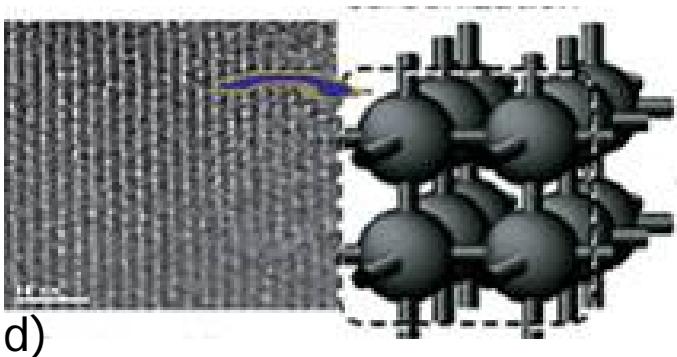
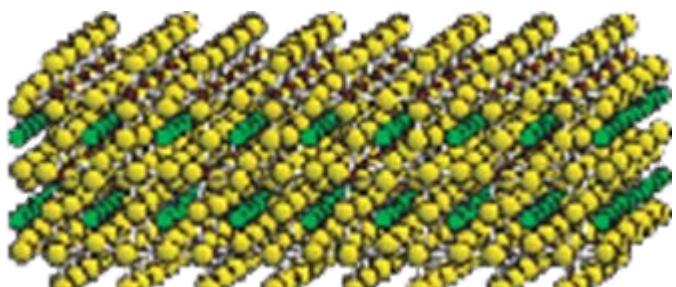
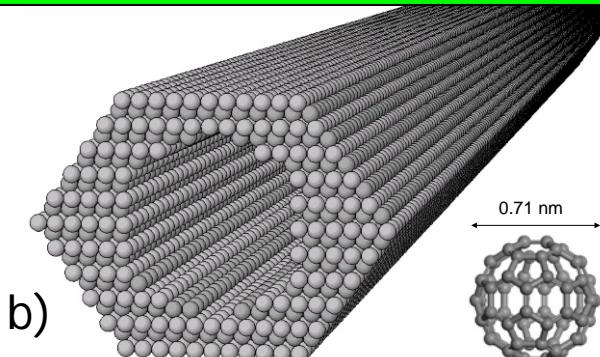
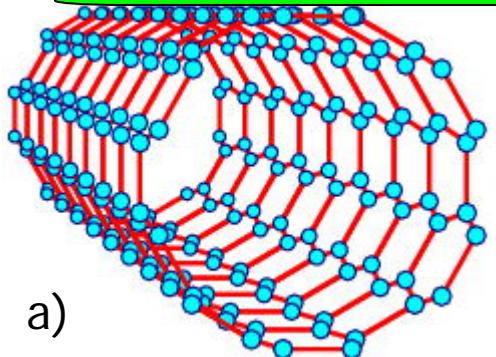


The essential is not composition but nano structure.



TiC nano-carbide in steel

## Nano fabrication realizes specially arranged structure

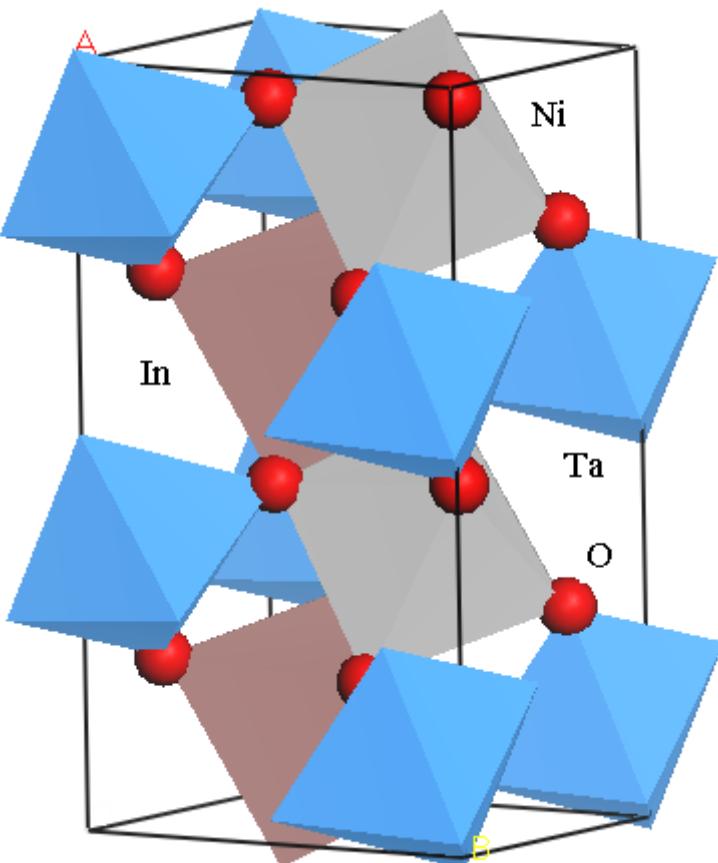
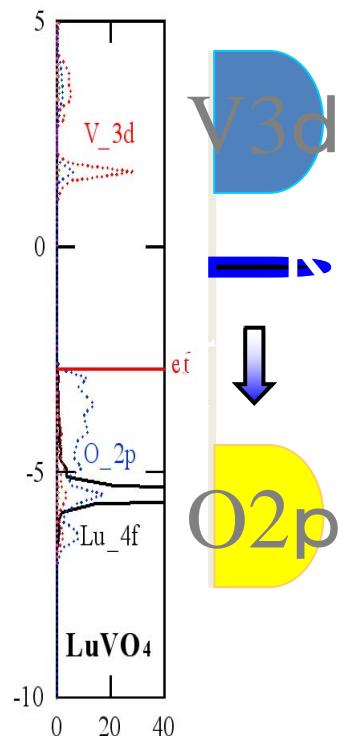
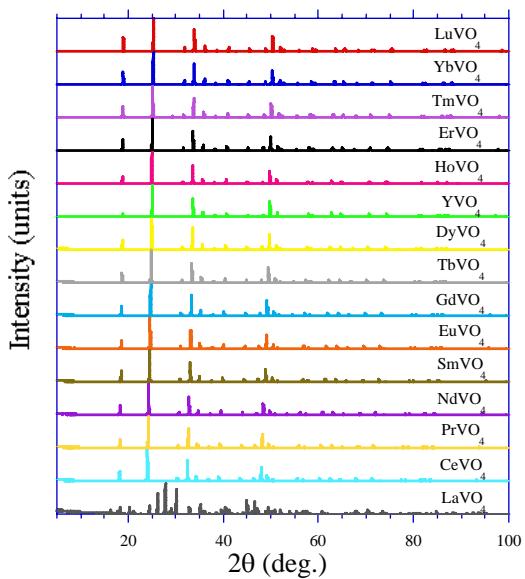


- a) CNT
- b) Fullerene nano whisker
- c) Oxide nanosheet
- d) Carbon nano cage
- e) Molecular assembling

computer material design is powerful to explore material

## Atomic arrangement calculation in the field of photo-catalyst

Various photocatalysts are  
developed by band-gap  
design



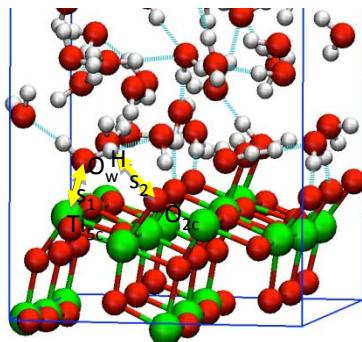
Ce $\text{VO}_4$ , Sm $\text{VO}_4$ , Y $\text{VO}_4$

# First-principles simulations on reaction mechanism in energy-conversion materials

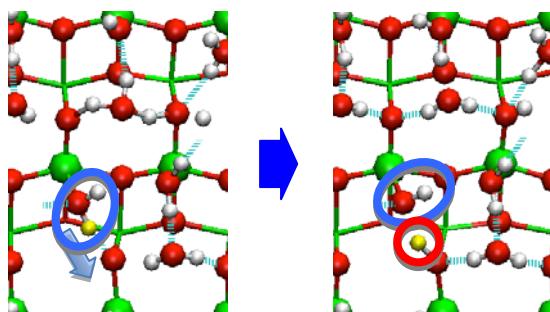
- Development of first-principles MD simulation codes
- Elucidation of reaction mechanisms by large-scale simulations

Water dissociation on photo-catalytic materials

TiO<sub>2</sub>/H<sub>2</sub>O interface

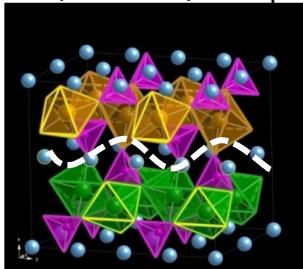


Reaction paths and barriers

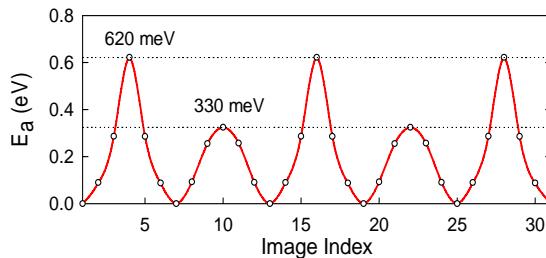


Li ion diffusion in Li battery materials

Li(Fe,Mn)PO<sub>4</sub>



Li ion diffusion barrier



Diffusion path of Li associated with polaron hopping



A 3D grid visualization representing a simulation cell. The vertical axis is labeled "6 nm". Below it, the text reads "Large-scale simulations for 10,000-atom systems".

Development of simulation tools  
High-accurate large-scale simulations

Elucidation of mechanisms underlying phenomenon

Determination of key factors which control the reactions

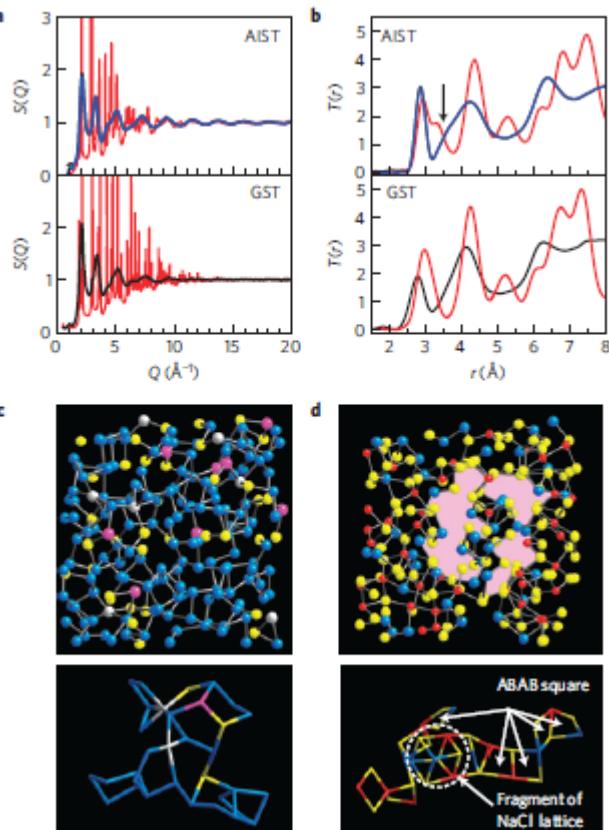
Optimization of key factors  
High-throughput screening

Element strategy

Materials design  
High-efficient energy conversion

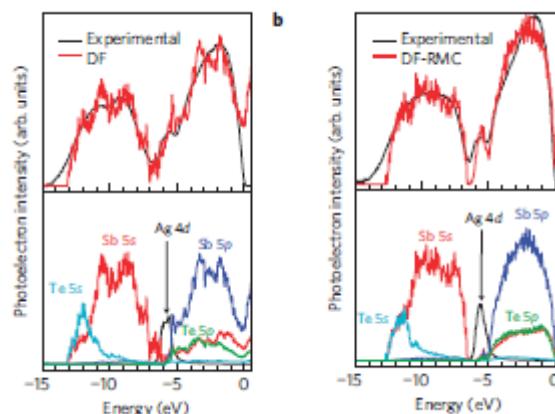
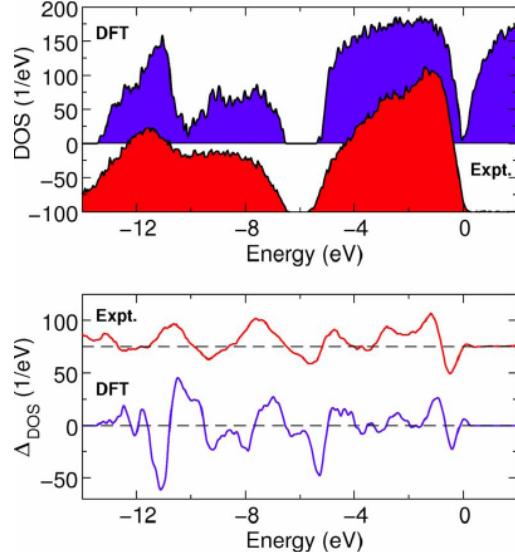
# XRD, HAXPES and DFT-MD simulation of amorphous $\text{Ge}_2\text{Sb}_2\text{Te}_3$ and AgInSbTe and their phase change mechanisms

## XRD of A and C phase AIST

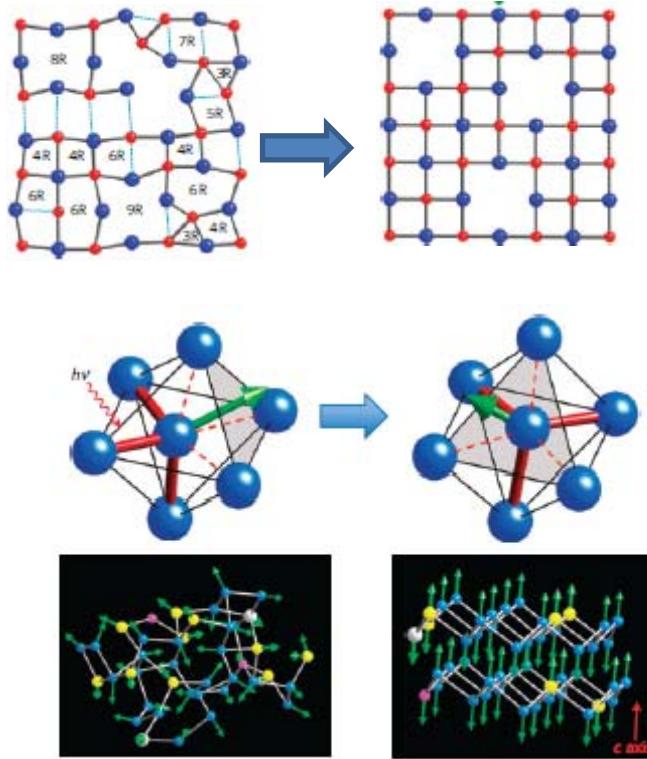


DFT-MD simulated A-structures in AIST(left) and GST(right).

experimental and calculated valence band DOS of GST (upper) and AIST(bottom).

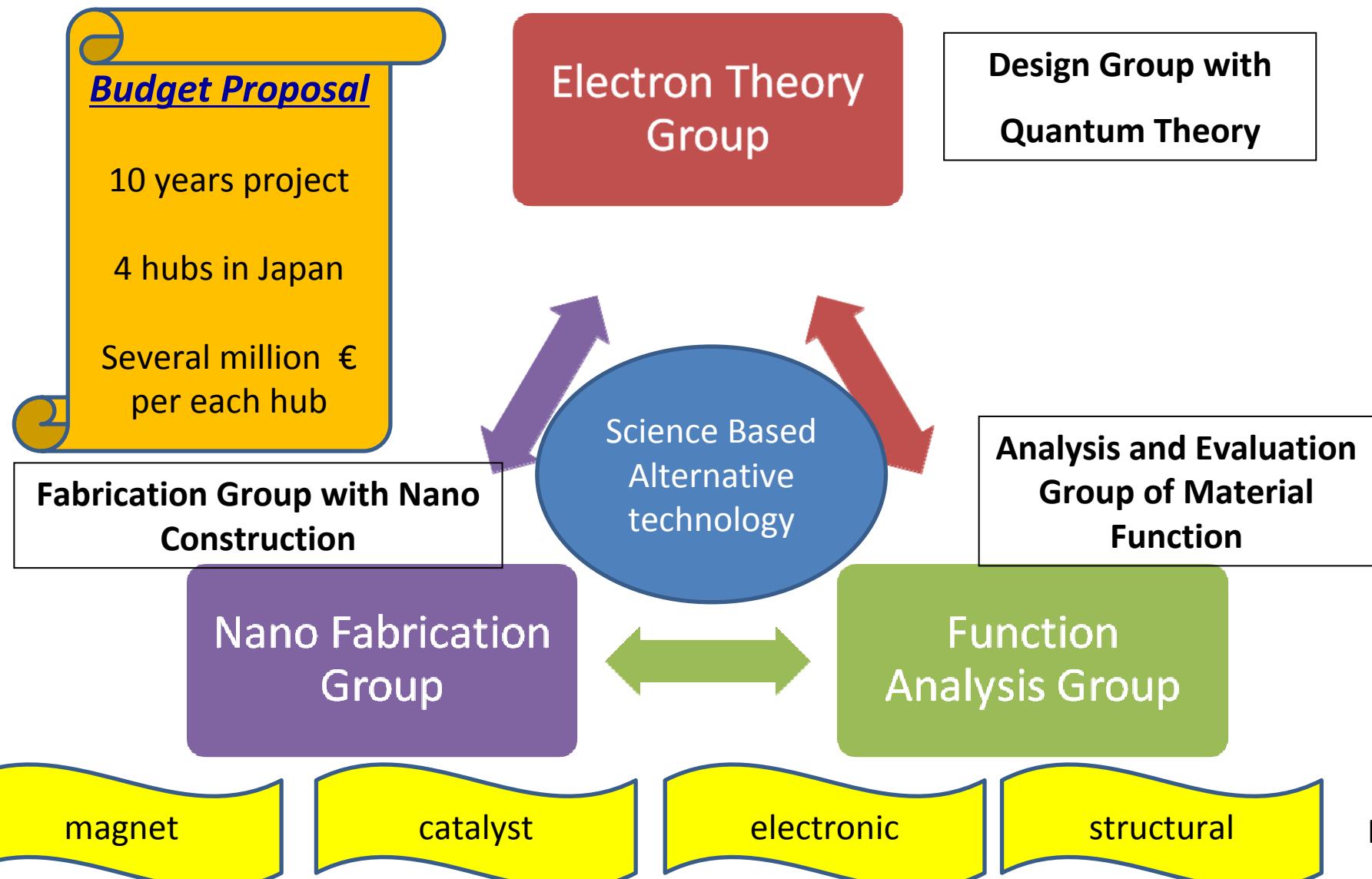


Phase change mechanism of GST (upper) and AIST (bottom)



Large scale DFT-MD simulation combined with Reverse Monte Carlo analysis of XRD and valence bands density of states obtained by Hard X-ray photoelectron spectroscopy gives a clear cut picture of fast reversible crystalline-amorphous phase change mechanism. (Exp done at SPring-8.)

# Next generation Science and Technology on Elements Project



What is the ultimate solution of the sustainable use of energy and resources?

For energy,

Utilization of solar energy      from the Father Sun

For resources,

Utilization of soil composition (Si, Fe, Al, Ca, O etc.)  
from the Mother Earth

and C as their children

Toward the solution, we endeavor to realize it.

Before the solution, we manage to supply the demand  
by available technology.

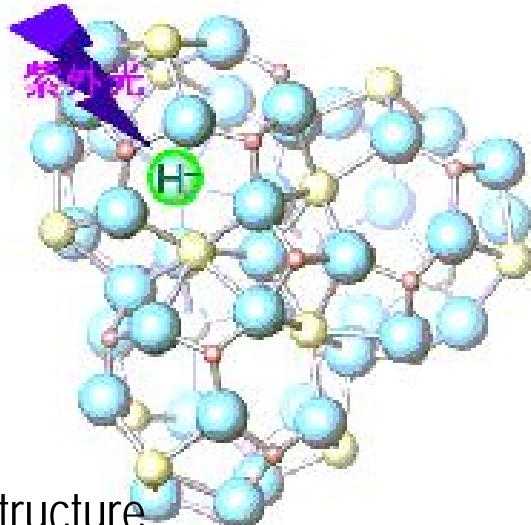
## Approach of Substitution

**12CaO·7Al<sub>2</sub>O<sub>3</sub>**

→ optically transparent & electrically conductive

UV

1



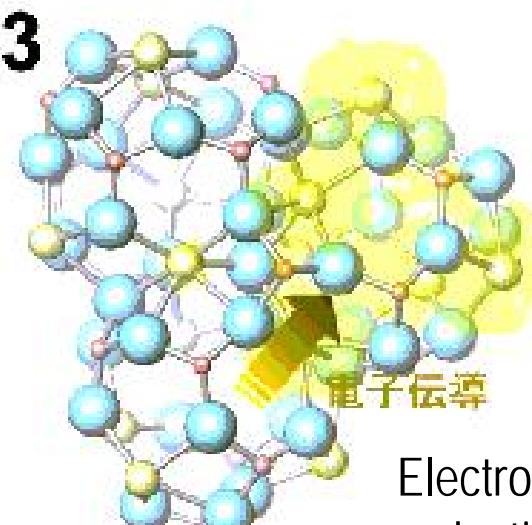
cage structure  
can includes H<sup>-</sup> ion substituted from  
free O<sup>-</sup> ion which balances Ca+  
by thermo-atmospheric control..

2



Electron trap  
In cage structure

3



Electron conductivity

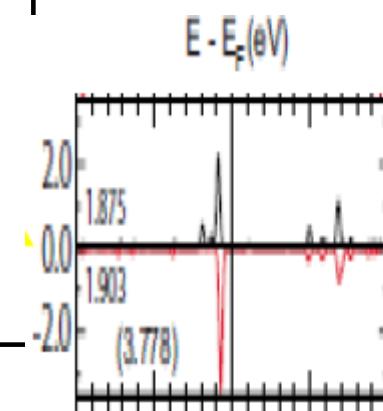
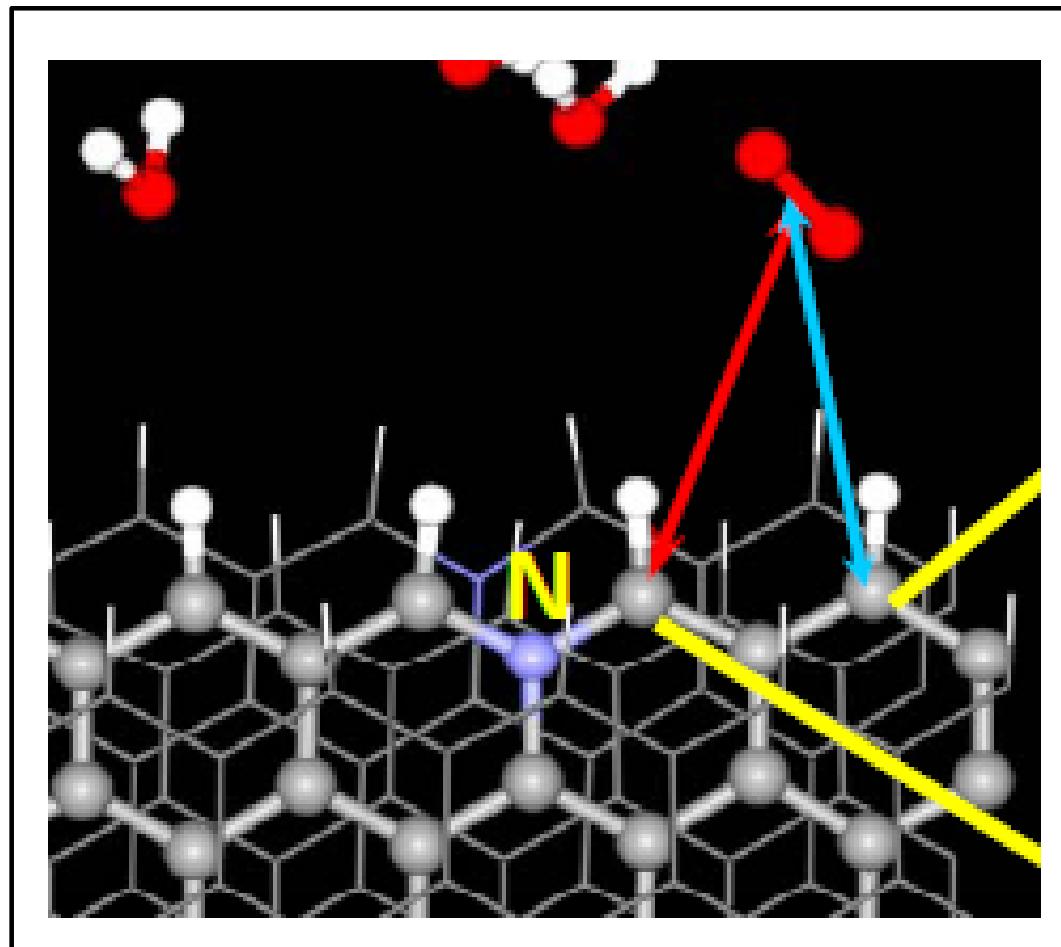
-> transparent semiconductor

By Prof.Hosono, titech

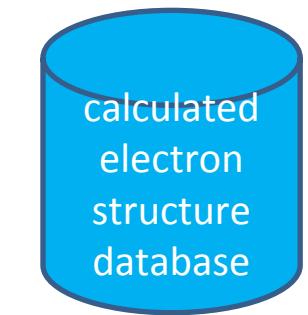
Cement material substitute Indium Tin Oxide

Our known semiconductors are only a part of them.  
We have various kinds of unexplored semiconductor  
in our own backyard.

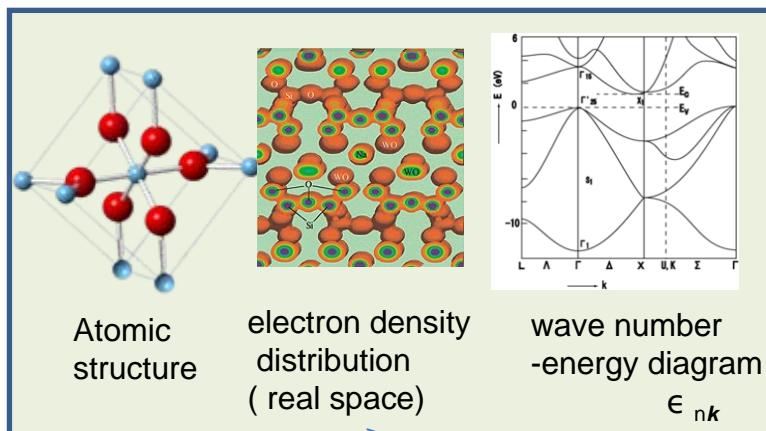
Carbon technology substitutes PGM used as catalyst  
Nitrogen doped graphene makes similar electron structure with Pt catalyst



Carbon  
bonded to  
nitrogen



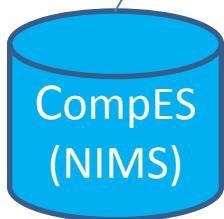
calculated  
electron  
structure  
database



Atomic  
structure

electron density  
distribution  
( real space)

wave number  
-energy diagram  
 $\epsilon_{nk}$



CompES  
(NIMS)

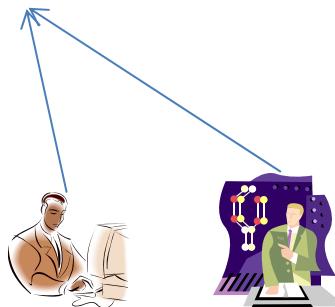
Electronic Structures Database(single、binary)  
Crystal Structures Database  
Element Properties Database



binary、psedobinary  
Covering calculation



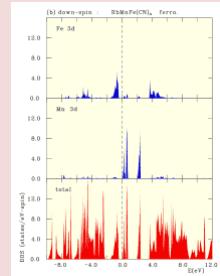
Common format



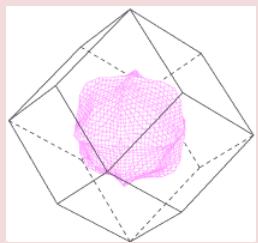
researcher



researcher

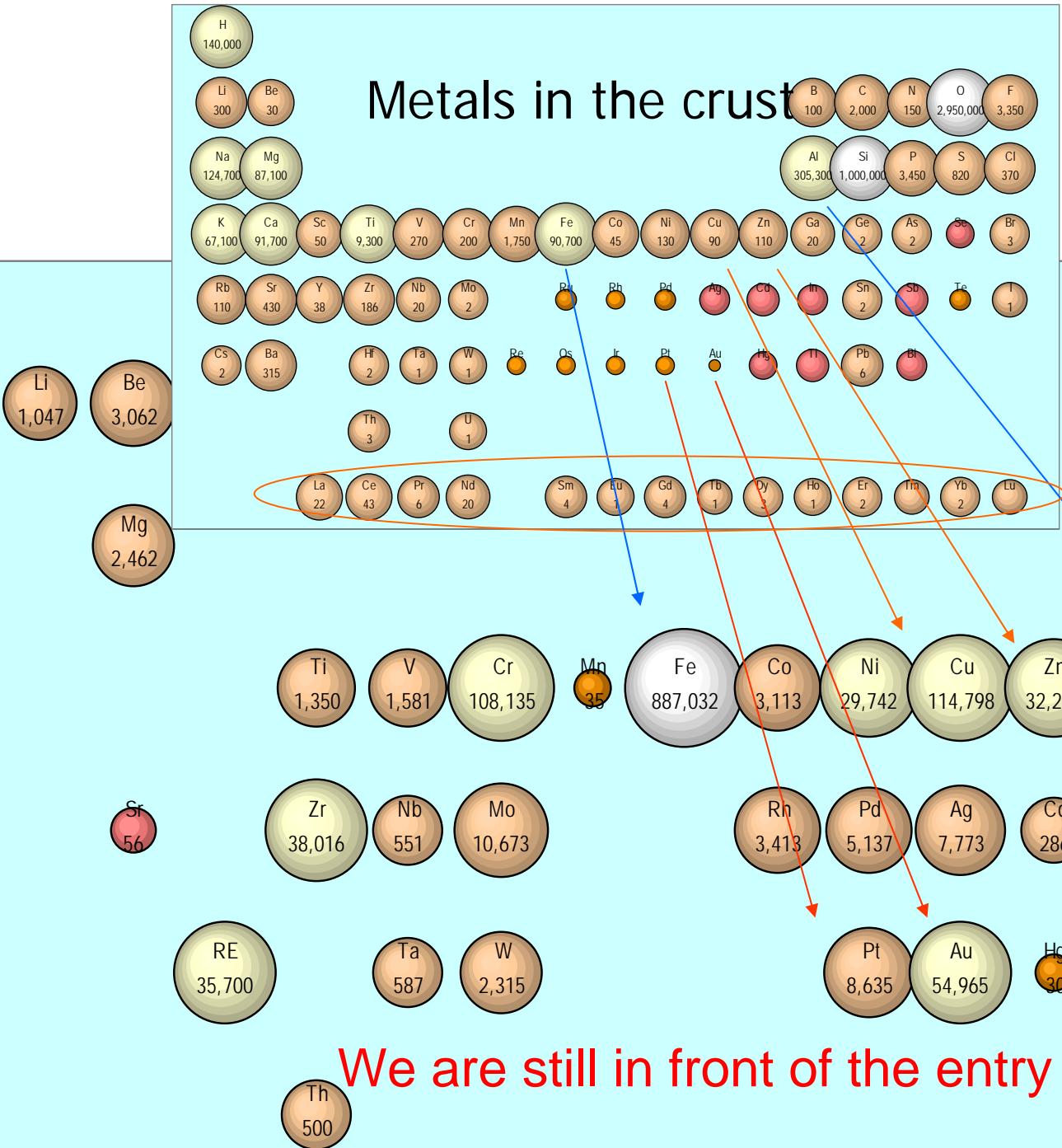


Electron density  
distribution  
(energy profile)

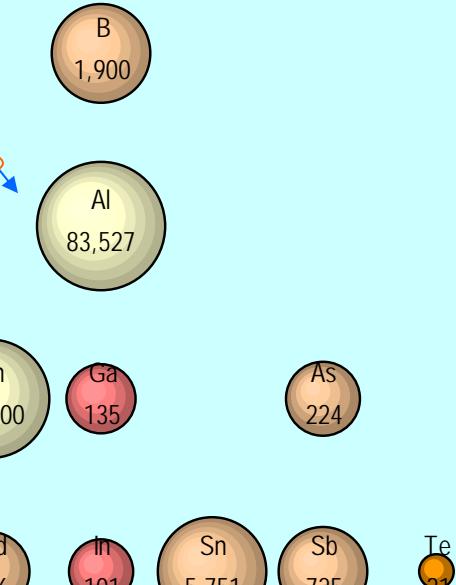


Fermi surface  
(energy profile)

# Metals in the crust



Market size of metals



We are still in front of the entry of sound material usage

Thank you !!