

## **Chapter 9 Limitations on the Overlap of Proposals within the Strategic Basic Research Programs**

With regard to the Invitation for Application of Research Proposals for CREST, PRESTO and ACT-I in FY 2017, based on administration policies, restrictions on duplicate applications to other programs within the Strategic Basic Research Programs are preliminarily clarified as follows. With regard to other programs both within and outside JST that are not mentioned in this chapter, in the case that it is individually determined that the duplicate applications are unreasonable or excessively concentrated, certain measures will be taken. For details, please see “8.3 Measures against Unreasonable Duplication and Excessive Concentration.”

- (1) Only one application may be submitted across all CREST, PRESTO and ACT-I Research Areas in Research Proposal Applications.
- (2) If you are in any of the following positions, you cannot apply. (Except that the ending time period of the concerned ongoing research is finished before March 31, 2018). “Apply” means to make proposal application as a research proposal applicant, namely “Research Director” in CREST or “Individual Researcher” in PRESTO or ACT-I.
  - a. Research Director of ERATO (Supervisor-Oriented Research) in the Basic Research Programs
  - b. Research Director of CREST (Team Research) in the Basic Research Programs
  - c. Individual Researcher of PRESTO (Individual Research) in the Basic Research Programs
  - d. Research Director of ALCA in the Basic Research Programs

The individual researchers who now participate in the Strategic Creative Research Promotion Project ACT-I are not allowed to apply to the same research area of ACT-I.

- (3) For CREST, there are following restrictions on application as a Lead Joint Researcher or Research Participant;
  - a. Multiple applications from one researcher by switching from Research Director to Lead Joint Researcher, or vice versa are not allowed.
  - b. In case Research Director, Lead Joint Researcher or research participant proposes one research project, he / she proposes another as a Lead Joint Researcher or a research participant and both proposals are

short-listed, adjustment could be made such as cut down of research cost or selection of one research proposal by the applicant.

- c. In case one currently participating as a Lead Joint Researcher or Research participant propose a research project and is short-listed, adjustment as mentioned above “b” may be made.

- (4) Currently-active PRESTO or ACT-I Individual Researchers cannot participate as Lead Joint Researcher for CREST. (Except that ongoing PRESTO research is finished before March 31, 2018.)

- a. In case those who are applying to CREST cannot include currently-active PRESTO researchers as Lead Joint Researchers (Except that ongoing PRESTO research is finished before March 31, 2018.).
- b. A Lead Joint Researchers of currently-active CREST research projects or applying CREST research projects can apply PRESTO or ACT-I. However, if they are nominated for adoption of PRESTO or ACT-I, they should select either retract PRESTO or ACT-I application or change CREST Lead Joint Researcher (PRESTO or ACT-I researchers can participate in the CREST as a research participant).

- (5) Those who are applying to ALCA as a Research Director and also applying to CREST, PRESTO or ACT-I, they can be selected in only one program of three programs (CREST, PRESTO, ACT-I or ALCA).

- (6) If the applicant’s research proposal has been short-listed for FY2017 CREST, PRESTO or ACT-I programs and as a result, the applicant will participate in multiple research projects in JST’s competitive research funds, adjustments among research projects may be enforced. For example, the research expenses for the applicant’s project(s) may be reduced the applicant may be invited to select only one among the projects. (Except if the concerned ongoing research is finished before March 31, 2018). In the case of proposals for CREST, such adjustments may be subjected to not only Research Director but also the participants.

[Reference]

Table: Possible Application to and Participation in CREST, PRESTO and ACT-I

(For researchers presently engaged in either CREST or PRESTO research)

Positions for Research Proposal Applications  Current Position in the Research Proposal	Applying for CREST Research Director	Participating as a CREST Lead Joint Researcher	Participating as a CREST Research Participant	Applying for PRESTO Individual Researcher	Applying for ACT-I Individual Researcher
CREST Research Director	Not Possible (refer to (2))	Possible (refer to (3)a, b) Note 1)	Possible (refer to (3)b) Note 1)	Not Possible (refer to (2))	Not Possible (refer to (2))
CREST Lead Joint Researcher	Possible (refer to (3)c) Note 1)	Possible (refer to (3)c) Note 1)	Possible (refer to (3)c) Note 1)	Possible (refer to (4)b) Note 2)	Possible (refer to (4)b) Note 2)
CREST Research Participant	Possible (refer to (3)b) Note 1)	Possible (refer to (3)b) Note 1)	Possible (refer to (3)b) Note 1)	Possible (refer to (4)b) Note 1)	Possible (refer to (4)b) Note 1)
PRESTO Individual Researcher	Not possible (refer to (2))	Not possible (refer to (4))	Possible (refer to (4)b) Note 1)	Not possible (refer to (2))	Not possible (refer to (2))
ACT-I Individual Researcher	Possible (refer to (2))	Possible (refer to (2))	Possible (refer to (2))	Possible (refer to (2))	Not possible (refer to (2))

Note 1) If a proposal is selected, in consideration of excessive concentration and unreasonable duplication, we may make adjustments to reduce research costs of the selected research institution or select one of the research proposals for the researcher to conduct.

Note 2) When selected for PRESTO or ACT-I, the Lead Joint Researcher for CREST will be changed.

Table: Simultaneous Application to or Participation in CREST, PRESTO and ACT-I Possibilities

(For researchers presently not engaged in CREST or PRESTO research)

Applying for Project B  Applying for Project A	Applying for CREST Research Director	Applying for CREST Lead Joint Researcher	Applying for CREST Research Participant	Applying for PRESTO Individual Researcher	Applying for ACT-I Individual Researcher
Applying for CREST Research Director	Not possible (refer to (1))	Possible (refer to (3)a, b) Note 1)	Possible (refer to (3) b) Note 1)	Not possible (refer to (1))	Not possible (refer to (1))
Applying for CREST Lead Joint Researcher	Possible (refer to (3)a,b) Note 1)	Possible (refer to (3)b) Note 1)	Possible (refer to (3)b) Note 1)	Possible (refer to (4)b) Note 2)	Possible (refer to (4)b) Note 2)
Applying for CREST Research Participant	Possible (refer to (3)b) Note 1)	Possible (refer to (3)b) Note 1)	Possible (refer to (3)b) Note 1)	Possible (refer to (4)b) Note 1)	Possible (refer to (4)b) Note 1)
PRESTO Individual Researcher	Not possible (refer to (1))	Possible (refer to (4)b) Note 2)	Possible (refer to (4)b) Note 1)	Not possible (refer to (1))	Not possible (refer to (1))
ACT-I Individual Researcher	Not possible (refer to (1))	Possible (refer to (4)b) Note 2)	Possible (refer to (4)b) Note 1)	Not possible (refer to (1))	Not possible(refer to (1))
<p>Note 1) If a proposal is selected, in consideration of excessive concentration and unreasonable duplication, we may make adjustments to reduce research costs of the selected research institution or select one of the research proposals for the researcher to conduct.</p> <p>Note2) In the event that a proposal is selected for PRESTO or ACT-I, we may make the following adjustments: either the application to PRESTO or ACT-I will be withdrawn or the Lead Joint Researcher will be changed.</p>					

[Supplement]

- ※ By “application,” we refer to the submission of a research proposal by a researcher as a Research Director at CREST or as an individual researcher at PRESTO or ACT-I. By “participation,” we refer to the engagement of a researcher in a new research proposal in a position other than those previously mentioned.
- ※ Those researchers who are presently CREST Research Participants (as researchers other than Research Directors or Lead Joint Researchers) can submit a research proposal as a Research Director of a CREST proposal or as an Individual Researcher of a PRESTO proposal or as an Individual Researcher of an ACT-I proposal. Alternatively, they can participate as a Lead Joint Researcher or a Research Participant on a CREST proposal. However, when a CREST project is selected, in consideration of excessive concentration and unreasonable duplication, we may make adjustments to reduce the research costs of the selected research institution or select one of the research proposals for the researcher to conduct (please refer to (3)c, above).

Those researchers who are presently not engaged in either CREST or PRESTO projects and are participating in the present CREST call for proposals as Research Participants can submit a research proposal to other CREST research projects as a Research Director or a PRESTO individual researcher. Alternatively, they can participate in other CREST projects as the Lead Joint Researcher or as a Research Participants. However, if selected for a CREST proposal, in consideration of excessive concentration and unreasonable duplication, we may make adjustments to reduce the research costs of the selected research institution or select one of the research proposals for the researcher to conduct (please refer to (3)b, above).

- ※ A researcher (regardless of their position as a CREST Research Director, Lead Joint Researcher, Research Participants, PRESTO Individual Researcher, or ACT-I Individual Researcher) conducting a CREST, PRESTO or ACT-I research proposal, which ends by FY 2017 can apply as a CREST Research Director or PRESTO Individual Researcher or ACT-I Individual Researcher or participate as a CREST Lead Joint Researcher in this Invitation for Applications.