Reconstruction in Urban and Rural Areas After the Nepal Earthquake

'Tekhacho Tole' Housing Reconstruction Project, Bhaktapur

Final Workshop on JST: J-RAPID Nepal June 21st and 22st, 2016 Venue, Kathmandu, Nepal

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INTRODUCTION OF THE PROJECT



Research Team Members

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Three historic cities and old residential area (ORA) of Kathmandu Valley



ORA of Bhaktapur city and study area in Tekhacho tole



Bhaktapur



Kaminani community and Reconstruction Group



STUDY AREA: Kaminani Community

- 35 plots
- Main Street & Sub Street
- Courtyards
 - -Front courtyard 3 -Back courtyard 5
- Pati 2
 - -Guthi house with Pati 1
 - -Pati in private house 1



SURVEY AREA : FACADE



East elevation



North elevation



SURVEY AREA : COURT YARD



SURVEY AREA : BACK COURTYARD



SURVEY AREA : PATI



ANALYSIS : situation after the earthquake

ANALYSIS: PROCESS AFTER THE DISASTER



ANALYSIS: EMERGENCY EVACUATION SITE -Surya Vinayak Party Palace







ANALYSUS: TEMPORARY SHELTER BUILT BY COMMUNITY – WASHIKA-MALACHA







ANALYSIS: RESIDENT'S PRESENT LIVING PLACE

• Cadestral map 35	plots			F	resent	living place	2	Residents in old h	ouse	resi
-On field		otc⊥2nl	otc)		Renta	Same old	New	Residents in old house	household	fan
	33 plots (-5pl	οιsτ2μι	House no.	Shelter	room	house	house	before earthquake	numbers	nur
	2 plots		H no. 1	•		•		10	2	
• Land	1 plots		H no. 2			•		5	1	
			H no. 3			•		4	2	
Shelter	residents of 1	כ	F no. 6	•		•		4	1	
_	Tesluents of 1.	2	H no. 7		•	•		8	1	
houses			H no.8			•		4	1	
Living place	Families		H no.9	•			•	6	1	\square
			H no. 10			•		4	1	
Shelter	5		H no. 11			•		3	1	
			H no. 12		•	•		10	1	
Rental room	1 - 17		H no. 13		•		•	11	1	_
Same house	8 1		H no. 14/28 H no. 15			•		rental people 10	0	
			H no. 15 H no. 16	•		•	•	10	1	
New house	3		H no. 17/23+24	•		•		9	2	
Shelter + Same house	3 1		H no. 17/23-24 H no. 18a			•		<u> </u>	1	
			H no. 18b	•			•	4	1	_
Shelter + Rental space	3		H no. 19+22	•			-	2	1	
Shelter + New house	2 12		H no. 20	•				10	1	\square
			H no. 21a	•				6	1	
Rental + Same house	2		H no. 21b	•	•	•		7	7	\square
Rental + New house	1		H no. 24				٠	6	1	,
	4		H no. 25+30	٠				12	1	
Same house + New house			H no. 26							
Shaltan I Dantal haven I	4		H no. 27	٠	•			11	1	
Shelter + Rental house +	I		H no. 29				•	4	1	\square
Same house			H no. 31				•	4	1	
			H no. 32	•	•			14	2	-
Families ha	ve to liv		H 00.33	t'a	\mathbf{H}			6	1	\vdash
					L Y			3	1	

ANALYSIS: DAMAGES BY EARTHQUAKE

- All houses are listed as Red level
- Victims living in the damaged structures





ANALYSIS: DEMOLITION OF UPPER FLOORS AND FUNCTION REPLACEMENT



ANALYSIS : FAMILY STRUCTURE



ISSUE & PROBLEMS IN REBUILDING

Issues & problems:Housing Rebuilding

Heavy destruction of traditional houses

Shallow foundation, absence of DPC, poor bonding of mud mortar between sun dried and mud bricks, lack of tie at corner walls

Vertical division of houses and haphazard renovation and addition of floors irrespective of strength of old houses



Haphazard creation of openings in load bearing walls after vertical property division

Issues & problems:Housing Rebuilding

Physical problems	Roof leaking Poor light and ventilation Dampness
Building age	50-100 yr OR > 100 yrs
Renovation work	Addition of floors New D/W opening Outer plaster
Professional consultation	No – (engineers) No- (contractors)



Issues & challenges: Housing reconstruction in ORA

Protection & conservation	 What are the features/characters to be conserved?
Planning & design issue	 Tiny, elongated plot sizes Multiple ownerships on land & houses
Financial issues	 Low affordability NRs. 200,000 grant with concessional loan up to NRs. 300,000 OR providing a soft loan up to NRs. 250,000 for the valley
Permit issue	 No regulation for house pooling & urban regeneration; No change in Building bylaws in HCA of Bhaktapur municipality; Applicable Joint Apartment Act 1997 for mass reconstruction? Need to take planning permit if no change lanes & courtyard size? House pooling (?) & urban regeneration (?) possible
Safer neighbourhood	 How to make safe neighbourhood?
Cost effectiveness	- How to achieve cost effectiveness in reconstruction?
Opportunity	 How to incorporate the emerging issues (energy efficient components and community's needs and aspiration

Role & Responsibility; various stakeholders



Aspects	Pilachhen, Patan	Kilagal, Kathmandu
Location	Newari core settlement	Newari core settlement
House nos.	82	80
Cast of community	Maharjan	Maharjan, Gopals & Dongol
Professions of community	Agriculture, wood & stone carving, cloth weaving	Agriculture, animal husbandry with some on trade & services
Construction system	Each individual house separately	Single monolithic structure
New use	Lower-guest house & galleries & upper floor for residents (owners)	Lower floors for commercial and upper spaces owners on flat system
Financial system	Owner cash payment = 25% Cash or kind support = 25% Volunteer support = 25% Bank financing = 25%	Rental from commercial uses on lower floors

Use of the area: cultural tourism & private residence





Bhaktapur

Nepal

% Tourist arrival in Nepal by age group



Tourists visiting Nepal ('000)

🗏 Holiday 📕 Trekking and mounterning 📲 Businnes 📕 Pilgrimage 🔳 Official 🔳 Conv/Conf. 🔳 Other 🔳 Not specified

■ 0-15 yrs ■ 16-30 yrs ■ 31-45 yrs ■ 46-60 yrs ■ 61 & over yrs ■ Not Specified

Social problems

Multiple ownership over houses without legal status

Sharing of 'saga' common open spaces

Dismantling the existing wall

Design, style and construction technology

Variation in use of the building in post-earthquake period



Issues & problems:Housing Rebuilding

Tiny elongated plots Not enough light Common wall

house no.	Plot area (m2)	house no.	Plot area (m2)
2	73.32	15	31.15
3	26.04	16	57.14
6	14.9	17	43.62
7	32.03	18	31.14
8,9,31	47.83	19(22)	28.34
10	16.63	20	28
11	15.05	21-a	10.1
12	26.95	21-b	10
13	11.93	22(19)	14.6
1	46.62	23,24	17.6
34	51.21	25	10.41
35	37.78	26,27	35.81
32	6.54	28	16.96
33	13.49	29	35.81
14	13.52	30	18.72

31.79m2= 1 anna= 342.25 ft2 7.94 m2 = 1 paisa = 85.56 ft2



Ground floor plan (measured base)

PROPOSALS - PHASE 1



(a) To conserve and promote socio-cultural dimension and past glory of the community;

(b) To achieve safer and cost effective reconstruction;

(c) To improve environmental condition

All these qualities will be achieved through integrated planning of all houses with detailing of each house (block) as per the prevailing guidelines and building bylaws and Nepal National Building Code, prepared by the Government of Nepal.

All the suggested three proposals have considered the above mentioned aspects with varying degree.

All the buildings will have four story (maximum 35' high) with additional staircase coverage (not exceeding 42' high).

The proposed construction system is RCC frame structure with exposed brick facades towards the street, lanes and courtyards. However, alternative materials can be used for inner partition walls, as per households' need.

The proposed plan has conserved courtyards (public as well as private), pass through ways (Gallis) and adopted traditional Newari architecture details which will

TYPE1: INDIVIDUAL WITH FEW COMBINED

CONCEPT

(a) This type intends to combine tiny, elongated and those plots lacking adequate light and ventilation into a single plot for better space planning, design and detailing (3-5 plots will be combined into one larger plots);

(b) As staircase will be used by all those households, EQUAL SHARING on circulation and staircase is required. However, increase in area will be PROPORTINATELY distributed among the households based on the existing areas in each floor,

(c) Each household will get the developed area in their ORIGINAL LOCATION (and SIDE) as far as possible;
 (d) Ground and First Floors shall be DISTRIBUTED TO THE PARTICIPATED OWNERS whereas upper two floors shall be SHARED ON FLOORWISE as per their consensus due to better light and ventilation on upper floors;
 (e) Ground Floor shall be generally used for Toilet and other utilities;

(f) Those who own larger plot can have their OWN INNER STARICASE (narrow one) for circulation within different (loops.

METHOD_

STEP1:

Once the community agree on the BASIC CONCEPT, then detailed workout over room distribution with exact areas can be calculated. However, negotiation among the households in each block is further needed to adjust the allocated spaces as per calculation;

STEP2:

(b) The municipal drawings shall be prepared on block wise basis for getting permission from Bhaktapur municipality. However, it can be submitted together at municipality and the permit fee shall be shared equally for staircase and lobby area but on the proportionately basis for the remaining areas;

STEP3:

(c) The ownership on land can have as per EARLIER PLOT basis but it will have joint ownership for staircase and circulation areas (including the terrace area) with individual ownership over rooms on proportionately basis.

DATA

SITE AREA: 900 m2 Construction Area: 900 m2 Total floor Area: 900X4.1 m2=3690 m2

Construction Cost Skeleton: Rs. 20000X3690 m2=Rs 73,800,000 Infill: Rs.1000X3690 m2=Rs. 36900000

Tekhacho Tole, RECONSTRUCTION PROPOSAL

Architecure Planning Lab. + Urban Redesign Studies Unit, the University of Tokyo





GROUND FL. PLAN s=1:200



STREET VIEW



1st FL, 2nd FL, 3rd FL. PLAN s=1:200

TYPE2: COMMUNAL

CONCEPT

This proposes that all the land and structures are combined.

Blocks along the streets are designed as commercial space on Ground FL, 2nd Fl and 3rd FL. First floor will be flat type housings for smaller houses.

Through this commercial activity, the association can earn rent from tenants and it will appropriate to construction loan. In addition, 10% of the income will be allocated to all the household (house hold along the streets will be allocated twice than others).

METHOD

STEP1: All the land willbe conbined.

STEP2: All the houses are allocated 70% of the original area as use right. STEP3: Houses which obtain more than 12n⁴ for one floor will be allocated 4 stories residential space. Houses which obtain less than 12n⁴ for on floor will be allocated flat residential space above rental space block along the streets.

DATA

SITE AREA: 900ml CONSTRUCTION AREA: 810m² / BUILDING COVERAGE: 90%

TOTAL FLOOR AREA: 3,240ml / FLOOR COVERAGE 400% -RENTAL SPACE AREA: 510ml (15%) G FLOOR:190ml / 2nd FL: 160ml / 3rd FL: 160ml -RESIDENTIAL SPACE AREA: 2,300ml (70%) -COMMON SPACE AREA: 430ml (15%)

CONSTRUCTION COST: 3,240 mf × 30,000 Rs/mf=97,200,000 Rs INCOME FROM TENANTS: 254,000 Rs/mconth -GF(SHOPS):190 mf × 1,000 Rs/mf=190,000 Rs -2-3 mf FL(HOUSES): 320 mf × 200 Rs/mf=64,000 Rs ALLOCATION FOR HOUSE HOLDS: 25,400 Rs/month(10%) APPROPRIATION FOR CONSTRUCTION LOAN: 228,600 Rs/month(90%) * construction cost will be covered for 35 years income



Tekhacho Tole, RECONSTRUCTION PROPOSAL Architecure Planning Lab. + Urban Redesign Studies Unit, the University of Tokyo



TYPE3: MIXED

CONCEPT

This is a 'hybrid model' of type 1 and type 2. Residents can choose whether they retain their land (individua) or conbine the land (communal).

Ground FL of Communal space will be guesthouse which has 5 rooms and restaurant and workshop where carpenters of this community can work. Through this commercial activity, the association can earn rent from tenants and it will appropriate to construction loan.1st FL and above are residentials which allocates 70% of the original area.

METHOD

STEP1: Each household choose whether they reconstruct individually or communaly. Households which choose individual type, they remain in their land and reconstruct. Households which choose communal type, they establish reconstruction association of this community.

STEP2: Communal type households are allocated 70% of the original area as a use right. GF of the block will be guest house and workshop. The interest of this buisiness will be appropriate to construction loan.

DATA

SITE AREA: 900ml CONSTRUCTION AREA: 870ml / BUILDING COVERAGE: 96%

TOTAL FLOOR AREA: 3,480ml / FLOOR COVERAGE 400% INDIVIDUAL AREA: 520ml × 4F=2,080ml -COMMUNAL AREA: 350ml × 4F=1,400ml GUEST HOUSE: 260ml WORKSHOP: 70ml (25%) RESIDENTIAL SPACE: 1,000ml (70%), COMMON SPACE: 70ml (5%) CONSTRUCTION COST: 3,480ml × 30,000Rs/ml=104,400,000Rs -INDIVIDUAL AREA: 62,400,000Rs - COMMUNAL AREA: 42,000,000Rs INCOME FROM TENANTS: 274,000Rs/month -GUEST HOUSE: 260ml × 1,000Rs/ml=260,000Rs/month -WORKSHOP: 70ml × 200Rs/ml=14,000Rs/month ALLOCATION FOR HOUSE HOLDS: 27,400Rs/month/10%] APPROPRIATION FOR CONSTRUCTION LOAN: 246,600Rs/month(90%) * construction cost of communal area will be covered by 14 years income



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COMPARISON OF 3 PROPOSALS

				TYPE 3	; MIXED
		TYPE 1 ; INDIVIDUAL	TYPE 2 : COMBINED	AREA 1 (combined plots)	AREA 2 (individual plots)
	Land	same as before	combined	combined	same as before
	Building(structural)	combined	combined	combined	individual
Ownership	Staircase	communal	combined	combined	individual
Ownership	Corridor	communal	combined	combined	-
	Rooftop	communal	combined	combined	individual
	Private rooms	individual	individual	individual	individual
Combining houses to be combined		2 - 3houses	all houses	4-5 houses	-
No. of stories		4	4	4	4
	Ground floor	houses	rental room	rental room	houses
Uses	First floor	houses	rental room	houses	houses
	Above 2nd floor	houses	rental room + houses	houses	houses
Docian	Façade	traditional	traditional	trad	itional
Design	Roof	-	flat	flat	
	Gov. Ioan	0	0	0	0
Investment	Owners'	0	0	0	0
	Income from rental spaces	-	0	0	-

DETAIL EXPLANATION OF TYPE1

First Floor and Typical Floor Plan

Increase in area

Regular size of rooms

Better light & cross ventilation

Flexibility in layout plan for individual

Flexibility in sharing rooms, floors (3 & 4 floors)

Maintaining traditional newari architecture on facade

COST CALCULATION

SITE AREA: 900 m²

Construction Area: 900 m²

Total floor Area: 900X4.1 m²=3690 m²

Construction Cost

Skeleton: NRs. 20000X3690 m²= NRs 73,800,000 (7.4 cror)

Infill: NRs.1000X3690 m²= NRs. 36900000 (3.7 cror)



DETAIL EXPLANATION OF TYPE1



REACTION FROM THE COMMUNITY

Workshop on PROCESS OF RECOVERY AND HOUSING RECONSTRUCTION in Kaminani, Bhaktapur after the Gorkha earthquake, Nepal

Date: 9th Feb. 2016 (Tues) (26th Magha, 2072 BS) Venue: Surya Vinayak Parti Venue, Tekhacho, Bhaktapur Participants: residents of 34 houses

> Workshop on PROCESS OF RECOVERY AND HOUSING RECONSTRUCTION in Kaminani, Bhaktapur after the Gorkha earthquake, Nepal

The objective of this Workshop is 1) to dissemination of the study process related to recovery and housing process, 2) to present Proposal options and their strengths and weaknesses, 3) to get necessary feedbacks from participants.

Date:	10 th Feb. 2016 (Wed) (27 th Magha, 2072 BS)
Venue:	Surya Vinayak Resort/ in Bhaktapur
Organiser:	Jointly by JST Nepal Japan team for Recovery and Housing Reconstruction & Bhaktapur Municipality

	Wor	kshop	Progra	m
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5. No	Timing	Activity details	Remarks
1	9:00 - 9:30	Registration	
2	9:30 - 10:00	Informal Opening: Opening remark and welcome by Mr. Umesh B. Malla, Nepal Speech by the Executive Officer, Bhaktapur municipality	Facilitator (??????) Speech by each for 6
		Speech by representative from kinistry of Urban Development Speech by representative from kinistry of Science & Technology Speech by the representative from Ward No. 15 community Speech by the representative from Reconstruction Authority (Section charined by Bhaktapur municipality)	minutes
3	10:00 - 10:30	Tea - coffee break	
4	10:30 - 11:30	Session I: Presentation and discussion on the study carried out by JST ream	Facilitator
			Presenter to present
		(a) Presentation on project introduction and examples of reconstruction process in Japan by Prof. (Dr). Otsuki	maximum 20 minutes followed by floor discussion
		(b) Study methodology, survey, data collection and analysis and synthesis by Dr. Lata Shakya, University of Tokyo, Japan	
		(c) Proposal options and their strengths by Dr. Imoto, University of Tokyo, and Dr. Bijaya K. Shrestha, Khwopa Engineering College, Bhaktapur, Nepal	
		Floor Discussion	
5	11:30-13:00	Lunch	
6	13:00-14:30	Session II: Presentation by panellists on their perspective on various issues on process of recovery and housing reconstruction at ward no. 15, Tekhachhe, Bhaktapur	Facilitator (Dr. Bijaya K. Shrestha)
			Views on various issues on
		Prof (Dr). Otsuki, University of Tokyo, Japan (Design team's perspective) :	recovery and reconstruction by experts
		Mr. representative from Nepalese team, (Design team's perspective);	in the panel
		Mr. Krishna Sadan Awal, Representative from Local community(Users' perspective);	
		Mr.???, DUDBC, Babarmahal, Kathmandu, Nepal (GoN's perspective);	


REACTION FROM THE COMMUNITY

Workshop on 9th Feb 2016

Not acceptable

Acceptable if could correspond the challenges

Changes in position of houses

- Land value is very different between road side house and courtyard side house. So changing the position of houses is not acceptable, mainly for rode side houses.
- Shop owners want their ownership and possession on the shop as before
- Changing original place of owned land is problematic

Construction Cost

- Good idea to collect the reconstruction cost from rental rooms but distribution of percentage is not clear. If it can be fix, this is also an option.
- Many of residents are masonry and carpenter. So they can work together which will minimize the labor cost.

Concept of combining houses

- New concept, residents are not sure whether they are prepared for combining houses.
- Worry about bylaws or guidelines regarding combining houses which are not prepared by government yet.
- Need of co-operation work with municipality and Dep. of archeology. May become first model and give pressure to government.

Challenges for management of communal staircase

• Even area arises, problem for management would arise more.

REACTION FROM THE COMMUNITY

Talk Program with residents

Date: 26th March 2016 Venue: Surya Vinayak Parti Venue, Tekhacho, Bhaktapur Participants: representatives of residents (15 persons)

3 persons from Nepal team and a Guest Rabi

Tuladhar

Aim of the program:

(a) listening their views after the workshop (held on February);(b) to share experience on Ason area redevelopment; and(c) to find out the way to go ahead.

Discussed and resulted that

- Proposal 1, without changing of position of houses, is the best. However remains, challenges on construction cost
- Proposal 2 has concept of combining all houses with thinking of construction cost which is not bad but it might be risky in case of failing in market
- Less interest on Proposal 3 because it divides residents in two groups



REACTION FROM THE COMMUNITY

Decision from Community 2nd May 2016

Except 2 Households, all are agreed to participate in "Reconstruction with concept of Proposal 1" which includes following points

- 1) to combine 2-5 plots into one with sharing staircase and lobby spaces. which will solve challenge for tiny area
- 2) Residents right on land would be same as before

- However, residents have not decided which houses should be combined
- There also remains the same challenges of management of communal spaces and construction cost
- As a next step: Proposing 1' plan



NEW PROPOSAL (Type 1')









28

14

BLOCK B

NO.14.28

FLAT SYSTEM HOUSE WITH ENOUGH ROOM ENOUGH LIGHT AND VENTILATION SHARE STAIRCASE

4 STOREY 2F HOUSE WITH SMALL FLOOR AREA

OWN STAIRCASE

NO LIGHT AND VENTILATION

INDIVIDUAL RECONSTRUCTION COMBINED RECONSTRUCTION

2F

bath

GE



1) FLOOR AREA INCREASE

	INDIVIDUAL											
HOUSE NO.	6		7		32		33		TOTAL			
	ROOM	STAIRCASE	ROOM	STAIRCASE	ROOM	STAIRCASE	ROOM	STAIRCASE	TUTAL			
3F	12.4	2.8	23	3.9	4.9	1.5	12.4	3.9	64.8			
2F	12.4	2.8	23	3.9	4.9	1.5	12.4	3.9	64.8			
1F	12.4	2.8	23	3.9	4.9	1.5	12.4	3.9	64.8			
GF	12.4	2.8	15.5	3.9	2.6	1.5	5.4	3.9	48			
TOTAL	49.6	11.2	84.5	15.6	17.3	6	42.6	15.6	242.4			



	COMBINE										
HOUSE NO.	6	7	32	33	SHARE	TOTAL					
	ROOM	ROOM	ROOM	ROOM	STAIRCASE	TOTAL					
3F	0	54.5	0	0	8	62.5					
2F	38.5	16	0	0	8	62.5					
1F	0	0	22.7	31.8	8	62.5					
GF	12.5	15.5	0	11.7	14.4	54.1					
TOTAL	51	86	22.7	43.5	38.4	241.6					

2) BUILDING CONSTRUCTION COST REDUCTION

Because of reduction of columns and walls

3) INFRASTRUCTURE COST DECREASE

Because of reduction of water pipes















Conclusion with Implementation Mechanisms & Ways Forward

CONCLUSION

Implementation mechanism and Proposal for effective implementation

Mid-Term Consultative Workshop on 10th Feb. 2016 outcomes

- Different options of redevelopment plans and detailing presentation.
- Social, financial, Institutional and legal issues related with reconstruction process discussed.
- Exploration of possible ways to solve the raised diverse issues and problems.

Discussed issues and roles of each sectors for implementation

Crucial Issue:

Selection of a redevelopment option that respond to the household level needs and aspirations of the earthquake affected families **through a community level collective housing plans and programs?**

Issues for architectural design in historic town

- Combining houses may be a good idea to reduce construction cost and arise individual using floor area.
- Reconstruction in smaller groups may be more affordable in terms of decision making.
- Flat system may be an option for several households living while using common staircases with privacy.

Issues for architectural design in historic town

- In the wake of exposure of the people to modern living conditions with the ever changing values, retaining the traditional architectural style of the new buildings in the historic town like Bhaktapur, is a big challenge.
- Reconciling the traditional architectural style with modern house planning could be an ideal solution.

RECOMENDATION

Implementation mechanism and Proposal for effective implementation

Effective and efficient implementation mechanism



RECOMENDATION

Implementation mechanism and Proposal for effective implementation

Setting up the Project facilitation and Coordination Committee (PFCC) (Government sectors with Local residence committee)

- 1) Development Commissioner of Bhaktapur District, KVDA as Chairperson.
- 2) Chief Executive, Bhaktapur Municipality (or Representative)
- **3)** Representative, Local Residence Committee (LRC).
- 4) Representative, National Reconstruction Authority.
- 5) Two other members from Land Administration and Survey Offices of the district.
- 6) Chief, DUDBC Bhaktapur office (District level Project Implementation Unit) as the Member Secretary

Setting up of the <u>Project Implementation Office (PIO)</u> at the site consisting of the technical and administrative staff to be <u>hired by Local Residence Committee</u>.

-Selection of **the engineering consulting firm** to support the project design and construction supervision for the PIO with the assistance of PFCC.

Setting up of the project execution fund consisting of the government grant and loan from the banks for individual quake victim families.

-Exploiting a provision of additional grant funding **for community level** infrastructure from National Reconstruction Authority (NRA). Applicable for NRA approved housing project.

- 1. This pilot project with community's involvement from concept to planning and design is implementable;
- 2. The government agencies including Bhaktapur municipality should support this initiation;
- 3. DOA, infrastructure providing agencies & donors need to support the community in investing infrastructure and conservation aspects;
- 4. This pilot project can be replication to other HCA of the KV and peripheral satellite towns

Thank you