## [FAQ for the researchers abroad]

## **CREST**

Researchers affiliated with overseas research institutions

Principal investigators must be researchers (of any nationality) who are affiliated with a research institution in Japan and able to establish a team for conducting research.

We actively welcome the participation of overseas research groups. However, groups belonging to overseas research institutions will not be provided with research funds by JST in principle, so they are required to secure their own research funds.

However, in some cases, if it is recognized as essential for the implementation of the research concept that an overseas research institution participate as a joint research group (i.e., researchers belonging to an overseas research institution participate as a main coresearcher), JST will provide research funds to such research groups. If you wish to have a research team composition that includes an overseas research institution (which requires the approval of the Research Director), please state in your research proposal the reason why a co-researcher belonging to an overseas research institution is necessary. In addition, for proposals that anticipate the provision of research funds from JST, please also include a proposal for collaboration with the relevant group in the research proposal in the event that a contract is not concluded.

In addition, the overseas research organization must, in principle, conclude a research agreement with JST in accordance with the details presented by JST. However, the terms of the contract may be adjusted for items that are deemed reasonable, taking into consideration the characteristics of the research content. Contracts with overseas research institutions differ from those with domestic research institutions in that Article 17 of the Industrial Technology Enhancement Act (Japanese version of the Bayh-Dole Clause) does not apply to contracts with overseas research institutions. Please provide the contact information of the person in charge of the contract at the overseas research institution and submit the required form

indicating that you, as the research institution (the person in charge of the contract), have approved each clause of the contract in advance of the interview and selection meeting.

It is also necessary to be able to identify the intellectual property rights and so on of the entire research team, including the overseas research group. If the research contract cannot be concluded and the proposed collaboration is difficult to implement, the selection will be cancelled.

## **PRESTO**

If you are a Japanese citizen and propose to conduct research at an overseas research institution

In principle, a research agreement must be concluded between the research institution and JST, and if JST specifies separate expense execution guidelines, the research institution must be able to execute the expenses appropriately in accordance with those guidelines.

- \*Japanese researchers who will conduct research at an overseas research institution should pay particular attention to the following:
- Researchers are requested to follow the procedures for visa acquisition, period of stay extensions, status of residence changes, etc. on their own. If a researcher fails to meet the requirements for visa status, his/her research proposal will be rejected or his/her research proposal will be cancelled.
- If the PRESTO research is subject to export restrictions under the Foreign Exchange and Foreign Trade Law, depending on the status of the PRESTO individual researcher, the research proposal will be rejected, the research proposal will be cancelled, or other measures might be taken.
- The researcher must be able to carry out his/her own PRESTO research project responsibly throughout the entire research period.
- The researcher must have completed a program on research ethics at their home institution in advance, or have completed an training program provided by JST by the application deadline.

Requirements for Conducting Research at Overseas Research Institutions

- a. The applicant must be an individual researcher who has (or will have) an affiliated institution. From the viewpoint of health and safety management, etc., it is not possible to conduct research as a PRESTO full-time researcher (JST-employed researcher) at an overseas research institution.
- b. If you wish to conduct research at an overseas research institution, please state the reasons why you wish to conduct research overseas (including the perspectives in (i) and (ii) below) in the research proposal form.
- (i) The applicant's research must be carried out in a foreign country; The necessity in realizing the research concept at an overseas institution.
- (ii) The necessity of the overseas research to be carried out at the institution in question.

In principle, the research institution must conclude a research agreement based on the content presented by JST. However, the contract may be adjusted if there are rational reasons, taking into account the characteristics of the research, etc. In principle, the period of adjustment is limited to three months from the start of negotiations with JST. Please note that the researcher himself/herself may be required to provide detailed expiations, etc. to the person in charge of the contract at the overseas research organization.

Please submit the prescribed form (Form for Overseas Research Institutes / Form for Prior Confirmation of Agreement Conclusion) by the time of the interview selection meeting, indicating that each clause of the agreement has been approved in advance by the responsible person the overseas research institution. If it is deemed difficult to conclude a research agreement using the form for prior confirmation of agreement conclusion, the project may be rejected. In addition, if it is deemed difficult to conclude a research agreement within the adjustment period after the selection, the research will not be conducted.

Q. What are the criteria for determining that it is difficult to conduct research at an overseas institution?

- A. The criteria for requiring overseas implementation may include the following cases.
- 1. Necessary facilities are not available in Japan and are installed only at overseas institutions.
- 2. Field research that can only be conducted overseas is required.
- 3. Research materials are only available at the institution or location and cannot be transported to Japan.

Q What are the requirements for a Japanese national concurrent researcher to conduct research at an overseas research institution? A Please confirm in advance with the person in charge of contracts at the research institution that there are requirements such as concluding a research contract with the content presented by JST and that there are no problems with the content of the contract.

In particular, the following three points should be checked in advance. a. Indirect costs to the overseas research institution concerned should not exceed 30% of the direct costs (research expenses).

- b. Intellectual property rights related to the PRESTO research must be shared equally between the overseas research organization and JST (however, the research organization has the right to use the intellectual property for its own internal academic research and other non-commercial purposes).
- c. The research institution must be able to prepare and submit to JST an expense statement (equivalent to an income and expenditure account for domestic institutions) in English, indicating the details of research expenditures.

If the above details are not approved by the overseas research organization, the agreement may not be concluded, so please confirm all the details in advance.