

# Nanophotonic Computing Based on Optical Near-Field Interactions between Quantum Dots

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**SUMMARY** We approach nanophotonic computing on the basis of optical near-field interactions between quantum dots. A table lookup, or matrix-vector multiplication, architecture is proposed. As fundamental functionality, a data summation mechanism and digital-to-analog conversion are experimentally demonstrated using CuCl quantum dots. Owing to the diffraction-limit-free nature of nanophotonics, these architectures can achieve ultrahigh density integration compared to conventional bulky optical systems, as well as low power dissipation.

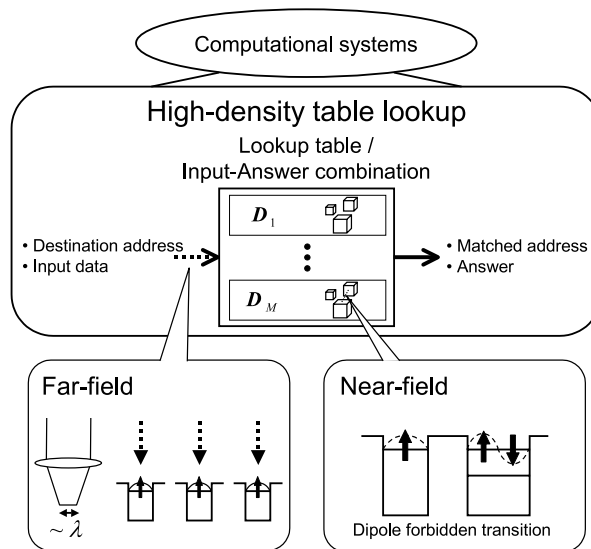
**key words:** nanophotonics, optical signal processing, optical near-field, information processing, nanophotonic computing

## 1. Introduction

To accommodate the continuously growing amount of data traffic in communication systems [1], optics is expected to further enhance the overall system performance by performing certain functional behavior [2]. In this regard, so-called all-optical packet switching has been thoroughly investigated. Also, the application of optical features, such as parallelism, in computing systems has been investigated since the 1970s [3], [4]. However, many technological difficulties remain to be overcome; one problem is the poor integrability of the hardware due to the diffraction limit of light, which is much larger than the gate width in VLSI circuits. This results in relatively bulky hardware configurations.

Nanophotonics, on the other hand, is free from the diffraction limit since it is based on local electromagnetic interactions between a few nanometric particles, such as quantum dots (QDs), via optical near-fields [5]. From an architectural perspective, this drastically changes the fundamental design rules of optical functional systems.

In this paper, we propose a nanophotonic computing architecture composed of table-lookup operations, as schematically shown in Fig. 1. A large amount of lookup-table (routing table) data can be recorded by configuring the



**Fig. 1** An architecture for nanophotonic computing: High-density parallel table lookup based on nanophotonics. Internal device operation is based on near-field interactions between quantum dots, whereas input data can be either globally irradiated via far-field light or individually addressed through far- to near-field conversion.

sizes and positions of QDs, and by implementing the required logical operation mechanisms for each entry, based on near-field interactions. Since the internal device functions are based on uni-directional energy transfer via near-field interactions, which is prohibited for far-field light, as discussed in Sect. 3, input query data to the nanophotonic lookup table may be supplied globally by far-field light by tuning its operating frequency so that it does not interfere with the internal device operations.

This paper is organized as follows. In Sect. 2, we relate the table lookup operations and arbitrary digital computations to inner product operations with an appropriate data representation. Section 3 discusses their nanophotonic implementation. As an important sub-function, a data summation mechanism based on optical near-field interactions between QDs is shown. Also, its proof-of-principle experiment is demonstrated using CuCl QDs in a NaCl matrix. As an extension of the table lookup operation, or matrix-vector multiplication, Sect. 4 discusses digital-to-analog conversion by configuring the coupling strength between QDs. Its experimental verification is also shown. Finally, Sect. 5 concludes the paper.

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## 2. Nanophotonic Computing Architecture Based on High-Density Table Lookup

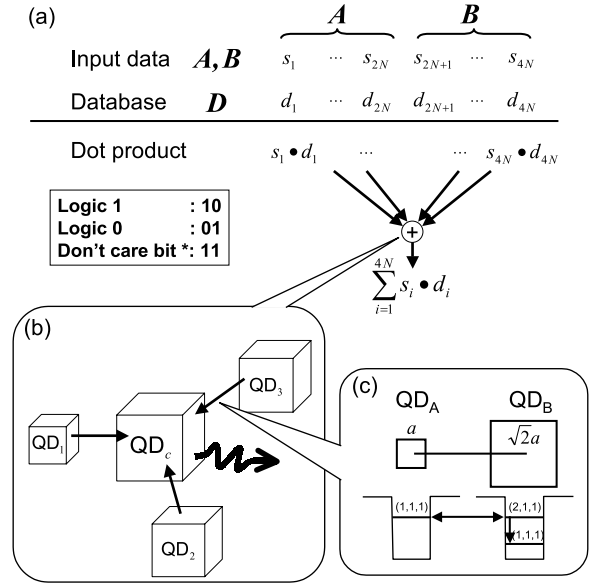
This section discusses the overall processing architecture. We begin with a concrete example of a packet forwarding application, which is an important function in routers; in this application, the output port for an incoming packet is determined based on a routing table. For such functions, a content addressable memory (CAM) [6] or its equivalent is used; in a CAM, an input signal (content) serves as a query to a lookup table and the output is the address of data matching the input. All optical means for implementing such functions have been proposed, for instance, by using planar lightwave circuits [7]. However, since we need separate optical hardware for each table entry if based on today's known methods, if the number of entries in a routing table is on the order of 10,000 or more, the overall physical size of the system becomes unfeasibly large. On the other hand, by using diffraction-limit-free nanophotonic principles, huge lookup table can be configured compactly.

First, we begin by relating the table lookup problem to an inner product operation. We assume an  $N$ -bit input signal  $\mathbf{S} = (s_1, \dots, s_N)$  and reference data  $\mathbf{D} = (d_1, \dots, d_N)$ . Here the inner product  $\mathbf{S} \cdot \mathbf{D} = \sum_{i=1}^N s_i \cdot d_i$  will provide a maximum value when the input perfectly matches the reference data. However, the inner product is, in fact, not enough to determine correct matching of the input and reference. This can be demonstrated as follows. Assume, for example, a 4-bit input  $\mathbf{S} = (1010)$ , and two items of reference data  $\mathbf{D}_1 = (1010)$  and  $\mathbf{D}_2 = (1110)$ . Both inner products result in a value of 2, but the correctly matching data is only  $\mathbf{D}_1$ . That is to say, the exclusiveness of the matching operations should also be considered. Correct matching can be achieved by calculating also the inner product of the *inverted* input signal and reference data. Inversion is, however, a difficult function to implement optically. One possible option is to properly design the modulation format [8], for instance, by representing a logical level by two digits, such as Logic 1="10" and Logic 0="01." Then, an  $N$ -bit logical input is physically represented by  $2N$  bits, which makes the inner product equivalent to the matching operation.

For packet data transfer, an operation known as longest prefix matching is important [9]. In this operation, a "don't care" state is required. In the above format, it can be simply coded by "11." Then, the resultant multiplication of a don't-care bit with an input bit will be 1 for either Logic 0 or 1.

Suppose that the reference data in the memory  $\mathbf{D}_j$  ( $j = 1, \dots, M$ ) and the input  $\mathbf{S}$  are represented in the above format. Then, the function of the CAM will be to derive  $j$  that maximizes  $\mathbf{S} \cdot \mathbf{D}_j$ . A nanophotonic implementation of such a function can be implemented in a highly dense form, as shown in Sect. 3. In addition, a large array of such inner product operations will allow a massively parallel processing system to be constructed.

Consequently, multiple inner products are equivalent to a matrix-vector multiplication, which is capable of imple-



**Fig. 2** (a) Inner product operation as a table lookup. (b) Summation mechanism in quantum dots. (c) Inter-dot interaction via an optical near-field.

menting a wide range of parallel computations [4]. As a simple example, digital-to-analog conversion will be demonstrated by tuning the near-field interaction strength, as discussed in Sect. 4.

Furthermore, arbitrary combinational logic can be reformulated as a table lookup operation; more specifically, any computation is equivalent to performing a lookup in a table where all possible input/answer combinations are pre-recorded. For example, consider a two-input, two-bit ADD operation,  $\mathbf{A} + \mathbf{B}$ . In the ADD operation, the third-bit of the output (the carry bit) should be logical 1 when the second bits (that is, the  $2^1$  bit positions) of both inputs are 1, regardless of their first bits, that is, when  $(\mathbf{A}, \mathbf{B}) = (1^*, 1^*)$ . Therefore, following the data representation format introduced above (Logic 1 = 10, Logic 0 = 01, and don't care = 11), the table lookup entry  $\mathbf{D}$  should be (10111011) so that any input combination satisfying  $(\mathbf{A}, \mathbf{B}) = (1^*, 1^*)$  will provide a maximum inner product  $\mathbf{S} \cdot \mathbf{D}$ . This procedure is summarized in Fig. 2(a).

## 3. Data Summation Using Near-Field Interactions

As discussed in Sect. 2, the inner product operations are the key functionality of the present architecture. The multiplication of two bits, namely  $x_i = s_i \cdot d_i$ , has already been demonstrated by a combination of three quantum dots [10], [11]. Therefore, one of the key operations remaining is the summation, or data gathering scheme, denoted by  $\sum x_i$ , where all data bits should be taken into account.

In known optical methods, wave propagation in free-space or in waveguides, using, for example, focusing lenses or fiber couplers, well matches such a data gathering scheme because the physical nature of propagating light is inherently suitable for global functionality such as global sum-

mation. However, the level of integration of these methods is restricted due to the diffraction limit of light. In nanophotonics, on the other hand, the near-field interaction is inherently physically local, although functionally global behavior is required.

Here we implement a global data gathering mechanism, or summation, based on the uni-directional energy flow via an optical near field, as schematically shown in Fig. 2(b), where surrounding excitations are transferred towards a quantum dot  $QD_C$  located at the center. As a fundamental case, we assume two quantum dots  $QD_A$  and  $QD_B$ , as shown in Fig. 2(c). The ratio of the sizes of  $QD_A$  and  $QD_B$  is  $1 : \sqrt{2}$ . There is a resonant quantized energy sublevel between those two dots, which are coupled by an optical near-field interaction [10]–[12]. Therefore, the exciton population in the (1,1,1)-level in  $QD_A$  is transferred to the (2,1,1)-level in  $QD_B$  [10], [12]. It should be noted that this interaction is forbidden for far-field light [13]. Since the intra-sublevel relaxation via exciton-phonon coupling is fast, the population is quickly transferred to the lower (1,1,1)-level in  $QD_B$ . Similar energy transfers may take place among the resonant energy levels in the dots surrounding  $QD_C$  so that energy flow can occur. One may worry that if the lower energy level of  $QD_B$  is occupied, another exciton cannot be transferred to that level due to the Pauli exclusion principle. Here, thanks again to the nature of the optical near-field interaction, the exciton population goes back and forth in the resonant energy level between  $QD_A$  and  $QD_B$ , which is called optical nutation [10]–[12]. Finally, both excitons can be transferred to  $QD_B$ . The lowest energy level in each quantum dot is coupled to a free photon bath to sweep out the excitation radiatively. The output signal is proportional to the (1,1,1)-level population in  $QD_B$ .

Numerical calculations were performed based on quantum master equations in the density matrix formalism. The model Hamiltonian of the coupled two-dot system is given by

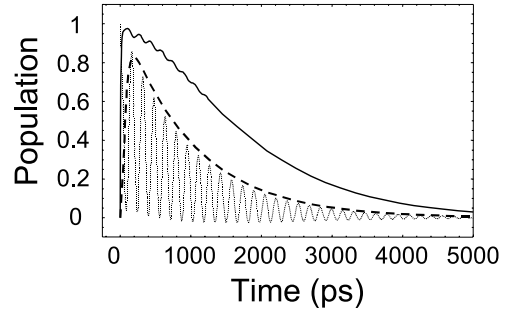
$$H = \hbar \begin{pmatrix} \Omega_A & U \\ U & \Omega_B \end{pmatrix} \quad (1)$$

where  $\hbar U$  is the optical near-field interaction, and  $\hbar\Omega_A$  and  $\hbar\Omega_B$  respectively refer to the eigenenergies of  $QD_A$  and  $QD_B$ . The equation of motion is given by the Liouville equation:

$$\dot{\rho}(t) = -\frac{i}{\hbar}[H, \rho(t)] \quad (2)$$

where  $\rho$  is the density operator. We used seven bases where either zero, one, or two excitons occupy the (1,1,1) level in  $QD_A$ , the (2,1,1) level in  $QD_B$ , and the (1,1,1) level in  $QD_B$ . Assuming inter-dot near-field coupling ( $U$ ), exciton-phonon coupling ( $\Gamma$ ), and relaxation to the radiation photon bath ( $\gamma_A$  for  $QD_A$  and  $\gamma_B$  for  $QD_B$ ), within the Born-Markov approximation [14], we can derive multiple differential equations. In the following, we assume  $U^{-1} = 50$  ps,  $\Gamma^{-1} = 10$  ps,  $\gamma_A^{-1} = 2\sqrt{2}$  ns, and  $\gamma_B^{-1} = 1$  ns as a typical parameter set.

First, we consider an initial condition where there are



**Fig. 3** Time evolution of the population of the lower level of  $QD_B$  in a two-exciton system (solid curve) and a one-exciton system (dashed curve). Dotted curve shows the population when  $QD_A$  has an exciton in a two-exciton system; Nutation is observed.

two excitons in the system: one in  $QD_A$  and the other in  $QD_B$  (two-exciton system). The population of the (1,1,1)-level in  $QD_B$  is related to the output signal, whose time evolution is shown by the solid curve in Fig. 3. Incidentally, the population when  $QD_A$  has an exciton is denoted by the dotted curve in Fig. 3. Nutation is observed as expected since the lower level of  $QD_B$  is likely to be occupied and the inter-dot near-field interaction is faster than the radiative relaxation at each dot.

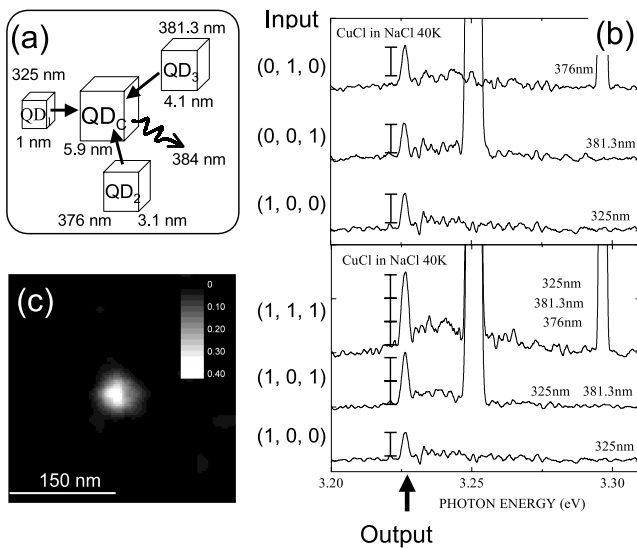
We then compare the population dynamics between one- and two-exciton systems. The dashed curve in Fig. 3 shows the time evolution of the population in the lower level of  $QD_B$ , where, as an initial condition, one exciton exists only in  $QD_A$ . Physically the output signal is considered to be the radiative relaxation from the lowest energy level of the output QD, which is related to integration of the population in the lower level of  $QD_B$ . By numerically integrating the population between 0 and 5 ns, we can obtain the ratio of the output signals between the two- and one-exciton systems, namely, 1.86:1, which reflects the number of initial excitons, or the summation mechanism.

A proof-of-principle experiment was performed to verify the nanoscale summation using CuCl quantum dots in a NaCl matrix, which has also been employed for demonstrating nanophotonic switches [10] and optical nano-fountains [15]. We selected a quantum dot arrangement where small QDs ( $QD_1$  to  $QD_3$ ) surrounded a “large” QD ( $QD_C$ ), as schematically shown in Fig. 4(a). Here, we irradiate at most three light beams with different wavelength, 325 nm, 376 nm, and 381.3 nm, which respectively excite the quantum dots  $QD_1$  to  $QD_3$  having sizes of 1 nm, 3.1 nm, and 4.1 nm, respectively. The excited excitons are transferred to  $QD_C$ , and its radiation is observed by a near-field fiber probe. Notice the output signal intensity at a photon energy level of 3.225 eV in Fig. 4(b), which corresponds to a wavelength of 384 nm or a  $QD_C$  size of 5.9 nm. The intensity varies approximately as 1:2:3 depending on the number of excited QDs in the vicinity, as observed in Fig. 4(b). The spatial intensity distribution was measured by scanning the fiber probe, as shown in Fig. 4(c), where the energy is converged at the center. Hence, this architecture works as a

summation mechanism, counting the number of input channels, based on exciton energy transfer via optical near-field interactions.

Such a quantum-dot-based data gathering mechanism is also extremely energy efficient compared to other optical methods such as focusing lenses or optical couplers. For example, the transmittance between two materials with refractive indexes  $n_1$  and  $n_2$  is given by  $4n_1n_2/(n_1 + n_2)^2$ ; this gives a 4% loss if  $n_1$  and  $n_2$  are 1 and 1.5, respectively. The transmittance of an  $N$ -channel guided wave coupler is  $1/N$  from the input to the output if the coupling loss at each coupler is 3 dB. In nanophotonic summation, the loss is attributed to the dissipation between energy sublevels, which is significantly smaller. Incidentally, it is energy- and space-efficient compared to electrical CAM VLSI chips [16]–[18], as shown in Table 1.

We should also note, in terms of interconnections, that the input data should be commonly applied to all lookup table entries, which allows another possible interconnection mechanism. Since the internal functionality is based on energy transfer via optical near-field interactions and it is forbidden for far-field light, global input data irradiation, that is, broadcast interconnects, via far-field light may be possible; this is now being investigated [19].



**Fig. 4** Experimental results of the nanometric summation. (a) A quantum dot arrangement. (b) Luminescence intensity for three different numbers of excited QDs. (c) Spatial intensity distribution of the output photon energy.

**Table 1** Power dissipation and cell size comparison with electrical CAM VLSI chips and optical waveguides.

	Power dissipation (fJ / bit / search)	Cell size ( $\mu\text{m}^2$ )
CAM chip[16]	3.4	-
CAM chip[17]	2.1	17.54
CAM chip[18]	1.3	17.46
Optical waveguide	-	$\sim 1,000$
Nanophotonic	0.0002	0.01

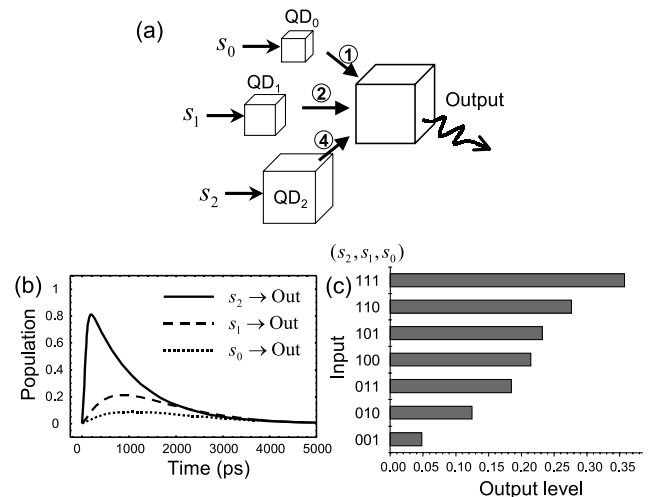
#### 4. Digital-to-Analog Conversion Using Near-Field Interactions

In the summation mechanism shown in Sect. 3, the coupling strengths between the input QDs and the output QD is uniform. However, these coupling strengths can be independently configured, for instance, by modifying the relative distances. Theoretically, this corresponds to configuring  $U$  of the Hamiltonian in Eq. (1). For instance, consider three input QDs,  $\text{QD}_0$  to  $\text{QD}_2$ , as schematically shown in Fig. 5(a). By choosing  $U^{-1}$  of 410 ps, 240 ps, and 50 ps between  $\text{QD}_0$  to  $\text{QD}_2$  and the output QD, respectively, the simulated time evolution of the output population is summarized in Fig. 5(b). The time integral of the output populations originating from  $\text{QD}_0$  to  $\text{QD}_2$  between 0 and 5 ns is approximately in a ratio of 1:2:4. This leads to a digital-to-analog conversion formula given by

$$d = 2^0 s_0 + 2^1 s_1 + 2^2 s_2 \quad (3)$$

where  $d$  is the output, and  $s_0$ ,  $s_1$ , and  $s_2$  represent the presence/absence of excitations in  $\text{QD}_0$  to  $\text{QD}_2$  respectively. Here each of the inputs  $s_i$  is optically applied to the system whose frequency is resonant with the (1,1,1)-level in  $\text{QD}_i$ . It should be noted that they are not coupled to the other QDs (i.e., input  $\text{QD}_j$  ( $j \neq i$ ) and the output QD) since the corresponding energy levels are optically forbidden for the other QDs. Also, the initial state of the system is considered to be one in which an exciton is excited at each dot.

In the experiment, CuCl QDs in a NaCl matrix were used, as in Sect. 3, and three different input light frequencies were assigned to the three-bit input. Here, the output signal is considered to be the radiative relaxation from the lowest energy level of the output QD, which is observed with a near-field fiber probe at a wavelength of 384 nm. One re-



**Fig. 5** (a) Digital-to-analog (DA) conversion. The near-field coupling is tuned so as to satisfy the relation for DA conversion. (b) Time evolution from each of the input bits to the output. (c) Experimental results of the output intensity level as a function of 3-bit input combinations.

mark here is that not every excited exciton produces the output signal; for instance there will be loss due to relaxation at each of the input QDs when the output energy level is occupied. However, such effects may not be serious since, as discussed in Sect. 3, nutation occurs among resonant energy levels and the relaxation rate at the output QD, which is the largest in the system in terms of size, is smaller than that at the input QDs. Figure 5(c) shows output signal intensity as a function of the presence (1) or absence (0) of the input excitation, as specified by  $(s_2, s_1, s_0)$ , which were respectively 381.3 nm, 376 nm, and 325 nm. The output intensity is approximately linearly correlated to the input bit set combination, which indicates the validity of the digital-to-analog conversion mechanism. Compared to known optical approaches, such as those based on space-domain filtering and focusing lenses [3], [4], or optical waveguides and intensity filters [20], the nanophotonic approach achieves a significant higher spatial density.

## 5. Discussion

In summary, an architecture for nanophotonic computing is proposed; the architecture is based on table lookup using near-field interactions between quantum dots (QDs). As well as content addressable memories, digital logic and matrix-vector multiplication can be implemented in this architecture. As fundamental functional elements, a data summation mechanism and digital-to-analog conversion are presented, and their proof-of-principle experiments are demonstrated using CuCl QDs. Owing to its high spatial density and low power dissipation, a massive array of such functional components will be useful in applications such as massive table lookup operations in networking and information processing systems.

Generality of processing remains an open issue since it requires random access memories, and other design strategies, such as binary decision diagrams [21], are another possible candidates. Memory is also an extremely important subject for optical networks to replace the bulky optical fiber loops used for buffering [22]; the possibility of using nanophotonic devices in these applications is now being pursued [11]. The extremely high spatial density will also lead to novel system design concepts, for instance, in redundancy or fault tolerance [23]. In addition, further investigation of system application issues is indispensable, such as interconnections [24], [25], the fabrication limitations of nanostructures [26], and new applications unachievable by other technologies.

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